

1 **Title:** Dynamic modulation of spike-timing dependent calcium influx during cortico-striatal
2 upstates

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24 **Abstract**

25 The striatum of the basal ganglia demonstrates distinctive upstate and downstate
26 membrane potential oscillations during slow wave sleep and under anesthetic. The upstates
27 generate calcium transients in the dendrites and the amplitude of these calcium transients
28 depends strongly on the timing of the action potential (AP) within the upstate. Calcium is
29 essential for synaptic plasticity in the striatum and these large calcium transients during the
30 upstates may control which synapses undergo plastic changes. To investigate the mechanisms
31 which underlie the relationship between calcium and AP timing, we have developed a realistic
32 biophysical model of a medium spiny neuron (MSN). We have implemented sophisticated
33 calcium dynamics including calcium diffusion, buffering, and pump extrusion which accurately
34 replicates published data. Using this model, we found that either the slow inactivation of
35 dendritic sodium channels (NaSI) or the calcium inactivation of voltage gated calcium channels
36 (CDI) can cause high calcium corresponding to early APs and lower calcium corresponding to
37 later APs. We find that only CDI can account for the experimental observation that sensitivity to
38 AP timing is dependent on NMDA receptors. Additional simulations demonstrate a mechanism
39 by which MSNs can dynamically modulate their sensitivity to AP timing, and show that
40 sensitivity to specifically timed pre and post-synaptic pairings (as in STDP protocols) is altered
41 by the timing of the pairing within the upstate. These findings have implications for synaptic
42 plasticity *in vivo* during sleep when the upstate-downstate pattern is prominent in the striatum.

43 **Introduction**

44 Medium spiny neurons (MSNs) are the sole projection neurons of the striatum and
45 plasticity changes in these neurons underlie motor learning (Yin et al., 2009). *In vivo*, the MSNs
46 exhibit distinct membrane potential oscillations referred to as upstates and downstates.
47 Although this upstate-downstate activity pattern was observed more than 30 years ago (Wilson
48 and Groves, 1981), its function is still unclear. This pattern of activity is displayed in
49 anesthetized animals and occurs during slow wave sleep, but is much less prominent in the
50 awake animal (Mahon et al., 2006). Because this activity pattern occurs most strongly when the
51 animal is anesthetized or sleeping and consequently motionless, its main function is not likely

52 the direct control of motor output. Rather it may be a means to consolidate and reinforce
53 motor skill learning by controlling which specific synapses are strengthened during sleep
54 (Stoetzner et al., 2010) while cells replay previous wakeful sequences (Ribeiro et al., 2004;
55 Lansink et al., 2009).

56 Large dendritic calcium transients coincide with the cortico-striatal upstate (Kerr and
57 Plenz, 2002, 2004), and calcium elevations are necessary for striatal synaptic plasticity *in vitro*
58 (Fino et al., 2010). Therefore, during the upstate the calcium elevation in the dendrite may
59 dictate whether specific synapses are potentiated or depressed. The timing of the action
60 potential (AP) within the upstate strongly determines the amplitude of the corresponding
61 calcium elevation. APs early in the upstate coincide with higher calcium peaks than APs late in
62 the upstate, resulting in a non-linear, timing-dependent relationship (Kerr and Plenz, 2004).
63 However, the intracellular mechanisms responsible for this relationship and the consequences
64 for synaptic plasticity during the upstate are as yet unknown.

65 *In vitro* experiments have shown that carefully timed pairings of pre and post-synaptic
66 activity can result in spike timing dependent plasticity (STDP) (Fino et al., 2005; Pawlak and
67 Kerr, 2008), and computational models have demonstrated that calcium influx strongly predicts
68 plasticity during these protocols (Evans et al., 2012). However, the noisy *in vivo* environment is
69 very different from the quiet *in vitro* slice preparation. Specifically, a rigidly controlled single
70 pairing of pre and post synaptic activity is not likely to occur *in vivo*. Instead, barrages of
71 synaptic activity and an underlying upstate-downstate pattern characterize the *in vivo* situation.
72 In this study, we investigate the calcium influx due to STDP pairings during these *in vivo* like
73 upstates and discuss the consequences for synaptic plasticity.

74 Using a multi-channel, multi-compartmental biophysical model and a sophisticated
75 model of calcium diffusion, buffering, and pump extrusion, we investigate two possible
76 mechanisms which can control the relationship between calcium and AP timing during the
77 upstate. In the first half of the paper, we determine that one mechanism is more likely, and
78 confirm its plausibility with voltage clamp experiments. In the second half of the paper, we use
79 the model to make several experimentally testable predictions. Specifically, we show that AP

80 timing affects calcium binding to downstream targets involved in plasticity, and demonstrate a
81 mechanism by which MSNs could dynamically modulate their sensitivity to AP timing in
82 response to neuromodulation.

83 **Methods**

84 *General MSN model.* Using GENESIS simulation software, we developed a model MSN with
85 realistic electrophysiological activity (figure 1) and calcium dynamics (figure 2) by modifying our
86 previously published dorsal striatum MSN (Evans et al., 2012). The morphology is similar (figure
87 1A), but the maximal dendritic length is reduced to 224 μ m, and the long tertiary branches
88 slightly taper from 0.8 μ m to 0.7 μ m in diameter (figure 1A). These changes are in accordance
89 with reconstructions of MSNs from the NeuroMorpho.org neuronal reconstruction database
90 (Halavi et al., 2008). For simplicity, this model does not contain dendritic spines except where
91 noted for the STDP simulations.

92 *Ionic channels.* This model contains one fast sodium channel (Naf) (Ogata and Tatebayashi,
93 1990) and four voltage-gated potassium channels: a fast potassium A current (Kaf, Kv4.2)
94 (Tkatch et al., 2000), a slow potassium A current (Kas, Kv1.2) (Shen et al., 2004), a resistant
95 persistent potassium current (Krp) (Nisenbaum and Wilson, 1995), and an inwardly rectifying
96 potassium current (Kir) (Stephen and Manchanda, 2009). The model also contains two calcium
97 activated potassium channels: the big conductance BK channel and the small conductance SK
98 channel. All equations governing the kinetics of these channels are in appendix tables 1 & 2.
99 Intrinsic channel time constants in this model have been temperature corrected with a qfactor
100 of 3 except Kaf and Naf which are adjusted by a qfactor of 1.5 and 2.5 respectively. BK and SK
101 are not in appendix tables 1&2 as they are the same as in our previous model (Evans et al.,
102 2012). All channels are present in the soma and in the dendrites; however, the maximal
103 conductances of the Naf, Kaf, and Kas channels were adjusted differentially in the soma and
104 dendrites during model tuning (see appendix table 3). Under the sodium slow inactivation
105 (NaSI) conditions, an additional slow inactivation term is added to the dendritic sodium
106 channels (Ogata and Tatebayashi, 1990; Migliore, 1996).

107 *Calcium channels.* Five voltage gated calcium channels (VGCCs) are included in this model. High
108 voltage activated channels include CaR (Foehring et al., 2000; Brevi et al., 2001), CaN (Cav2.2)
109 (Kasai and Neher, 1992; Bargas et al., 1994; McNaughton and Randall, 1997), and CaL1.2
110 (Cav1.2) (Kasai and Neher, 1992; Bargas et al., 1994; Wolf et al., 2005; Tuckwell, 2012). Low
111 voltage activated channels include CaT (Cav3.3, α 1G) (McRory et al., 2001) and CaL1.3 (Cav1.3)
112 (Wolf et al., 2005; Tuckwell, 2012). Calcium channel kinetics and equations are in appendix
113 tables 1 & 2. For each calcium channel, the Goldman-Hodgkin-Katz (GHK) formula is applied to
114 accurately compute the driving potential for these channels. Under the calcium dependent
115 inactivation (CDI) conditions, an additional calcium-dependent inactivation term is added to the
116 R-type, N-type, and both L-type calcium channels (Liang et al., 2003). The maximal
117 permeabilities of these VGCCs were adjusted in the soma to produce calcium elevations
118 comparable in size and shape to published experiments (Kerr and Plenz, 2002). Similarly,
119 calcium permeabilities in the dendrites were adjusted to match their contributions during back-
120 propagating action potentials (Carter and Sabatini, 2004) (figure 2). Permeabilities are shown in
121 appendix table 3.

