

KTH Teknikvetenskap

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Chapter 4

Curves in the projective plane

We will in this chapter study different aspects of *plane curves* by which we mean curves in the projective plane defined by polynomial equations. Here we will start with the more classical setting and consider a plane curve as the set of solutions of one *homogenous* equation in three variables.

We will start by choosing a field, k, which in most cases can be thought of as either \mathbb{R} or \mathbb{C} , but sometimes, it is interesting also to look at \mathbb{Q} or finite fields.

The first definition we might try is the following.

Definition 4.0.1. A plane curve C is the set of solutions in \mathbb{P}^2_k of a non-zero homogeneous equation

$$f(x, y, z) = 0.$$

Example 4.0.2. The equation $x^2 + y^2 + z^2 = 0$ defines a degree two curve over \mathbb{C} but over \mathbb{R} it gives the empty set.

The equation $x^2 = 0$ has a solution set consisting of the line (0 : s : t) while the degree of the equation is two.

The example above shows us that there are curves that the definition does not give us any one-one correspondance between curves and equations.

4.1 Lines

We will start by the easiest curves in the plane, namely *lines*. These are defined by linear equations

$$ax + by + cz = 0 \tag{4.1}$$

where $(a, b, c) \neq (0, 0, 0)$. Observe that any non-zero scalar multiple of (a, b, c) has the same set of solutions, which show us that we can *parametrize* all the lines in \mathbb{P}^2_k by another projective plane with coordinates [a:b:c].

Theorem 4.1.1. Any two distinct lines in \mathbb{P}^2 intersect at a single point.

Proof. The condition that the lines are distinct is the same thing as the equations defining them being linearly independent, which gives a unique solution to the system of equations. \Box

Theorem 4.1.2. Any line in \mathbb{P}^2 is isomorphic to \mathbb{P}^1 .

Proof. By a change of coordinates the equation of a line can be written as x = 0 and the solutions are given by [0:s:t] where $(s,t) \neq (0,0)$, which as a set equals \mathbb{P}^1 .

In fact, using this parametrization, we can define a map $\mathbb{P}^1 \longrightarrow \mathbb{P}^2$, which has the given line as the image.

We will come back to what we mean by *isomorphism* later on in order to make this more precise. \Box

4.1.3 The dual projective plane $(\mathbb{P}^2)^*$

As mentioned above, the coefficients a, b, c of Equation 4.1, give us natural coordinates on the space of lines in \mathbb{P}^2 and we will call this the *dual projective plane*, denoted by $(\mathbb{P}^2)^*$.

Theorem 4.1.4. The set of lines through a given point in \mathbb{P}^2 is parametrized by a line in $(\mathbb{P}^2)^*$.

Proof. Equation 4.1 is symmetric in the two sets of variables, $\{x, y, z\}$ and $\{a, b, c\}$. Thus, fixing [x : y : z] gives a line in $(\mathbb{P}^2)^*$.

4.1.5 Automorphisms of \mathbb{P}^2

A linear change of coordinates on \mathbb{P}^2_k is given by a non-singular 3×3 -matrix with entries in k:

$$\begin{bmatrix} x'\\y'\\z' \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & a_{13}\\a_{21} & a_{22} & a_{23}\\a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} x\\y\\z \end{bmatrix}.$$

Because of the identification $[x : y : z] = [\lambda x : \lambda y : \lambda z]$, the scalar matrices correspond to the identity. The resulting group of automorphisms is called PGL(3, k).

4.2 Conic sections

We will now focus on quadratic plane curves, or *conics*. These are defined by a homogeneous quadratic equation

$$ax^{2} + bxy + cy^{2} + dxz + eyz + fz^{2} = 0.$$

4.2.1 Conics as the intersection of a plane and a cone

The name *conic* is short for *conic section* and comes from the fact that each such curve can be realized as the intersection of a plane and a circular cone

$$x^2 + y^2 = z^2$$

in \mathbb{P}^3 .

