

# 1. LECTURE 3, FEBRUARY 14

**1.1. Ringed space.** A topological space  $X$  and a sheaf of rings  $\mathcal{O}_X$  on  $X$  is a ringed space. A morphism of ringed spaces  $(X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  is a pair  $(f, f^\#)$  where  $f: X \longrightarrow Y$  is a continuous map, and where  $f^\#: \mathcal{O}_Y \longrightarrow f_*\mathcal{O}_X$  is a map of sheaves on  $Y$ .

**1.2. Locally ringed space.** A ringed space  $(X, \mathcal{O}_X)$  is a *locally* ringed space if the stalks  $\mathcal{O}_{X,x}$  is a local ring for every point  $x \in X$ . A map  $(f, f^\#): (X, \mathcal{O}_X) \longrightarrow (Y, \mathcal{O}_Y)$  of locally ringed spaces is a map of ringed spaces such that the induced map

$$f_x^\#: \mathcal{O}_{Y,f(x)} \longrightarrow \mathcal{O}_{X,x}$$

is a local homomorphism of local rings.

**Example 1.3.** The affine schemes  $(\text{Spec}(A), \mathcal{O}_A)$  are locally ringed spaces.

**Proposition 1.4.** *Let  $\varphi: A \longrightarrow B$  be a homomorphism of rings. Then we have a natural induced map of affine schemes*

$$(f, f^\#): (\text{Spec}(B), \mathcal{O}_B) \longrightarrow (\text{Spec}(A), \mathcal{O}_A).$$

*Proof.* The map  $f: \text{Spec}(B) \longrightarrow \text{Spec}(A)$  sending a prime  $Q$  to  $\varphi^{-1}(Q)$  is continuous. In fact we have that

$$f^{-1}(D(x)) = D(\varphi(x)),$$

for any element  $x \in A$ . To describe a map of sheaves it suffices to describe the map on basic open set, as long as these maps are compatible. For any basic open set  $D(x)$  in  $\text{Spec}(A)$  we have  $f_*\mathcal{O}_B(D(x)) = B_{\varphi(x)}$ . We therefore obtain the natural map by localization;

$$\begin{array}{ccc} A & \xrightarrow{\varphi} & B \\ \downarrow & & \downarrow \\ A_x & \longrightarrow & A_x \otimes_A B = B_{\varphi(x)} \end{array}$$

These maps defined over basic open sets clearly are compatible, hence give a map of sheaves  $\mathcal{O}_A \longrightarrow f_*\mathcal{O}_B$ .  $\square$

**1.5. Scheme.** A locally ringed space  $(X, \mathcal{O}_X)$  is a *scheme* if there exists an open cover  $\{U_i\}$  of  $X$  such that the locally ringed space  $(U_i, \mathcal{O}_{X|U_i})$  is an affine scheme, for each  $i$ . That is, there is a homomorphism

$$(f, f^\#): (U_i, \mathcal{O}_{X|U_i}) \longrightarrow (\text{Spec}(A_i), \mathcal{O}_{A_i})$$

with  $f$  a homeomorphism, and  $f^\#$  an isomorphism of sheaves.

**Example 1.6.** If  $(X, \mathcal{O}_X)$  is a scheme, and  $U \subseteq X$  an open subset, then  $(U, \mathcal{O}_{X|U})$  is a scheme.

**Theorem 1.7.** *Let  $A$  be a ring, and  $X$  a scheme. A morphism of schemes  $f: X \rightarrow \operatorname{Spec}(A)$  induces a homomorphism of rings  $\varphi: A \rightarrow \Gamma(X, \mathcal{O}_X)$ . This assignment gives a bijection*

$$\operatorname{Hom}_{\text{schemes}}(X, \operatorname{Spec}(A)) \longleftrightarrow \operatorname{Hom}_{\text{rings}}(A, \Gamma(X, \mathcal{O}_X)).$$

*Proof.* Clearly a morphism of schemes  $f: X \rightarrow \operatorname{Spec}(A)$  gives a ring homomorphism, of global sections,  $\varphi: A \rightarrow \Gamma(X, \mathcal{O}_X)$ . Conversely, given  $\varphi: A \rightarrow \Gamma(X, \mathcal{O}_X)$ . Let  $U = \operatorname{Spec}(B)$  be an open affine in  $X$ . The composition of  $\varphi$  with the restriction map  $\rho_{XU}: \Gamma(X, \mathcal{O}_X) \rightarrow \Gamma(U, \mathcal{O}_U) = B$  gives a ring homomorphism  $A \rightarrow B$ . As in Proposition (1.4) we get a morphism of affine schemes  $\operatorname{Spec}(B) \rightarrow \operatorname{Spec}(A)$ . If  $U_i$  and  $U_j$  are two open affines in  $X$ , let  $\{W_{ijk}\}$  be an affine covering of  $U_i \cap U_j$ . Since the restriction maps are compatible, we get that the map of affine schemes  $\operatorname{Spec}(B_i) \rightarrow \operatorname{Spec}(A)$  agree on overlaps. This gives a map of schemes from the union, which is  $X$ , to  $\operatorname{Spec}(A)$ .