122 *Calcium diffusion, buffers, and pumps.* Calcium dynamics were implemented using the calcium
123 *difshell* object in GENESIS, which integrates changes to calcium concentration produced by
124 calcium influx, buffers, pumps and diffusion. A thin ($0.1\mu\text{m}$) submembrane shell was created as
125 the outermost shell and concentric shells progressively doubling in thickness were added within
126 the compartment (figure 2A). One dimensional calcium diffusion between shells occurred at a
127 rate of $200\mu\text{m}^2/\text{sec}$ (Allbritton et al., 1992). Calcium extrusion was achieved by the addition of a
128 Michaelis-Menten pump with $k_m=0.3\text{e-}3\text{mM}$, and $K_{cat}=85\text{pmol}/(\text{cm}^2/\text{s})$ in the soma and
129 $12\text{pmol}/(\text{cm}^2/\text{s})$ in the dendrites. The endogenous calcium buffers calbindin and calmodulin
130 (both N and C terminal binding site) were included with concentrations and kinetics taken from
131 published models (Kim et al., 2010; Oliveira et al., 2012) (Appendix table 4).

132 *Synaptic channels.* AMPA, NMDA, and GABA receptors are distributed along the dendrites. For
133 simplicity, there is one excitatory synapse (containing AMPA and NMDA) and one inhibitory
134 (GABA) synapse per isopotential compartment. The maximal conductances and time constants

135 are summarized in appendix table 5. All synaptic channels use the *facsynchan* object in
 136 GENESIS, which uses equation 1 to calculate the conductance of the channel from the
 137 activation and inactivation time constants (τ_1 and τ_2 respectively), time t relative to the action
 138 potential, and the maximal conductance (g_{max}). K is a normalization constant which is calculated
 139 from the time constants and allows G_{syn} to reach a peak value of g_{max} .

$$140 \quad (1) \quad G_{syn}(t) = (K * wt * g_{max} / (\tau_1 - \tau_2)) (e^{-\frac{t}{\tau_1}} - e^{-\frac{t}{\tau_2}})$$

141 wt = synaptic weight which depends on short term facilitation or depression of these synapses.

$$142 \quad (2) \quad wt = wt_0 * \frac{1}{1 + depr}$$

143 where $wt_0 = 1$. For NMDA and GABA channels, $depr=0$. AMPA receptor desensitization, known
 144 to occur in the striatum (Akopian and Walsh, 2007; Carter et al., 2007), is simulated with a time
 145 dependent $depr$ value. Each time an AMPA synapse is activated $depr$ is incremented by 1.0, and
 146 the value of $depr$ decays with a time constant of 100ms. NMDA receptors are modulated by the
 147 addition of a magnesium block object, where $B=99$ and $A=18$ (Equation 3).

$$148 \quad (3)$$

$$\frac{A}{A + [Mg^{2+}] * \exp(-Vm * B)}$$

149 Extracellular magnesium concentration is set to 1.4mM (Kerr and Plenz, 2002, 2004). These
 150 parameters closely match, but slightly accentuate the magnesium sensitivity seen in (Monyer et
 151 al., 1994). Excitatory inputs activate both an NMDA and an AMPA component with an
 152 NMDA/AMPA ratio of 2.75/1 (Ding et al., 2008), except in the 'no NMDA' condition where the
 153 NMDA channel was removed. The fraction of the NMDA receptor current carried by calcium
 154 was set to 10% (Wolf et al., 2005), and the AMPA receptors were not calcium permeable. A GHK
 155 object was employed to calculate the driving potential of the calcium current through the
 156 NMDA receptor independently of the driving potential of the total NMDA current. An
 157 empirically determined multiplicative factor of 35e-9 was needed to convert the conductance
 158 output of the magnesium block object to the permeability required by the GHK object.

159 *Synaptic inputs and spike timing.* Upstates were generated using excitatory and inhibitory
160 Poisson input trains of 300ms duration as input. Inhibitory input frequencies were set to 70Hz
161 and these trains were completely independent of excitatory inputs. A variety of excitatory input
162 patterns were simulated: A flat input of 40Hz and a series of graded inputs at different
163 strengths (see figure 5 and appendix table 6). Unless otherwise specified, gradient 'G3' was
164 used for the upstate simulations. APs were evoked at specific times within the upstate by a 5ms
165 800pA current injection into the soma. AP timing was measured from the beginning of the
166 upstate to the beginning of the 5ms depolarization. The actual peak of the AP varied by <2ms,
167 and our previous work has shown that spike variability on this scale does not affect calcium
168 results (Evans et al., 2012). During the STDP protocols, one segment of dendrite 44um from the
169 soma was given a single input at positive or negative Δt from the AP with no other synaptic
170 inputs.

171 *Voltage clamp of calcium currents.* All animal handling and procedures were in accordance with
172 the National Institutes of Health animal welfare guidelines and were approved by the George
173 Mason University institutional animal care and use committee (IACUC). C57B6 male and female
174 mice (Charles River, p13-21) were anesthetized with isoflurane and euthanized. Every effort
175 was made to minimize anxiety and pain. Brains were extracted and cut 350 μ m thick using a
176 vibratome (Leica VT 1000S) in ice cold sucrose slicing solution (in mM: KCL 2.8, Dextrose 10,
177 NaHCO₃ 26.2, NaH₂PO₄ 1.25, CaCl₂ 0.5, Mg₂SO₄ 7, Sucrose 210). Slices were immediately placed
178 in an incubation chamber containing artificial cerebrospinal fluid (aCSF) (in mM: NaCl 126,
179 NaH₂PO₄ 1.25, KCl 2.8, CaCl₂, Mg₂SO₄ 1, NaHCO₃ 26.2, Dextrose 11) for 30 minutes at 33°C, then
180 removed to room temperature (22-24°C) for at least 90 more minutes before use. Recording
181 aCSF was modified by replacing Mg₂SO₄ with Mg₂Cl and including either 2mM Ca₂Cl or 2mM
182 Ba₂Cl. TTX (0.5 μ M) and 4-AP (4mM) were added to block sodium and potassium channels
183 respectively. Slices were individually placed in a submersion chamber (ALA scientific) and cells
184 were visualized using differential interference contrast imaging (Zeiss Axioskop2 FS plus).
185 Pipettes (3-5M Ω) were pulled from borosilicate glass on a laser pipette puller (Sutter P-2000),
186 coated with candle wax (to reduce pipette capacitance), and fire-polished (Narishige MF-830).
187 Pipettes were filled with a cesium based internal solution (in mM Cs-gluconate 85, Cs3-citrate

188 10, KCl 1, NaCl 10, HEPES 10, EGTA 1.1, CaCl₂ 0.1, MgCl₂ 0.25, TEA-Cl 15, Mg-ATP 3.56, Na-GTP
189 0.38) pH 7.26 (Rankovic et al., 2011). Voltage clamp recordings were obtained on the HEKA EPC-
190 10 and sampled at 20KHz. Data were acquired in Patchmaster (HEKA Elektronik). Analogue 3-
191 pole (10kHz) and 4-pole (2.9kHz) Bessel filters were applied. Series resistance (4-15MΩ) and
192 capacitance were compensated.