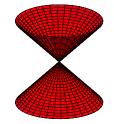


Figure 4.1: The circular cone

4.2.2 Parametrization of irreducible conics

The conic section is *irreducible* if the polynomial defining it is not a product of two non-trivial polynomials.

Theorem 4.2.3. If C is a plane irreducible conic with at least two rational points, then C is isomorphic to \mathbb{P}^1_k .

Proof. Let P be a rational point of C and let L denote the line in $(\mathbb{P}^2)^*$ parametrizing lines through P. In the coordinates of each line, the polynomial equation reduces to a homogeneous quadratic polynomial in two variables with at least one rational root. Without loss of generality, we may assume that P is [0:0:1] and the equation of C has the form

$$ax^2 + bxy + cy^2 + dxz + eyz = 0.$$

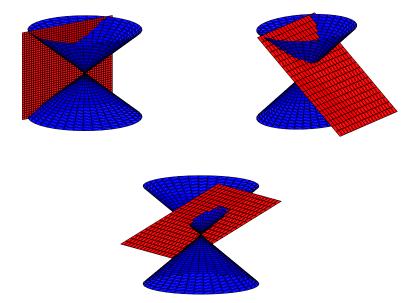


Figure 4.2: The hyperbola, parabola and ellips as a plane sections of a cone

The lines through P are parametrized by a \mathbb{P}^1 with coordinates [s:t] and we ge the residual intersection between the curve and the line sx + ty = 0 as

$$R = [est - dt^{2} : dst - es^{2} : cs^{2} - bst + at^{2}].$$

Since C has another rational point, Q, we cannot have d = e = 0 since C is irreducible. Hence the residual point R is not equal to P except for one [s, t]. Moreover, by the next exercise, we get that the three coordinates are never zero at the same time. Hence we have a non-trivial map from \mathbb{P}^1 to \mathbb{P}^2 whose image is in C. If the image was a line, C would be reducible and we conclude that C is the image of the map.

Excercise 4.2.4. Let C be a conic passing throught the point [0:0:1], i.e., having equation of the form

$$ax^2 + bxy + cy^2 + dxz + eyz = 0.$$

Show that C has is reducible if and only if $cd^2 - bde + ae^2 = 0$.

Example 4.2.5. The example $x^2 + y^2 = 0$ with k a field with no square root of -1 shows that we cannot drop the condition that C has at least two rational points.

4.2.6 The parameter space of conics

Exactly as for the lines, we have that the equation

$$ax^2 + bxy + cy^2 + dxz + eyz + fz^2 = 0$$

defines the same curve when multiplied with a non-zero constant. Hence all the conics can be parametrized by a \mathbb{P}^5 with coordinates [a:b:c:d:e:f]. In this *parameter space* we can look at loci where the conics have various properties. For example, we can look at the locus of degenerate conics that are double lines. These are parametrized by a \mathbb{P}^2 and the locus of such curves is the image of the *Veronese* embedding of \mathbb{P}^2 in \mathbb{P}^5 defined by

$$[s:t:u] \mapsto [s^2:2st:t^2:2su:2tu:u^2].$$

If we want to look at all the curves that are degenerate as a union of two lines, we look at the image of a map

$$\Phi\colon \mathbb{P}^2\times\mathbb{P}^2\longrightarrow\mathbb{P}^5$$

given by

$$([s_1:t_1:u_1], [s_2:t_2:u_2]) \mapsto (s_1s_2:s_1t_2+t_1s_2:t_1t_2:s_1u_2+u_1s_2:t_1u_2+u_1t_2:u_1u_2]$$

The image of Φ is a *hypersurface* in \mathbb{P}^5 which means that is is defined by one single equation in the coordinates [a:b:c:d:e:f:g].

Excercise 4.2.7. Find the equation of the hypersurface definied by the image of the map $\Phi \colon \mathbb{P}^2 \times \mathbb{P}^2 \longrightarrow \mathbb{P}^5$ defined above.

