



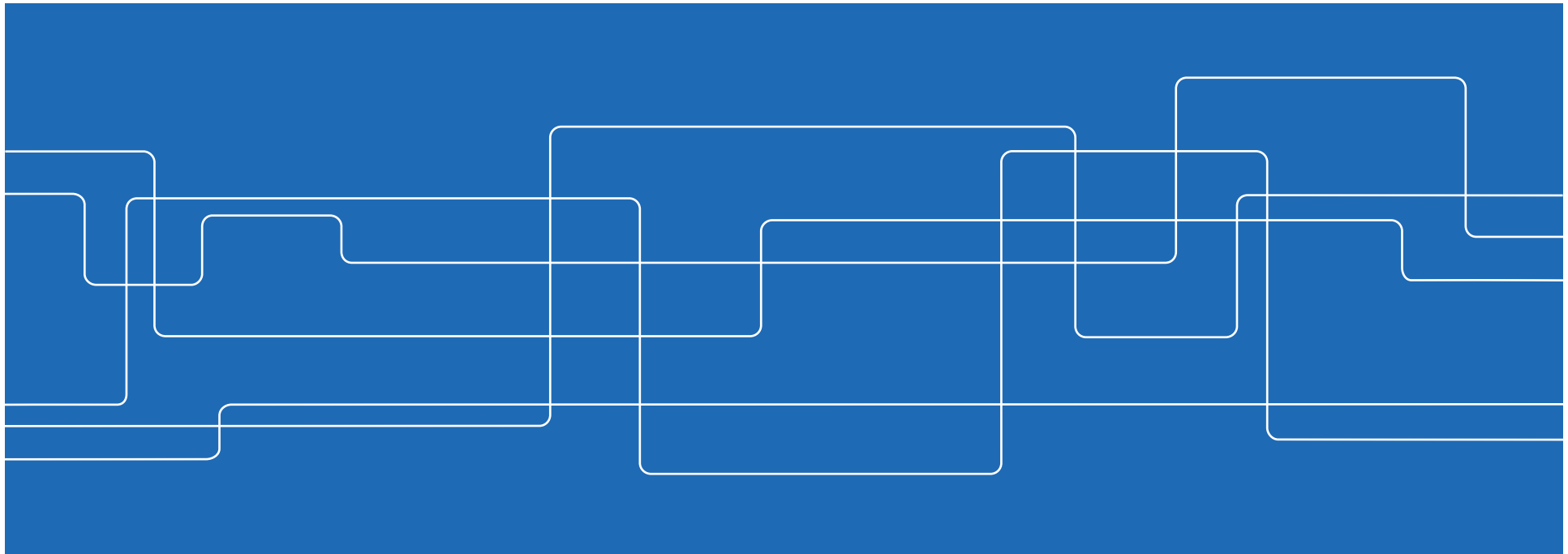
**DD2476 Search Engines and Information Retrieval Systems**

# **Lecture 6: Retrieval of Documents with Hyperlinks**

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# Recap: Ranked Retrieval

We want top-ranking documents to be both **relevant** and **authoritative**

- Relevance – cosine scores
- Authority – query-independent property

Examples of authority signals

- Wikipedia pages (qualitative)
- Articles in certain newspapers (qualitative)
- A scientific paper with many citations (quantitative)
- **PageRank** (quantitative)



# Today

PageRank (Manning Chapter 21)

- Measuring the authority of a document in corpus with hyperlinks

Monte Carlo methods (Bishop Chapter 11)