193 *Simulation and analysis.* All simulations used a time step of 5 μs and were repeated using three
194 random number seeds unless otherwise specified. Four dendrites from each simulation were
195 averaged together to estimate the calcium for a single simulation. For the STDP simulation,
196 calcium from the dendrite receiving only the single timed glutamatergic input was measured
197 from each random number seed. The strength of the dependence of calcium on AP timing (the
198 “calcium timing ratio”) was evaluated by averaging the two latest AP conditions (175ms and
199 290ms) and normalizing them by the highest calcium elevation of that condition (usually 10, 20
200 or 30ms). For all these cases graphs report mean and standard deviation across the three
201 random number seeds. Data shown in figures 3-5 are run for one random seed and the data are
202 presented as mean±SEM across four measured dendritic segments. Statistical significance was
203 evaluated using the SAS procedure GLM, and considered significant for p<0.01. In the case of
204 multiple comparisons, a Bonferroni correction was applied. This model is available on ModelDB.

205 **Results**

206 ***Part 1: Mechanisms underlying the calcium sensitivity to AP timing during the upstate.***

207 Here we validate our model against published data and describe two mechanisms that
208 may account for the relationship between calcium and AP timing during cortico-striatal
209 upstates. We confirm that both mechanisms make calcium elevation sensitive to AP timing, and
210 test how these manipulations interact with distance from the soma and the presence of NMDA
211 receptors.

212 *Intrinsic physiology and calcium dynamics in the MSN model match published data*

213 We developed a multi-channel, multi-compartmental biophysical model of a MSN,
214 tuned to match electrophysiological characteristics of whole cell current clamp recordings in

215 slice (figures 1A&B and see methods), and upstate characteristics (figures 1C&D) such as fast
216 depolarization into the upstate, 200-500 ms plateau, and slower repolarization back to the
217 downstate. To accurately model the calcium dynamics in response to electrical activity, this
218 model included a shell-based representation of calcium pools in order to simulate diffusion,
219 calcium buffers (appendix table 4), and submembrane pumps. The intrinsic calcium activity of
220 this MSN was tuned to match published data showing sodium channel-dependent back-
221 propagation of APs into the proximal dendrites (Kerr and Plenz, 2002) (figure 2B) and strong AP
222 decay in distal dendrites (Day et al., 2008) (figure 2C). Dendritic VGCC channel permeabilities
223 were adjusted to qualitatively match their contribution to dendritic calcium elevations during
224 single back-propagating APs (Carter and Sabatini, 2004) (figure 2D). Despite the maximal
225 permeabilities being consistent throughout the distal dendrites (appendix table 3), the
226 contribution of the T-type calcium channels increased with distance from the soma and the
227 contributions of the L and R type calcium channels decreased (figure 2D). Though the
228 contribution of the T-type calcium channel in our model at 44 μ m from the soma is not quite as
229 strong as shown in Carter and Sabatini (2004), we consider these results to be a reasonably
230 good match because Carter and Sabatini were sampling a range of distances from the soma. We
231 used this tuned model for all subsequent upstate simulations without any further adjustment
232 to the intrinsic calcium.

233 *Two mechanisms can account for the relationship between calcium and AP timing*

234 Calcium imaging studies have shown that APs evoked early in the upstate correspond to
235 higher dendritic calcium elevations than APs evoked late in the upstate (Kerr and Plenz, 2004).
236 We hypothesize that two main mechanisms could cause this relationship between calcium and
237 AP timing. First, if the AP back propagated strongly when it occurred early in the upstate, but
238 weakly when it occurred late in the upstate, the calcium peaks would also be strong early and
239 weak late. Second, even if the AP back propagated with equal strength early and late in the
240 upstate, the calcium response could desensitize during the upstate to show the same strong
241 early, weak late calcium pattern. Because the dendritic voltage during upstates has not been
242 recorded, it is not known if the AP back propagates differently early and late in the upstate.

243 Therefore we test both configurations in the computational model to see how well each
244 matches published data.

245 We simulated the calcium in response to a range of AP times during an upstate for two
246 model variations. Upstates are simulated in the model MSN using randomly generated Poisson
247 trains of excitatory and inhibitory input. Using the same random seed to generate the pattern
248 of input, the exact same subthreshold upstate could be repeated with several different AP
249 timings. Each upstate was repeated with a somatic current injection evoking an AP delayed 10,
250 20, 30, 50, 100, 175, or 290 ms from upstate onset.

251 First, a slow inactivation component, which has been measured in striatal sodium
252 channels (Ogata and Tatebayashi, 1990) was added to dendritic sodium channels (Migliore,
253 1996). This NaSI condition indeed caused the AP to back propagate more strongly early in the
254 upstate than late (figure 3A, top panel), and the corresponding calcium elevations varied with
255 AP timing during the upstate (figure 3A, bottom panel). Secondly, calcium dependent
256 inactivation (CDI, see appendix table 2 for equation) of voltage gated calcium channels (VGCCs)
257 (Liang et al., 2003) was added to L, N, and R type calcium channels in our model. When applied
258 to the model, the CDI condition resulted in strong electrical back propagation of the AP both
259 early and late in the upstate (figure 3B, top panel), but the calcium elevation still varied with AP
260 timing (figure 3B, bottom panel). These two conditions, CDI and NaSI, resulted in APs that were
261 indistinguishable at the soma in size and shape (figure 3C). If neither manipulation was applied,
262 the relationship between calcium and AP timing was very weak (figure 3D), and if both were
263 applied simultaneously, the results were not different from the NaSI condition alone (data not
264 shown).

265 To confirm that high voltage activated (HVA) channels actually undergo CDI in the
266 striatum, we voltage clamped HVA calcium currents (by holding at -40mV and stepping to
267 +10mV) and repeated measurements using barium (which causes minimal CDI) and calcium
268 (which causes strong CDI). The ratio of the decayed current (sampled after 180ms) to the peak
269 current (sampled within first 50 ms) was taken as the CDI ratio. In all striatal cells recorded

270 (n=5), the CDI ratio was much stronger in calcium than in barium ($p < 0.00001$, paired T-test)
271 (figures 3E&F).

272 An additional mechanism could theoretically account for a decrease in calcium elevation
273 late in the upstate. An *increase* in buffer capacity and/or pump capacity during the upstate
274 could increase the rate of calcium removal and thus decrease the free calcium. Changes in
275 buffer capacity due to protein synthesis are unlikely at the temporal scales being investigated.
276 One report of a changes in pump capacity actually demonstrates an activity dependent
277 *decrease* in the sodium calcium exchanger (Scheuss et al., 2006). Because an activity dependent
278 decrease in pump activity would result in *more* calcium late in the upstates, this mechanism is
279 unlikely to contribute to the decrease in calcium elevation observed late in the upstate (Kerr
280 and Plenz, 2004). Furthermore, simulations which evaluate the effect of changing pump
281 capacity reveal an insignificant effect on calcium timing ratio for both CDI and NaSI conditions
282 (figure 4).

283 In addition to changes in pump capacity, we evaluated the robustness of our
284 computational model to other parameter variations (figure 4). We systematically varied each
285 parameter directly relating to calcium (buffers, pumps, NMDA calcium and each VGCC) by $\pm 20\%$
286 and compared the calcium relationship with AP timing for each condition to the controls. None
287 of these manipulations significantly altered the relationship between calcium and AP timing in
288 either CDI ($F(18, 75) = 0.15$, $p > 0.9$) or NaSI ($F(18, 75) = 0.49$, $p > 0.9$) (averaged over four
289 dendrites for one random seed). These tests confirm that our main effect is robust to variation
290 in calcium influx, buffering, and pump extrusion.

291 *The calcium-AP relationship depends on input shape and distance from the soma.*

292 The strength of the relationship between calcium elevation and AP timing is modulated
293 by the shape of the cortical input creating the upstate. We simulated a range of input gradients,
294 (figures 5A&B) and the strength of the calcium dependence on AP timing varied with the
295 strength of the gradient (figures 5D&E). While both the NaSI and CDI conditions showed a
296 dependence on input steepness, the effect was stronger for CDI (calcium timing ratio =
297 0.59 ± 0.0008 G3, 0.35 ± 0.01 flat; $p < 0.0001$), than for NaSI (calcium timing ratio = 0.57 ± 0.04 G3,

298 0.54±0.07 flat; $p>0.9$). This result leaves open the possibility that synaptic input pattern alone is
299 sufficient for AP timing effects on calcium. To test this we repeated simulations using gradient
300 G3 in a model with neither CDI nor NaSI. Without either of these mechanisms, the calcium
301 dependence on AP timing was essentially absent (figure 3D). Therefore, while the input
302 gradient *contributes* to the calcium dependence on AP timing, it is not sufficient to *generate* it.
303 Because the simulations fit published data more accurately when the synaptic inputs were
304 weighted toward the beginning of the upstate, we used gradient G3 as the upstate-generating
305 input, unless otherwise specified.