4.2.8 Classification of conics

When we want to classify the possible conics up to projective equivalence, we need to see how the group of linear automorphisms acts. One way to go back to our knowledge of quadratic forms. If 2 is invertible in k, i.e., if k does not have characteristic 2, we may write the equation

$$ax^2 + bxy + cy^2 + dxz + eyz + fz^2 = 0$$

as Q(x, y, z) = 0, where Q is the quadratic form associated to the matrix

$$A = \frac{1}{2} \begin{bmatrix} 2a & b & d \\ b & 2c & e \\ d & e & 2f \end{bmatrix}.$$

Now, a matrix P from PGL(3, k) acts on A by

$$Q \mapsto P^T A P$$

Theorem 4.2.9. Up to projective equivalence, the equation of a conic can be written in one of the three forms

$$x^{2} = 0, \quad x^{2} + \lambda y^{2} = 0 \quad and \quad x^{2} + \lambda y^{2} + \mu z^{2} = 0.$$

Proof. The first thing that we observe is invariant is the rank of the matrix. If the rank is one, we can choose two of the columns of P to be in the kernel of A and hence after a change coordinates, the equation is $\lambda x^2 = 0$, but this is equivalent to $x^2 = 0$.

If the rank is two, we choose one of the columns to be a generator of the kernel and we get that we can assume that d = e = f = 0. By completing the square, we can change it into $\kappa x^2 + \mu y^2$, which is equivalent to $x^2 + \lambda y^2$, where $\lambda = \mu/\kappa$.

If the rank is three, proceed by completing the squares in order to write the form as $x^2 + \lambda y^2 + \mu z^2$.

Remark 4.2.10. In order to further characterize the conics, we need to know about the multiplicative group of our field. In particular, we need to know the quotient of k^* by the subgroup of squares.

Theorem 4.2.11. Let $k = \mathbb{C}$. Then there are only three conics up to projective equivalence:

$$x^{2} = 0$$
, $x^{2} + y^{2} = 0$ and $x^{2} + y^{2} + z^{2} = 0$.

Proof. Since every complex number is a square, we can change coordinates so that $\lambda = \mu = 1$ in Theorem 4.2.9.

Theorem 4.2.12. Let $k = \mathbb{R}$. Then there are four conics up to projective equivalence:

$$x^{2} = 0, \quad x^{2} + y^{2} = 0 \quad x^{2} - y^{2} = 0, \quad x^{2} + y^{2} - z^{2} = 0.$$

Proof. Here, only the positive real numbers are squares and we have to distinguish between the various signs of λ and μ . If $\lambda = \mu = 1$ we get the empty curve, so there is only one non-degenerate curve $x^2 + y^2 = z^2$.

4.2.13 The real case vs the complex case

4.2.14 Pascal's Theorem

We will look at a classical theorem by Pascal about conics.

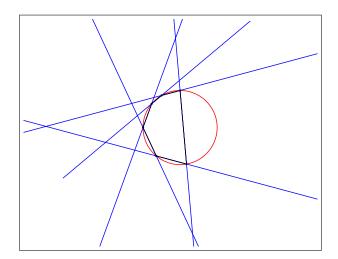


Figure 4.3: Pacsal's Theorem

Theorem 4.2.15 (Pascal's Theorem). Let C be a plane conic and H be a hexagon with its vertices on C. The three pairs of opposite sides of the hexagon meet in three collinear points.

There are several ways to understand this theorem and we will now look at one way.

Proof. Start by dividing the lines into two groups of three lines so that no two lines in the same group intersect on the conic C.

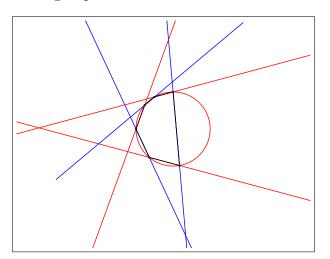


Figure 4.4: The two groups of lines

Each group of three lines defines a cubic plane curve, given by the product of the three linear equations defining the lines. Since each line in one group meets each of the lines from the other group, we have nine points of intersections of lines from the two groups. Six of these are on the conic and it remains for us to prove that the remaining three are collinear.