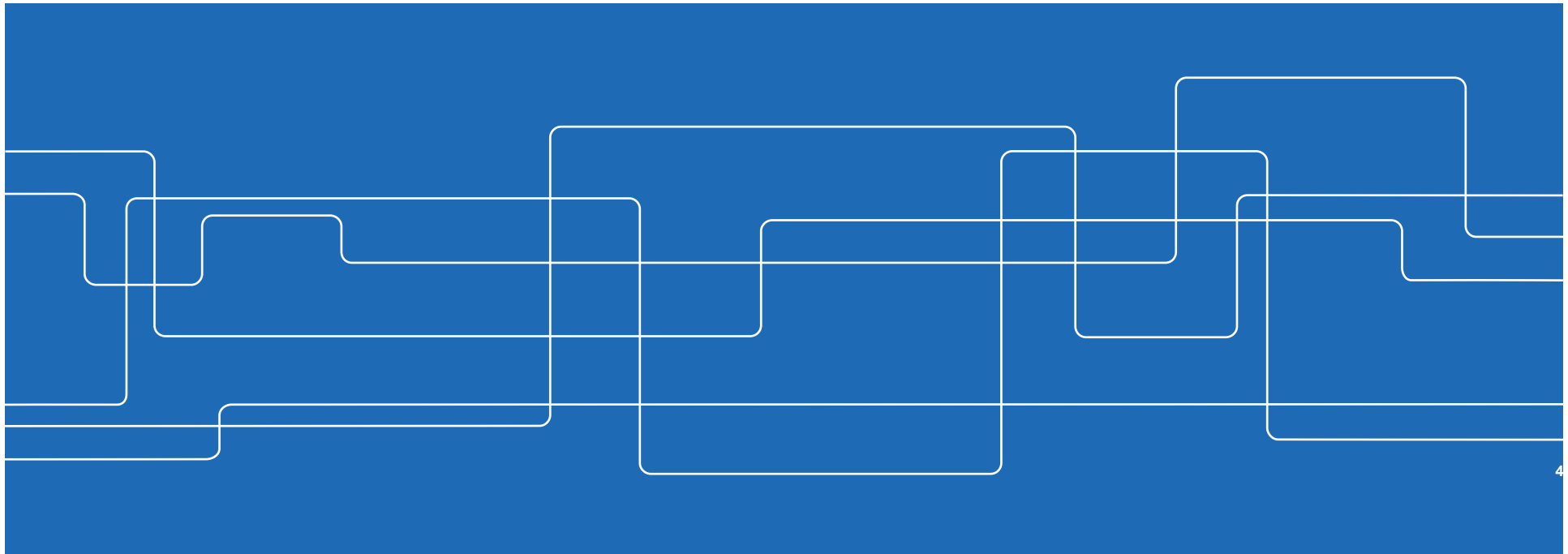
- Short recap of / intro to Monte Carlo methods

Monte Carlo approximations to PageRank (Avrachenkov et al Sections 1-2)

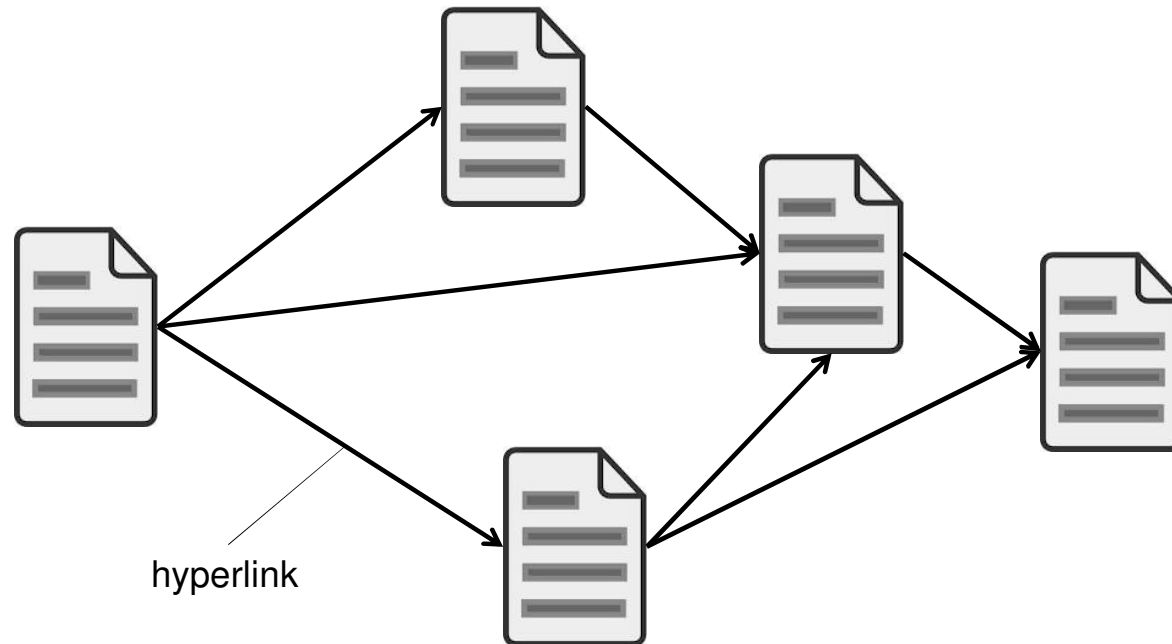
- Approximative and fast way to find PageRank