306 Calcium imaging in organotypic co-cultures reveals that the calcium elevation due to the
307 upstate and the relationship between calcium and AP timing increases with distance from the
308 soma (Kerr and Plenz, 2004). To test whether both CDI and NaSI show this increase in the
309 calcium AP timing relationship, the calcium signal is recorded at progressively more distal
310 dendrites during upstate simulations (figure 6A). Both CDI and NaSI show an increase in the
311 strength of the calcium dependence on AP timing (calcium timing ratio) between primary,
312 secondary, and tertiary branches (figures 6B&C insets). Therefore, in this case, both conditions
313 equally match the published data.

314 Because the organotypic co-culture study did not record the calcium signals of distal
315 dendrites (Kerr and Plenz, 2004), and we have previously shown that the effect of the back-
316 propagating AP on calcium influx is attenuated in distal dendrites (Evans et al., 2012), we tested
317 the relationship between calcium and AP timing distally. Figure 6 shows that distally the
318 relationship between calcium and AP timing *decreases* with distance from the soma. This result
319 implies that there is an optimal distance from the soma where the timing of the AP has the
320 strongest effect on the corresponding calcium signal. More proximal dendrites are larger and
321 have lower impedance, causing a reduced effect of synaptic inputs, and more distal dendrites
322 are subject to the decay of the back-propagating AP causing a weaker relationship between
323 calcium and AP timing. The optimal distance in our model is the first tertiary dendritic segment,
324 44-62µm from the soma. Interestingly, it is exactly this distance from the soma that has been
325 shown to have the highest density of dendritic spines (Berlanga et al., 2011).

326 *CDI replicates the effect of NMDA receptor blockade on the relationship between calcium and*
327 *AP timing*

328 Previous work demonstrated that the relationship between calcium influx and AP timing
329 is dependent on the activation of the NMDA receptors (Kerr and Plenz, 2004). Therefore, we
330 tested whether our two mechanisms (CDI and NaSI) were each dependent on the NMDA
331 receptor by running upstate simulations with and without the NMDA receptors. Simulations
332 revealed that removing the NMDA receptors greatly reduced the strength of the relationship
333 between AP timing and calcium influx for the CDI condition (calcium timing ratio = 0.59 ± 0.0008
334 cntrl, 0.30 ± 0.054 no NMDA; $p < 0.0001$), but did not reduce it for the NaSI condition (figure 7). In
335 the NaSI condition, calcium elevations corresponding to both the early and the late APs were
336 reduced with NMDA blockade, resulting in essentially the same *relationship* between calcium
337 peak and AP timing (calcium timing ratio = 0.57 ± 0.04 cntrl, = 0.61 ± 0.06 no NMDA; $p > 0.9$; figure
338 7A inset). Because the CDI condition matches the dependence of this calcium timing ratio on
339 NMDA receptors and the NaSI condition does not, our model predicts that CDI is more likely to
340 be a mechanism controlling this relationship.

341 Because the input gradient strongly influences the relationship between calcium and AP
342 timing (figure 5), we repeated the NMDA and no NMDA comparison for the flat input condition.
343 In the CDI condition, removing NMDA receptors during flat inputs caused a decrease in the
344 calcium timing ratio (0.35 ± 0.01 flat, 0.22 ± 0.03 flat no NMDA, $p < 0.01$) (figure 7B), even though
345 the effect of removing NMDA receptors was much stronger for the G3 input gradient (figure
346 7A). In the NaSI condition, neither the G3 gradient input nor the flat input resulted in NMDA
347 dependence of the calcium timing ratio. In contrast to the flat CDI condition, the flat NaSI
348 condition showed a surprising enhancing of the relationship between calcium and AP timing
349 due to blocked NMDA receptors (calcium timing ratio = 0.54 ± 0.07 flat, 0.74 ± 0.03 flat no NMDA;
350 $p < 0.01$; figure 7B inset). This enhancement clearly does not replicate published data (Kerr and
351 Plenz, 2004), further supporting CDI as an essential mechanism underlying the relationship
352 between calcium and AP timing.

353 In conclusion, we find that the CDI model better fits the published data. Specifically, the
354 relationship between calcium and AP timing is dependent on NMDA receptors only in the CDI
355 case. Our voltage clamp experiments support this as a plausible mechanism because they show
356 that CDI does indeed occur in the HVA calcium channels of the striatum. Therefore, all
357 subsequent simulations are run using the CDI condition.

358 ***Part 2: Effect of neuromodulation and implications for in vivo plasticity.***

359 Here we use the model to investigate several aspects of plasticity during cortico-striatal
360 upstates. We test how intrinsic and synaptic excitability, which can balance each other in
361 homeostatic plasticity, affect the relationship between calcium and AP timing, and investigate
362 the mechanisms controlling calcium binding to downstream targets which can influence the
363 direction of plasticity at a synapse. Finally, we test whether spike timing dependent plasticity
364 (STDP) pairings are modulated by their timing within the upstate.

365 *Modulation of intrinsic, but not synaptic excitability alters calcium relationship with AP timing*

366 Differences in stimulation patterns or changes in neuromodulator availability can alter
367 MSN intrinsic excitability, which in turn can affect plasticity. The intrinsic excitability of the
368 dendrite depends on the composition of voltage gated potassium and sodium channels as well
369 as the input resistance. Slice experiments have shown that dopamine depletion in the striatum
370 increases intrinsic excitability of MSNs (Fino et al., 2007) and that this excitability increase is
371 due to a two fold increase in the inactivation speed of A-type potassium currents (Kaf) (Azdad
372 et al., 2009). Another potassium channel that can both modify dendritic excitability and be
373 dynamically altered in response to neuromodulation is the inwardly rectifying potassium
374 current (Kir). Acetylcholine M1 receptor activation causes a strong (40%) reduction of this
375 current in one class of MSN (Shen et al., 2007).

376 To evaluate the effect of excitability on the sensitivity of calcium to AP timing, we
377 repeated simulations with either inactivation of Kaf twice as fast as the control condition or the
378 conductance of the inwardly rectifying Kir channel set to 60% of its control value. Our results
379 show that faster Kaf inactivation makes the calcium elevation corresponding to the upstate

380 more sensitive to AP timing (figure 8A). Specifically this modification causes a calcium increase
381 in response to APs early in the upstate, but no change in calcium elevation in response to APs
382 late in the upstate (calcium timing ratio = 0.47 ± 0.01 cntrl, = 0.57 ± 0.01 fast Kaf inact; $p < 0.005$).
383 In contrast to the strong effect seen with Kaf modification, the change in Kir affects neither the
384 absolute calcium nor the relationship between AP timing and calcium elevation (calcium timing
385 ratio = 0.59 ± 0.0008 cntrl, 0.58 ± 0.0005 60% Kir; $p > 0.9$) (figure 8C).