Choose two of the points and take the line L through them. Together with the conic, the line defines a cubic curve, i.e., there is a cubic polynomial vanishing on the line and the conic. In particular, this cubic curve passes through eight of our nine points. We already have two cubic curves passing through all nine points. If the last cubic didn't pass through all nine points, we would have three linearly independent cubic polynomials passing through our eight points.

Denote the three cubic polynomials by f_1 , f_2 and f_3 . They can generate seven or eight linearly independent polynomials of degree four. If they generate eight, we get that it will generate a space of codimension 7 in all higher degree, by multiplication by a linear form not passing through any of the points. If they generate only seven linearly independent forms of degree four, we must have two linearly independent syzygies, i.e., relations of the form

$$\begin{cases} \ell_1 f_1 + \ell_2 f_2 + \ell_3 f_3 = 0, \\ \ell_4 f_1 + \ell_5 f_2 + \ell_6 f_3 = 0. \end{cases}$$

Since there is a unique solution to this system up to multiplication by a polynomial, we get that

$$(f_1, f_2, f_3) = \ell(\ell_2\ell_6 - \ell_3\ell_5, \ell_3\ell_4 - \ell_1\ell_6, \ell_1\ell_5 - \ell_2\ell_4)$$

showing that the three cubics share a common linear factor. However, this cannot be the case, since the two original cubics did not have a common factor.

We conclude that the cubic passing throug eight of the nine point also pass throug the ninth, which shows that the three that were not on the conic have to be collinear. $\hfill \Box$

The property that any cubic passing through eight of the nine points also has to pass through the ninth point is known as the Cayley-Bacharach property and similar consequences occur in much more general situations.

Chapter 5

Cubic curves

When we move to cubic curves, we have ten coefficients of the equation

 $a_0x^3 + a_1x^2y + a_2x^2z + a_3xy^2 + a_4xyz + a_5xz^2 + a_6y^3 + a_7y^2z + a_8yz^2 + a_9z^3 = 0.$

Thus, as in the case of lines and conics, we can use a projective space to parametrize all cubics and in this case we get \mathbb{P}^9 . As the group of automorphisms of \mathbb{P}^2 has dimension 8, we expect that there should be at least a one-dimensional family of non-isomorphic cubics.

As in the case of conics, we have a number of degenerate cases where the cubic is reducible. We get several different ways the cubic polynomial could factor. If we have linear factors, they could all be equal, two distinct or three distinct. In the case when there are three distinct factors, they can share a common zero or not. This can be summarized as

$$x^{3} = 0,$$
 $x^{2}y = 0$ $, xy(x+y) = 0$ or $xyz = 0.$

When the cubic polynomial has a linear and an irreducible quadratic factor, we get different cases depending on whether the line is tangent to the conic or not which gives the two possibilities

 $x(x^{2} + y^{2} - z^{2})$ and $(x - z)(x^{2} + y^{2} - z^{2}).$

5.1 Normal forms for irreducible cubics

Definition 5.1.1. L is a tangent line to C at P if the restriction of the equation of C to L has a root of multiplicity at least two at P.

Definition 5.1.2. A point P on a curve C is non-singular if there is a unique tangent line of C at P.

Definition 5.1.3. A non-singular point P of a curve C is a flex point of C if the tangent of C at P intersect C with multiplicity at least three at P.

Theorem 5.1.4. The equation of an irreducible cubic with at flex point can be written as

$$y^2 z = x^3 + ax^2 z + bxz^2 + cz^3$$

after a change of coordinates.