# PageRank (Manning Chapter 21)



# The web as a directed graph





# Using link structure for ranking

Assumption: A link from X to Y signals that X's author perceives Y to be an authoritative page

– X "casts a vote" on Y

Simple suggestion: Rank = number of in-links

Discuss with your neighbor:

What is the problem with this approach?



## PageRank: Basic idea

WWW's particular structure can be exploited:

- pages have links to one another
- the more in-links, the higher rank
- in-links from pages having high rank are worth more than in-links from pages having low rank

This idea is the cornerstone of [PageRank](#) (Brin & Page 1998)

Way of formalizing:

A "[random surfer](#)" that randomly follows links will spend more time on pages with high PageRank



## First attempt

$$PR(D) = \sum_{D' \in in(D)} \frac{PR(D')}{L_{D'}}$$

$D$  and  $D'$  are web pages

(documents in corpus with hyperlinks)

$in(D)$  is the set of pages linking to  $D$

$L_D$  is the number of out-links from  $D$

Discuss with your neighbor:

Something missing?

What happens when the page has no outlinks?

What happens when the page has no inlinks?





# Random Surfer

Imagine a random surfer that **follows links**

- The link to follow is selected with uniform probability
- If the surfer reaches a sink (a page without links), they randomly restarts on a new page
- Every once in a while, the surfer jumps to a random page (even if there are links to follow)





## Second attempt

With probability  $1-c$  the surfer is bored, stops following links, and restarts on a random page

- Guess: Google used  $c=0.85$

$$PR(D) = c \left( \sum_{D' \in in(D)} \frac{PR(D')}{L_{D'}} \right) + \frac{1-c}{N}$$

Without this assumption, the surfer will get stuck in a subset of the web.

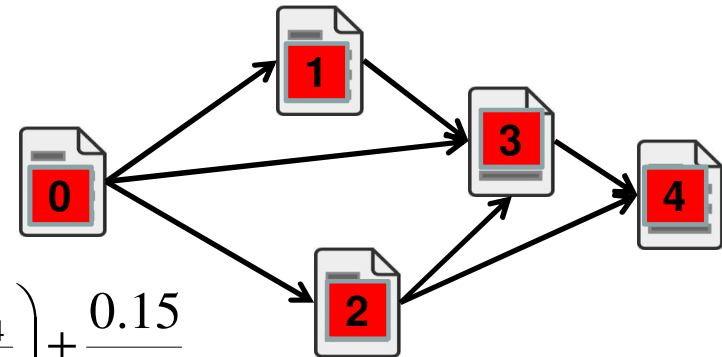
# Example

$$PR_4 = 0.85 \cdot \left( \frac{PR_2}{2} + PR_3 \right) + \frac{0.15}{5}$$

$$PR_3 = 0.85 \cdot \left( \frac{PR_0}{3} + PR_1 + \frac{PR_2}{2} + \frac{PR_4}{4} \right) + \frac{0.15}{5}$$

$$PR_2 = PR_1 = 0.85 \cdot \left( \frac{PR_0}{3} + \frac{PR_4}{4} \right) + \frac{0.15}{5}$$

$$PR_0 = 0.85 \cdot \left( \frac{PR_4}{4} \right) + \frac{0.15}{5}$$



Probability of moving from 4 to here since 4 is a sink



# Interpretation

Authority / popularity / relative information value

$PR_D$  = the probability that the random surfer will be at page  $D$  at any given point in time

This is called the **stationary probability**  
(the left eigenvector of the transition matrix)

How do we compute it?