386 The increase in intrinsic excitability (via fast Kaf inactivation) may be a homeostatic
387 mechanism deployed by the neuron to compensate for a decrease in synaptic excitability (via
388 reduced AMPA receptor activity) that occurs with dopamine depletion (Azdad et al., 2009). The
389 AMPA receptor plays a strong role in the local depolarization of the dendrite, and has been
390 shown to influence the effect of back-propagating APs on NMDA calcium (Holbro et al., 2010).
391 We therefore hypothesized that increased synaptic excitability implemented by removing
392 AMPA receptor desensitization would reduce calcium sensitivity to AP timing. Surprisingly,
393 when AMPA receptor desensitization was removed, the calcium dependence on AP timing was
394 not altered (calcium timing ratio = 0.47 ± 0.009 cntrl, 0.48 ± 0.03 no AMPA desens; $p > 0.9$) (Figure
395 8B). These results show that increases in synaptic excitability and increases in intrinsic
396 excitability do not affect calcium during the upstate in the same way. This also suggests that a
397 change in intrinsic excitability cannot fully compensate for a change in synaptic excitability with
398 regards to calcium sensitivity to AP timing and therefore has implications for upstates during
399 dopamine depletion pathologies such as Parkinson's Disease.

400 *Fast and slow calcium binding partners are differentially influenced by AP timing and AP*
401 *number.*

402 Since calcium is required for plasticity in the striatum (Fino et al., 2010), it has been
403 suggested that the calcium elevations during upstates could cause potentiation or depression
404 (Kerr and Plenz, 2004). However the factors that determine whether calcium causes
405 potentiation or depression are still not clear. Recent studies suggest that the dynamics of
406 calcium influx control preferential binding of calcium to disparate targets (Goldberg et al., 2009;
407 Kubota and Waxham, 2010). To test whether the timing of the AP within the upstate would

408 influence not only calcium elevation, but also the binding partners which calcium preferred, we
409 made use of our calmodulin buffer which has a fast binding N site (CaMN) and a slow binding C
410 site (CaMC).

411 During the upstate simulations, the peak concentration of bound CaMN is more strongly
412 affected by the timing of the AP within the upstate than the peak concentration of bound CaMC
413 (figure 9A). The slower binding and unbinding of calcium from the CaMC site smoothes the
414 effect of the AP, making its timing irrelevant, while the fast binding and unbinding of the CaMN
415 site makes it sensitive to AP timing. Figure 9 shows the peak bound CaMC (9A) and CaMN (9B)
416 sites as a function of AP timing within the upstate (black symbols). While the peak bound CaMC
417 is always higher than the peak bound CaMN (due to the higher affinity of calcium for the C site
418 than the N site), it is clear that binding to the CaMN site is sensitive to AP timing, but binding to
419 the CaMC site is not.

420 Another way that information can be conveyed during the upstate is through number of
421 APs, rather than the specific timing of a single AP. To test whether the N and C sites of
422 calmodulin were differentially sensitive to AP number, we ran upstate simulations with 1 to 11
423 APs. To prevent AP timing from influencing the bound buffer elevation, the timing of the initial
424 AP was kept constant (20ms from upstate onset), and each AP was added 20ms after the most
425 recent one (for a frequency of 50Hz). In contrast to the AP timing condition, the peak bound
426 CaMC site increased with the total number of APs, while the peak bound CaMN remained
427 constant (figure 9, gray symbols). These results demonstrate that the two lobes of calmodulin
428 are differentially sensitive to either the precise timing of the AP (CaMN) or the total number of
429 APs (CaMC) within the upstate. Because the bound N and bound C sites differentially influence
430 CaM's ability to bind to CaM dependent kinase type 2 (CaMKII), a molecule implicated in
431 synaptic strengthening (Forest et al., 2008), the specific combination of AP timing and AP
432 number during the upstate may determine whether a given synapse will undergo potentiation
433 or depression.

434 *AP timing during the upstate interacts with spike timing dependent plasticity protocols*

435 When APs are paired with precisely timed glutamatergic inputs, a cortico-striatal
436 synapse can undergo spike timing dependent plasticity (STDP) (Fino et al., 2010). However *in*
437 *vivo* neurons are subject to barrages of synaptic inputs during the upstate; thus a crucial
438 question is whether spike timing influences calcium under *in vivo* like conditions. We have
439 previously shown that the NMDA mediated calcium during STDP protocols correlates with
440 synaptic potentiation (Evans et al., 2012). Here we use similar measurements to test how
441 specifically timed pairings during the upstate would affect NMDA mediated calcium.

442 Because the spatial constraints of dendritic spines could alter the calcium dynamics, we
443 added a single spine with an NMDA calcium pool to the first tertiary dendritic segment. In order
444 to precisely control the timing of the pre and post-synaptic stimulations, we reserved a single
445 tertiary dendritic segment, 44 μ m from the soma, and stimulated its spine only one time during
446 the entire upstate. The time of this stimulation was varied (Δt) around an early (20ms after
447 onset) upstate AP and a late (175ms after onset) upstate AP (figure 10, inset). The NMDA
448 mediated calcium was recorded for each interval through a separate NMDA calcium pool (Evans
449 et al., 2012). Pairing synaptic input with the AP showed a distinctive STDP-curve both early and
450 late in the upstate (figure 10). As in our previous model (Evans et al., 2012), the positive
451 intervals showed higher NMDA mediated calcium peaks.

452 The upstate depolarizes the dendrite even without an AP and subthreshold
453 depolarizations have been shown to cause synaptic plasticity in MSNs (Fino et al., 2009). Thus,
454 it is possible that the timing of the synaptic input relative to the subthreshold upstate could
455 produce an STDP-like curve even without an AP. To demonstrate that the timing of the AP is
456 indeed contributing to the shape of the STDP curve, we ran simulations in the absence of an AP
457 (figure 10, open symbols). The stimulations given late in the upstate have no temporal
458 sensitivity without the AP, while the stimulations early in the upstate demonstrate moderate
459 temporal sensitivity even without the AP. In both the early and late AP cases, however, the AP
460 ‘sharpens’ the curve, lowering the NMDA calcium during negative intervals and increasing
461 NMDA calcium during positive intervals relative to the no AP condition. This ‘sharpening’ effect
462 is much stronger when the stimulated synapse is on the spine than on the dendritic shaft (data

463 not shown), suggesting that indeed the spatial constraints of the spine head influence the
464 relationship between calcium and the backpropagating AP.

465 These results suggest that specific pre and post-synaptic pairings could undergo STDP
466 even during an upstate. Because the NMDA mediated calcium is higher early in the upstate
467 than later in the upstate, our model predicts that pairings occurring early in the upstate will
468 have a slight bias toward potentiation when compared to pairings occurring late in the upstate.

469 **Discussion**

470 Upstates in the striatum drive large dendritic calcium transients which may control
471 naturally occurring potentiation and depression of MSN synapses during sleep. The distinct up
472 and downstate pattern is a trademark of the anesthetized or sleeping striatum and is much
473 weaker during wakefulness (Mahon et al., 2006). We have implemented highly realistic calcium
474 dynamics in a biophysical model of a MSN to study the factors governing these calcium
475 elevations during upstates and to investigate the implications of a timing dependent calcium
476 elevation on synaptic plasticity.

477 *Is CDI or NaSI more likely?*

478 We first evaluated two mechanisms that cause the strong relationship between calcium
479 elevation and AP timing within the upstate: NaSI and CDI. Both mechanisms matched the
480 published data regarding the dependence of calcium on AP timing and the effects of distance
481 from the soma on calcium elevation, but they did not both match other published effects such
482 as NMDA dependence. Because CDI matched the published NMDA dependence of the timing
483 dependent calcium elevations while NaSI did not, our model predicts that CDI is more likely the
484 mechanism producing the effect of AP timing.

485 It is important to note that these are not the only possible mechanisms that could account
486 for a calcium relationship with AP timing. Pump extrusion, for example, could be altered over
487 the course of the upstate or dependent on number or frequency of action potentials (Scheuss
488 et al., 2006). Further experiments are needed to confirm that CDI is the main mechanism for
489 the calcium relationship with AP timing.