Proof. Let C be the curve defined by the equation

$$a_0x^3 + a_1x^{2y} + a_2x^2z + a_3xy^2 + a_4xyz + a_5xz^2 + a_6y^3 + a_7y^2z + a_8yz^2 + a_9z^3 = 0.$$

Assume that [0 : 1 : 0] is a flex point with tangent line z = 0. Then, when restricting the equation to the line, we need to get $x^3 = 0$, forcing $a_1 = a_3 = a_6 = 0$.

If $a_7 = 0$ we get that the restriction of the equation of C to the line x = 0 is $a_8yz^2 + a_9z^3 = 0$. Thus x = 0 is a second tangent line to C at P. Since P is a flex point, it is non-singular and we deduce that $a_7 \neq 0$.

We can now change change variables with $y = y' + \alpha x + \beta z$ so that there will be no other terms involving y' than $(y')^2$. Thus we get to the desired normal form.

The irreducibility gives that $a_0 \neq 0$ since otherwize z = 0 would be a component. Thus we can get the leading term on the right hand side to be x^3 .

Excercise 5.1.5. Find the normal form for the Fermat cubic $x^3 + y^3 = z^3$.

5.2 Elliptic curves

Definition 5.2.1. A non-singular cubic curve is called en elliptic curve.

Theorem 5.2.2. The cubic curve defined by the equation

$$y^2 z = f(x, z)$$

is non-singular if and only if f(x, z) has no multiple factors.

Proof. Without loss of generality, we can assume that the point is $P = [0: y_0: 1]$. The lines though P are $sx + t(y - y_0 z) = 0$, for [s:t] in \mathbb{P}^1 . When t = 0 we get the line x = 0 which is tangent to C if and only if $c = y_0 = 0$.

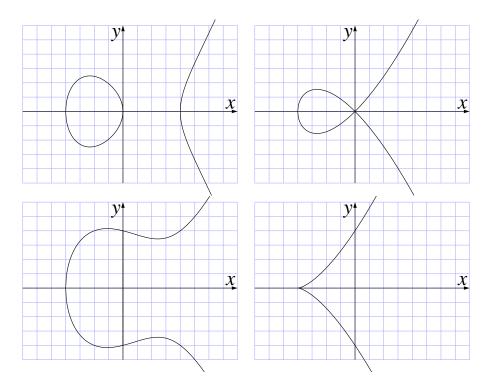


Figure 5.1: Different kinds of cubics in normal form

For $t \neq 0$ we substitute in $y^2 z = x^3 + ax^2 z + bxz^2 + z^3$ to get

$$x(t^{2}x^{2} + (at^{2} - s^{2})xz + (bt + 2sy_{0})tz^{2}) = 0$$

which has a double root at P if and only if $(bt + 2sy_0)t = 0$. Thus we get a unique tangent line, unless $c = y_0 = b = 0$, where we get x = 0 and $y = y_0$ as tangent lines.

5.2.3 The group law on an elliptic curve

The elliptic curves are special in many ways. One of them is that there is a commutative group law on the set of rational points of an elliptic curve.

The restriction to any line of the equation of a cubic curve gives a homogeneous cubic equation in two variables. If this equation has two rational solutions, the third has to be rational as well.

Definition 5.2.4. Choose a flex point O of the elliptic curve C. If P and Q are points on C we define the sum P + Q to be the third point on the line throug O and the third point on the line through P and Q. Observe that if P = Q, we take the tangent line at P.

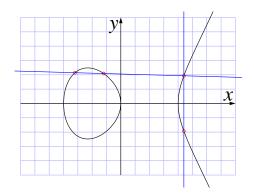


Figure 5.2: The addition on an elliptic curve

Theorem 5.2.5. The addition defines a commutative group law on the set of points of C.

Proof. The commutativity is clear from the definition. The identity element is given by O since the line through O and P meets the curve in a point Q and then the line through O and Q is the same as the line before, which shows that O + P = P. The inverse of P is given as the point Q on the line through O and P.