It is clear that if we start with a global section  $\varphi: A \rightarrow \Gamma(X, \mathcal{O}_X)$ , make the construction above to get a morphism  $f: X \rightarrow \operatorname{Spec}(A)$  of schemes, and then take the global sections then we get back  $\varphi$ .

We need to show that if we start with a morphism of schemes  $f: X \rightarrow \operatorname{Spec}(A)$ , take its global sections, and then make the construction above, we get back  $f$ . We do this by showing that two different maps  $f$  and  $g$  give different map of global sections. We note that difference of a maps of spaces and sheaves can be checked locally. Hence we may assume that  $X = \operatorname{Spec}(B)$  is affine.

Let  $(f, f^\#): (\operatorname{Spec}(B), \mathcal{O}_B) \rightarrow (\operatorname{Spec}(A), \mathcal{O}_A)$  be a morphism of schemes. Let  $\varphi: A \rightarrow B$  be the induced map of global sections, and let  $(\varphi_1, \varphi_1^\#)$  be the map of schemes  $\operatorname{Spec}(B) \rightarrow \operatorname{Spec}(A)$  we get by the construction given in Proposition 1.4.

Let  $D(x) \subseteq \operatorname{Spec}(A) = Y$  be a basic open affine, and consider the commutative diagram

$$\begin{array}{ccccc} \mathcal{O}_A(Y) = A & \xrightarrow{\varphi} & f_*\mathcal{O}_B(Y) = B & & \\ \downarrow & & \downarrow & & \\ A_x = \mathcal{O}_A(D(x)) & \xrightarrow{\varphi \otimes 1} & A_x \otimes_A B & \longrightarrow & f_*\mathcal{O}_B(D(x)) = \mathcal{O}_B(f^{-1}(D(x))) \\ \downarrow & & \downarrow & & \downarrow \\ A_P & \longrightarrow & A_P \otimes_A B & \longrightarrow & B_Q \end{array}$$

The composition of the maps in the second horizontal row is  $f_U^\#$ , with  $U = D(x)$ . The map  $B \rightarrow \mathcal{O}_B(f^{-1}(D(x)))$  is the restriction map, and it will factorize via  $A_x \otimes_A B$  by the defining properties of the tensor product. The lower horizontal map we obtain by taking the limit around each basic open  $D(x)$  containing the point  $P = f(Q)$ , with some fixed  $Q \in \operatorname{Spec}(B)$ . The composition of the lower horizontal

map is  $f_{f(Q)}^\sharp: \mathcal{O}_{A,f(Q)} \longrightarrow \mathcal{O}_{B,Q}$ . It follows from the diagram that  $\varphi^{-1}(Q) = P$ , for all points  $Q \in \operatorname{Spec}(B)$  hence  $f = \varphi_1$ . In particular we have that  $f_*\mathcal{O}_B = \varphi_{1*}\mathcal{O}_B$ . We have two maps  $f^\sharp$  and  $\varphi_1^\sharp$  of sheaves  $\mathcal{O}_A \longrightarrow f_*\mathcal{O}_B$  on  $\operatorname{Spec}(A)$ , that agree on stalks (check). They are therefore equal. Hence  $(f, f^\sharp) = (\varphi_1, \varphi_1^\sharp)$ .  $\square$

**Corollary 1.8.** *The category of commutative rings and ring homomorphisms is equal with the category of affine schemes and morphism of schemes.*

**Example 1.9.** Consider the open subset  $U = \operatorname{Spec}(k[x, y]) \setminus V(x, y)$ . We have that  $(U, \mathcal{O}_U)$  is a scheme, where  $\mathcal{O}_U$  is the restriction of the structure sheaf on  $X = \operatorname{Spec}(k[x, y])$  to  $U$ . The inclusion map  $i: U \longrightarrow X$  is in a natural way a morphism of schemes.

Note that we have the open affine cover  $D(x) \cup D(y)$  of  $U$ . We use this cover to compute that the global sections  $\Gamma(U, \mathcal{O}_U) = k[x, y]$ . From the Theorem we obtain that the map  $i: U \longrightarrow X$  is given by the map of global section  $k[x, y] \longrightarrow \Gamma(U, \mathcal{O}_U)$ . We have that this map is the identity map. In particular, from the Corollary, we see that  $U$  is *not* an affine scheme.

**1.10. Exercises.** Hartshorne, chapter 2.2, Exercises 2.2, 2.7, 2.8, 2.12, 2.13, 2.16, 2.17, 2.18

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