490 *Three testable predictions*

491 First, the simulations fit published data more accurately when the synaptic inputs are
492 weighted toward the beginning of the upstate (figure 5). Thus, our model predicts that the
493 cortico-striatal upstates are initiated by strong glutamatergic inputs from the cortex.
494 Electrophysiological recordings from organotypic triple co-cultures (Kerr and Plenz, 2004) and *in*
495 *vivo* (Schulz et al., 2009) demonstrate variability in upstate shape. However, the upstates often
496 show steep rise times at initiation rather than slow increases (figures 1C&D). In addition, recent
497 experimental work has shown that striatal upstates can be sustained hundreds of milliseconds
498 after the glutamatergic barrage has ended (Flores-Barrera et al., 2009; Plotkin et al., 2011) (but
499 see also Kasanetz et al., 2006), indicating that it is indeed possible that naturally occurring
500 upstates could have strong initial inputs that decrease or even disappear over the duration of
501 the upstate. While one study found that distal inputs were able to induce upstates more
502 strongly than proximal (Plotkin et al., 2011), the excitatory and inhibitory inputs in our model
503 are distributed equally throughout the cell and differences based on spatial distribution of
504 inputs were not explored. Experiments using calcium hotspot imaging (Varga et al., 2011) in
505 organotypic co-cultures could test our model prediction that glutamatergic inputs during the
506 upstate are weighted toward upstate onset. In addition this technique could be used to test the
507 spatial distribution of synaptic inputs during spontaneous upstates.

508 Second, simulations demonstrate that there is a specific distance from the soma which
509 shows optimal sensitivity to AP timing. In our model it is the first segment of the tertiary
510 dendrite, 44-62 μ m from the soma. However because each neuron is slightly different, it is likely
511 that the size and location of this optimal distance could be variable. Therefore our simulations
512 predict that for a given neuron there will be an optimal distance that displays a very strong
513 relationship between calcium and AP timing, though it will not necessarily be the exact same
514 distance for every neuron. Synapse and spine counting studies have shown that excitatory
515 synapse density and spine density is highest between 30-60 μ m from the soma, declining
516 sharply in the proximal direction, and declining more gradually in the distal direction (Berlanga
517 et al., 2011). It is possible that optimal sensitivity to AP timing at this location increases calcium-

518 based plasticity and consequently spine growth and excitatory synapse development. While the
519 changes in sensitivity to AP timing proximal to the soma have already been shown (Kerr and
520 Plenz, 2004), experiments imaging calcium on very distal dendrites during striatal upstates
521 could test our model prediction that the sensitivity to AP timing decreases in the distal
522 dendrites.

523 Third, simulations reveal a means through which MSNs can dynamically alter their
524 sensitivity to AP timing during the upstate by modulating their intrinsic excitability. Increasing
525 the speed of Kaf inactivation accentuated calcium's dependence on AP timing during the
526 upstate. This doubling of the Kaf inactivation kinetics occurs in the striatum in response to
527 dopamine depletion (Azdad et al., 2009). Azdad et al.(2009) suggest that this excitability change
528 is a form of homeostatic plasticity, increasing *intrinsic* excitability to compensate for a loss in
529 *synaptic* excitability. However, as we have shown, this specific intrinsic excitability change
530 increases the calcium dependence on AP timing, while a synaptic excitability change (the
531 removal of AMPA receptor desensitization) does not alter the relationship. Thus, the balance is
532 not necessarily restored accurately. Such a compensation would result in an increased
533 sensitivity to AP timing which may be too strong for optimal function. Indeed, a change in
534 calcium dependence on AP timing could result in plasticity imbalances and consequently
535 contribute to symptoms of dopamine depletion pathologies such as Parkinson's Disease.
536 Because we did not see an effect of Kir modification, our simulations show that not all changes
537 in potassium-based intrinsic excitability will result in altered calcium dynamics. While we did
538 not model all the effects of dopamine depletion, our model predicts that alterations in Kaf
539 observed during dopamine depletion will increase the dependence of calcium on AP timing.
540 This prediction could be experimentally tested by pharmacologically or genetically manipulating
541 the Kaf channel in organotypic co-cultures to test how changes in kinetics affected the calcium
542 elevation during the upstate. Similarly, application of cyclothiazide to inhibit AMPA
543 desensitization under the same conditions could reveal whether this aspect of synaptic
544 excitability affects the calcium elevation during the upstate.

545 *Implications for plasticity*

546 Calcium elevation is necessary for both the potentiation and depression of cortico-
547 striatal synapses (Fino et al., 2007). How calcium can cause these opposing effects is still a
548 question up for debate. Several mechanisms have been postulated, for example, that the total
549 amount of calcium determines the direction of plasticity (Graupner and Brunel, 2012), that the
550 channel allowing calcium influx determines plasticity (Fino et al., 2010), or that the binding of
551 calcium to downstream targets makes the difference (Lisman, 1989).

552 It is clear from our results that *if* the total amount of calcium determines the direction of
553 plasticity (high calcium=potentiation; low calcium=depression), upstates that contain early APs
554 will be more likely to potentiate synapses than upstates containing late APs. However, it is not
555 clear that the *amount* of calcium is the only important factor. Therefore, we investigated
556 differences in calcium binding during early and late APs. We found that the fast binding of the
557 CaMN site was sensitive to AP timing, but the slow binding of the CaMC site was not. Previous
558 studies have shown that fast binding partners are more sensitive to the backpropagation of a
559 single AP than slow binding partners (Markram et al., 1998). Our work supports this idea and
560 extends it to an *in vivo*-like context within the upstate. Importantly, we demonstrate that there
561 is a dichotomy in the way that each calmodulin binding site responds to different types of
562 information carried by the upstate. Specifically, the CaMN site is sensitive to AP timing, while
563 the CaMC site is sensitive to AP number. These simulations reveal differential functions of
564 calmodulin lobes without the spatial scale implemented in single spine simulations (Kubota and
565 Waxham, 2010). Calcium binding to the CaMN site alone is able to partially activate CaMKII, a
566 molecule strongly implicated in synaptic strengthening (Forest et al., 2008). The CaMN site's
567 preferential response to APs early in the upstate implies that the APs early in the upstate will
568 more efficiently trigger mechanisms for increasing synaptic strength.

569 In addition, our results can be extended to other calcium binding partners. Our model
570 predicts that in general fast binding partners will be sensitive to AP timing, while slow binding
571 partners will be sensitive to AP number. This result, in combination with future research
572 revealing the kinetics of plasticity-related calcium binding partners, will shed light on which
573 plasticity mechanisms are sensitive to specific upstate characteristics.

574 STDP pairings show robust synaptic plasticity in cortico-striatal brain slice and our
575 previous work (Evans et al., 2012) demonstrates that the simulated NMDA calcium under these
576 conditions is an excellent predictor of synaptic potentiation. Here we show that during noisy *in*
577 *vivo* like conditions, STDP curves can still be established for independent dendritic and spine
578 compartments. This finding suggests that STDP can occur during sleep, when the upstate-
579 downstate pattern is most prominent (Mahon et al., 2006). Plasticity under these conditions
580 may play a role in memory consolidation mediated by replay of cortical activity during sleep
581 (Ribeiro et al., 2004; Lansink et al., 2009)

582 Our simulations compliment the experimental findings of Fino et al., (2009), which show
583 that subthreshold depolarizations paired with pre-synaptic stimulation can induce synaptic
584 plasticity in MSNs. They show that the curves elicited by pairing pre-synaptic stimulation with
585 subthreshold post-synaptic depolarization are wider and less 'directional' than those elicited by
586 pairing pre-synaptic stimulations with suprathreshold stimulations containing APs. Similarly, our
587 simulations show that the timing intervals which evoke elevated calcium under the
588 subthreshold (no AP) condition early in the upstate are wider and shallower than the same
589 stimulations paired with an AP.