The associativity is more involved and we will refer to other sources for a proof of that. $\hfill \Box$

5.2.6 A one-dimensional family of elliptic curves

The normal form $y^2 z = x^3 + ax^2 z + bxz^2 + cz^3$ does not specify an elliptic curve up to isomorphism. As we have seen before, we have that the right hand side has distinct factors. We can translate one of them to x = 0 and scale one of them to x = z. This leaves us with the normal form

$$y^2 z = x(x-z)(x-zw)$$

where $w \neq 0$ and $w \neq 1$.

5.2.7 Flex points on an elliptic curve

Theorem 5.2.8. The set of flex points on C form an elementary 3-group.

Proof. The flex points can be shown to be zeroes of the Hessian form (cf. Exercise 5.2.14), which shows that there are at most finitely many flex points.

If P is a flex point, we have that 3P = 0 since the tangent through P meets C only at P. The sum of two flex points is again a flex point as 3P = 0 and 3Q = 0 implies that 3(P + Q) = 0. Thus the set of flex points on an elliptic curve form a finite subgroup where all non-trivial elements have order 3, i.e, an elementary 3-group.

Excercise 5.2.9. Show that an elliptic curve over \mathbb{R} cannot have more than three flex points.

5.2.10 Singularities and the discriminant

Among the irreducible cubics, there are two kinds of singular curves; nodal cubics and cuspidal cubics. Both of these singular curves are rational curves and are images of a degree three map $\mathbb{P}^1 \longrightarrow \mathbb{P}^3$. In the normal form they can be written as

$$y^2 z = x^3$$
 and $y^2 z = x^3 - x^2 z$

We can localize the singularities of C by the Jacobian ideal since they correspond to zeroes of the gradient of the polynomial defining C.

Example 5.2.11. Let C be then nodal cubic defined by $F(x, y, z) = y^2 z - x^3 + x^2 z$. The gradient is given by

$$\nabla F = (-3x^2 + 2xz, 2yz, y^2 + x^2)$$

which is zero only at [0:0:1].

Example 5.2.12. Let C be the cuspidal cubic defined by $F(x, y, z) = y^2 z - x^3$. Then we get

$$\nabla F = (-3x^2, 2yz, y^2)$$

which again is zero only at [0:0:1].

Excercise 5.2.13. Define the rational cubic curve C as the image of the map $\Phi \colon \mathbb{P}^1 \longrightarrow \mathbb{P}^2$ given by

$$\Phi([s:t]) = [s^3:st^2:t^3], \qquad [s:t] \in \mathbb{P}^1.$$

Find the singular point of C and determine whether C is nodal or cuspidal.

As we have seen, the general cubic curve is non-singular, but there is an eight-dimensional family of singular curves given by the nodal cubics. One way to see that the family of singular cubics is eight dimensional is to look at the curves that are singular at a given point $[x_0 : y_0 : z_0]$. We have a two-dimensional choice of the point and for each point, we have three linear

conditions on the coefficients of the cubic giving us a \mathbb{P}^6 of curves singular at the given point. We can describe this as a \mathbb{P}^6 -bundle over \mathbb{P}^2 .

The locus $X \subseteq \mathbb{P}^9$ parametrizing singular cubics is defined by a single polynomial called the *discriminant*. It is a difficult task to compute this polynomial which is of degree 12.

Excercise 5.2.14. Show that the Hessian, i.e., the determinant of

$\int \partial^2 F$	$\partial^2 F$	$\partial^2 F$
$\overline{\partial x^2}$	$\overline{\partial x \partial y}$	$\partial x \partial z$
$\partial^2 F$	$\partial^2 \check{F}$	$\partial^2 F$
$\overline{\partial y \partial x}$	∂y^2	$\overline{\partial y \partial z}$
$\partial^2 F$	$\partial^2 F$	$\partial^2 F$
$\overline{\partial z \partial x}$	$\overline{\partial z \partial y}$	$\overline{\partial z^2}$

vanishes exactly at the singular points of C and on the flex points of C.