590 It has been hypothesized that one population of synapses controls the upstate, but that
591 a separate set of inputs drives the AP (O'Donnell and Grace, 1995; Kasanetz et al., 2006). If this
592 is indeed the case, a specific STDP-like pairing could easily occur on a dendritic segment or
593 spine during the upstate. If an input consistently drives an AP during the upstate, it would
594 consistently show a positive Δt and be potentiated. If an input simply drives the upstate, but
595 not the AP, it would be equally likely to fall in a positive or negative Δt relative to the AP and
596 would not be consistently potentiated. In this way the STDP control of calcium influx could
597 control the direction of plasticity for specific synapses despite the calcium influx due to the
598 upstate as a whole. On the other hand, the STDP control of calcium influx is not completely
599 independent of timing during the upstate. We found that the whole STDP curve is shifted
600 slightly upwards, towards higher NMDA calcium, when the AP is early in the upstate compared
601 to when the AP is late. This suggests that even if the plasticity of a synapse is based solely on

602 STDP principles, the pairings that occur early in the upstate will be slightly biased toward
603 potentiation.

604 *Conclusion*

605 Our model is the most advanced biophysical MSN model to date and is a useful tool for
606 studying the calcium dynamics in the striatum. We have used it to investigate the mechanisms
607 which underlie the non-linear calcium dynamics corresponding to AP timing during striatal
608 upstates, and it could easily be extended to answer other essential questions about striatal
609 function and plasticity.

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745 **Figure Captions:**

746 **Figure 1. Computational model comparison with electrophysiological data. A.** Morphology of model
747 MSN, not to scale. Soma is 16 μ m in diameter, primary dendrites are 12 μ m long, secondary dendrites are
748 14 μ m long, and tertiary dendrites are divided into 11 continuous 18 μ m long segments. **B.** Experimental
749 and model voltage responses to somatic current injection of 260pA. Both demonstrate long latency to
750 first action potential. Scale bars vertical: 10mV. horizontal: 100ms **C.** Experimental and model voltage
751 traces showing synaptically evoked upstates. Scale bars vertical: 10mV. horizontal: 100ms. Experimental
752 upstate is a spontaneous upstate recorded from organotypic triple co-culture (Blackwell and Plenz,
753 *unpublished*). **D.** *In vivo* upstate traces show variability in upstate shape. Scale bars vertical: 5mV
754 horizontal: 1s. Used with permission from John Reynolds.

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756 **Figure 2: Intrinsic calcium signaling in the model matches published data. A.** Schematic drawing of
757 dendritic segment showing diffusion of calcium between shells, extrusion of calcium via pumps, and
758 influx of calcium via VGCCs. **B.** Model calcium signal (normalized to the signal seen at the soma) strongly
759 responds to a single back propagating AP in proximal dendrites. When sodium channels are removed
760 from the dendrites (TTX dend), calcium decreases with distance from the soma (Similar to Kerr and Plenz
761 2002 figure 7G). **C.** Model calcium signal (normalized to tertiary dendrite segment 1, 42 μ m from soma,
762 in distal dendrites does not strongly respond to backpropagating AP (Similar to D1 neurons in Day et al.
763 2008 figure 1D). **D.** The contribution of VGCCs changes with distance from the soma even when the
764 conductances are the same. The relative contribution of R and L type calcium channels is reduced in
765 distal dendrites, while the relative contribution of T type calcium channels is increased (Contributions
766 tuned to be qualitatively similar to Carter and Sabatini 2004 figure 2).

767

768 **Figure 3: Two basic mechanisms can account for the relationship between calcium elevation and AP**
769 **timing during the upstate: reduced AP backpropagation (NaSI) or reduced calcium response (CDI). A.**
770 Reducing the back propagation of the APs through the implementation of NaSI causes a timing
771 dependent reduction in the tertiary dendrite depolarization (top panel) and calcium response (bottom
772 panel). **B.** Reducing the calcium response to depolarization through the implementation of CDI does not
773 cause a reduction in the depolarization of the tertiary dendrite (top panel), but does cause a timing
774 dependent reduction of the calcium response (bottom panel). **C.** APs were elicited at specific times by
775 short (5ms) somatic depolarizations during the synaptically-induced upstate. There was very little
776 difference in the shape of the upstate between the NaSI mechanism (gray) and the CDI mechanism
777 (black). Scale bars vertical: 20mV horizontal: 50ms **D.** Average over 4 tertiary dendrites as a function of
778 AP timing. **E.** Example traces showing CDI in high voltage activated calcium currents from a voltage
779 clamped striatal neuron. Scale bars are vertical: 100pA. horizontal 50ms. **F.** Summary averaged CDI ratio
780 (degree of reduction by end of 200ms) for all cells (n=5). *= p<0.00001, paired T-test.

781

782 **Figure 4: Robustness tests.** Changes in calcium parameters $\pm 20\%$ does not significantly change the main
783 effect of AP timing dependent calcium concentration for either the NaSI condition (top) or the CDI
784 condition (bottom). MM=Michaelis Menten pump (Kcat), CaM= calmodulin (both N and C site),
785 cb=calbindin, NMDACa=fraction of calcium through NMDA receptor, L13=L-type calcium channel Cav1.3,
786 L12=L-type calcium channel Cav1.2, N=N-type calcium channel, R=R type calcium channel, T=T-type
787 calcium channel. All error bars \pm SEM. Solid black line is mean for control condition and dotted black lines
788 are \pm SEM for control condition.

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790 **Figure 5: Input pattern affects calcium dependence on AP timing.** **A.** Sub-threshold upstates of varying
791 gradient patterns as measured at the soma. **B.** Schematic of input patterns (not to scale, see appendix
792 table 6) **C.** Example traces of calcium in tertiary dendrites during each input pattern (no AP). **D.** Average
793 of 4 tertiary dendrites as a function of AP timing for each input pattern. **E.** Calcium timing ratio for each
794 input pattern, averaged over 4 tertiary dendrites for one random seed. All error bars \pm SEM.

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796 **Figure 6. Distance from soma affects calcium dependence on AP timing.** **A.** Morphology (not to scale)
797 of one dendritic branch color-coded dark to light for increasing distance from soma. Example calcium
798 traces for each dendritic segment, primary (P), secondary (S), tertiary1 (T1), tertiary2 (T2), and tertiary3
799 (T3) for both NaSI and CDI. Scale bars are vertical: 0.1 μ M. horizontal: 100ms. **B.** Calcium dependence on
800 AP timing for NaSI condition is most prominent at the proximal tertiary dendritic segment (T1). Inset:
801 bar graph showing the calcium timing ratio between the calcium peak for early (highest point) and late
802 (average of two last points) APs for each dendritic segment. All error bars are \pm SD. **C.** Same as B, but for
803 CDI condition.

804

805 **Figure 7. Dependence on NMDA receptors during flat and graded inputs.** **A.** Graded inputs result in a
806 strong relationship between calcium signal and AP timing during the upstate. The calcium dependence
807 on AP timing is reduced when the NMDA receptor is blocked only in the CDI condition. Note that the
808 peak calcium elevations, but not the *relationship* between calcium and AP timing (calcium timing ratio,
809 inset), are changed in the NaSI condition. Inset: bar graph showing the calcium timing ratio. All error
810 bars are \pm SD. (*= $p < 0.0001$) **B.** When the upstate is elicited by flat input trains, the dependence of
811 calcium peak on AP timing is reduced and the phenomenon is more weakly dependent on NMDA. Again
812 this NMDA-dependence is observed for CDI, but not NaSI. Inset same as A.

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817 **Figure 8. A change in intrinsic excitability alters calcium relationship with AP timing.** **A.** Fast Kaf
818 inactivation (green traces) caused an increase in the strength of the calcium relationship with AP timing
819 ($*=p<0.005$). Synaptic input with gradient G1 (see figure 5) was used to generate upstates to avoid
820 spontaneous APs due to increased excitability. **B.** Removing AMPA receptor desensitization (blue traces)
821 did not affect the calcium relationship with AP timing. Gradient G1 was used to generate upstates. **C.**
822 Reducing Kir by 40% (red traces) did not affect the calcium relationship with AP timing.

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824 **Figure 9. Preference for calcium binding partners differs with AP timing and AP number.** **A.** Peak
825 bound calmodulin C site (CaMC) is sensitive to AP number (gray) but not AP time (black). **B.** Peak bound
826 calmodulin N site (CaMN) is sensitive to AP time (black), but not AP number (gray). All error bars are \pm
827 SEM.

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829 **Figure 10. STDP can occur during an upstate.** NMDA receptor mediated calcium in the spine head shows
830 an STDP curve shape early and late in the upstate (filled squares), which requires the AP. Cntrl = NMDA
831 stimulation alone. Inset: schematic of early and late AP with stimulation times (not to scale) during the
832 upstate. Vertical lines represent timing of AP; dots represent timing of pre-synaptic stimulation.

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Appendix

Channel Name	mh form	Steady State	tau	α or β equation	Vhalf (mV)	Slope (mV)	Rate
Naf	m ³	sigmoid	<i>see table 2</i>	-	-25	-10	1
	h	sigmoid	<i>see table 2</i>	-	-60	6	1
Kir	m	$\alpha/(\alpha+\beta)$	$(1e^{-3}/(\alpha+\beta))*2$	α (exp)	-	-11	1e-5
				β (sigmoid)	30	-50	1.2
Kaf	m ²	$\alpha/(\alpha+\beta)$	$1e^{-3}/(\alpha+\beta)$	α (sigmoid)	-18	-13	1.8
				β (sigmoid)	2	11	0.45
	h	$\alpha/(\alpha+\beta)$	$1e^{-3}/(\alpha+\beta)$	α (sigmoid)	-121	22	0.105
				β (sigmoid)	-55	-11	0.065
Kas	m ²	$\alpha/(\alpha+\beta)$	$1/(\alpha+\beta)$	α (sigmoid)	54	-22	0.25
				β (sigmoid)	-100	35	0.05
	h	$0.8+(\alpha/(\alpha+\beta))*0.2$	$1/(\alpha+\beta)$	α (sigmoid)	-95	16	2.5
				β (sigmoid)	50	-70	2
Krp	m ²	$\alpha/(\alpha+\beta)$	$1/(\alpha+\beta)$	α (exp)	-	24	16
				β (exp)	-	-45	2.4
	h	$0.87+(\alpha/(\alpha+\beta))*0.13$	$1/(\alpha+\beta)$	α (exp)	-	-100	0.01
				β (exp)	-	18	0.4
CaL1.2	m	sigmoid	<i>see table 2</i>	-	-8.9	-6.7	1
	h	$0.17+(\text{sigmoid})*0.83$	44.3ms	-	-55	8	1
CaL1.3	m	sigmoid	<i>see table 2</i>	-	-40	-5	1
	h	sigmoid	44.3ms	-	-37	5	1
CaN	m ²	sigmoid	<i>see table 2</i>	-	-3	-8	1
	h	$0.21+(\text{sigmoid})*0.79$	70ms	-	-74.8	6.5	1
CaR	m ³	sigmoid	5.1ms	-	-29	-9.6	1
	h	sigmoid	<i>see table 2</i>	-	-33.3	17	1
CaT	m ³	sigmoid	<i>see table 2</i>	-	-63	-8	1
	h	sigmoid	<i>see table 2</i>	-	-84	5	1

848 **Table 1. Steady state equations.** Tau values in these tables are not temperature corrected (see methods
849 for temperature correction values). Equations: sigmoid = rate/(1 + (exp ((V- vhalf)/slope))); exp =
850 (rate)*(exp((V)/slope))

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Channel Name	mh form	tau	α or β equation	Rate*	Slope (mV)	Vhalf (mV)
Naf	m^3	Eqn1	-	1.45	8	-62
	h	Eqn2	-	1.2	3	-42
CaL1.2 [§]	m	$1/(\alpha+\beta)$	α (linoid)	39.8e3	8.124e-3	9.005e-3
			β (exp)	0.99e3	31.4e-3	-
CaL1.3 [§]	m	$1/(\alpha+\beta)$	α (linoid)	39.8e3	67.24e-3	15.005e-3
			β (exp)	3.5e3	31.4e-3	-
CaN [§]	m^2	$1/(\alpha+\beta)$	α (linoid)	39.8e3	17.19e-3	15.22e-3
			β (exp)	384.2	23.82e-3	-
CaR [§]	h	$(1/(\alpha+\beta))+20$	α (linoid)	10e6	94.5e-3	5.12e-3
			β (exp)	84.2	13e-3	-
CaT	m^3	$(1/(\alpha+\beta))+2.2$	α (linoid)	14.552e3	84.5e-3	7.12e-3
			β (exp)	4.9842e3	13e-3	-
	h	$(1/(\alpha+\beta))+100$	α (linoid)	2.652e3	94.5e-3	5.12e-3
			β (exp)	684.2	13e-3	-

858 **Table 2. Tau equations for inward currents.** Tau values in this table have not been temperature
859 corrected (see methods for temperature correction values). Equations: Eqn1 = $0.1 + (\text{rate}/(1 + (\exp((V -$
860 $v_{\text{half}})/\text{slope}))))^2$; Eqn2 = $0.2754 + (\text{rate}/(1 + (\exp((V - v_{\text{half}})/\text{slope}))))$; linoid :
861 $(\text{rate} * (V + v_{\text{half}})) / ((\exp(V + v_{\text{half}})) / \text{slope} - 1)$; exp: $(\text{rate} * \exp(V / \text{slope}))$. *Rate units are ms^{-1} for linoid and
862 exp, and ms for eqn 1 and 2. § Channels undergoing CDI with the equation: $(0.0005^3 / (0.0005^3 + [\text{Ca}^{2+}]^3))^{100}$
863 and a time constant of 47.3ms, only when CDI is turned on.

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<u>Gbar (S/M²)</u>	<u>soma</u>	<u>prox dend</u>	<u>mid dend</u>	<u>dist dend</u>
NaF	50,000	6,000	6,000	2,000
Kir	11	11	11	11
KaF	300	550	550	550
KaS	200	22	22	22
Krp	14	14	14	14
SK	1	1	1	1
BK	10	10	10	10
<u>Pbar (cm/s)</u>				
CaL1.2	6e-7	1e-7	1e-7	1e-7
CaL1.3	3e-7	0.5e-8	0.5e-8	0.5e-8
CaN	12e-7	0	0	0
CaR	8e-7	10e-7	10e-7	10e-7
CaT	0	0	8e-8	8e-8

877 **Table 3. Maximal conductance and permeability for ionic channels.** Gbar=maximal conductance
878 S/M²=Siemens per meter squared; Pbar = maximal calcium permeability cm/s=centimeters per second.
879 Prox dend = proximal dendrites (up to 42µm from the soma); mid dend = middle dendrites (42µm-60µm
880 from soma); dist dend = distal dendrites (60µm -224µm from soma).

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	Conc (μM)	Kf ($/\mu\text{M/s}$)	Kb ($/s$)	Diff ($(\text{m}^2)/s$)
CaMN	15	100	1000	11e-12
CaMC	15	6	9.1	11e-12
Calbindin	80	28	19.6	0

888 **Table 4. Calcium buffer parameters.** CaMN= calmodulin N terminal binding site, CaMC= calmodulin C
889 terminal binding site. Conc: concentration; Diff: diffusion constant.

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	Gbar (pS)	τ_1 (ms)	τ_2 (ms)
NMDA	470	2.2312	25
AMPA	171	1.1	5.75
GABA	900	0.25	3.75

894 **Table 5: conductances and time constants for synaptic channels.** Gbar is maximal conductance. Time
895 constants have already been temperature corrected by a qfactor of 2. τ_1 = activation time constant;
896 τ_2 =inactivation time constant.

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Input Name	Frequency for first10ms (Hz)	Frequency for middle 200ms (Hz)	Frequency for last 90ms (Hz)
Flat	40	40	40
Gradient 1 (G1)	200	40	10
Gradient 2 (G2)	400	50	20
Gradient 3 (G3)	500	30	10
Gradient 4 (G4)	600	20	0

901 **Table 6: Input gradients used to create upstates.** Input frequencies for different input shapes. Input G3
902 was used for simulations unless otherwise specified. (see also figure 5)

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