# The random surfer as a **Markov chain**

The random surfer model suggests a **Markov chain** formulation:

$N$  states (= documents)

$N \times N$  **transition probability matrix**  $G$

At each step, the surfer is in exactly one of the states

Matrix entry  $G_{ij}$  = probability of  $j$  being the next state (doc), given we are currently in state (doc)  $i$



## Ergodic Markov chains

A Markov chain is **ergodic** if

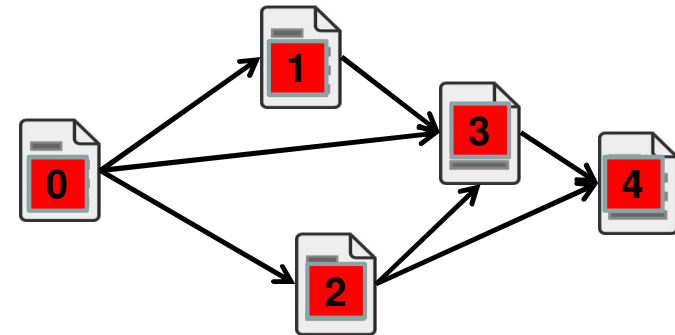
- you have a path from any state to any other
- For any start state, after a finite transient time  $T_0$ , the probability of being in any state at a fixed time  $T > T_0$  is nonzero

Our transition matrix  $G$  is non-zero everywhere  $\Leftrightarrow$  the graph is strongly connected  $\Leftrightarrow$  the Markov chain is ergodic  $\Leftrightarrow$   
**unique stationary probabilities  $\pi$  exist**

# Example: Transition matrices

$$\mathbf{P} = \begin{bmatrix} 0 & 0.33 & 0.33 & 0.33 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0.5 & 0.5 \\ 0 & 0 & 0 & 0 & 1 \\ 0.25 & 0.25 & 0.25 & 0.25 & 0 \end{bmatrix}$$

$$\mathbf{J} = \begin{bmatrix} 0.2 & 0.2 & 0.2 & 0.2 & 0.2 \\ 0.2 & 0.2 & 0.2 & 0.2 & 0.2 \\ 0.2 & 0.2 & 0.2 & 0.2 & 0.2 \\ 0.2 & 0.2 & 0.2 & 0.2 & 0.2 \\ 0.2 & 0.2 & 0.2 & 0.2 & 0.2 \end{bmatrix}$$



$$\mathbf{G} = c\mathbf{P} + (1-c)\mathbf{J}$$

$$\begin{bmatrix} 0.0300 & 0.3105 & 0.3105 & 0.3105 & 0.0300 \\ 0.0300 & 0.0300 & 0.0300 & 0.8800 & 0.0300 \\ 0.0300 & 0.0300 & 0.0300 & 0.4550 & 0.4550 \\ 0.0300 & 0.0300 & 0.0300 & 0.0300 & 0.8800 \\ 0.2425 & 0.2425 & 0.2425 & 0.2425 & 0.0300 \end{bmatrix}$$



## Pagerank = probability vector

A probability (row) vector  $x=(x_1, \dots, x_N)$  tells us where the walk is at any point

One step of the random surfer:

$$x' = xG$$

Pagerank (let's call it  $\pi$ ) is the stationary probability vector for  $G$ :

$$\pi G = \pi$$

$\pi$  is a left eigenvector of  $G$

So, let's do SVD on  $G$ ! Or, what could be the problem?





## Power iteration

Method of finding dominant eigenvector  
Eigenvector with largest eigenvalue

Recall, regardless of where we start, we eventually reach the stationary vector  $\pi$

Start with any distribution (say  $x=(1,0,\dots,0)$ ).

- After one step, we're at  $xG$ ;
- after two steps at  $(xG)G$ , then  $((xG)G)G$  and so on

"Eventually", for "large"  $k$ ,  $xG^k=\pi$

$k$  is the number of steps taken by the random surfer



## Power iteration algorithm

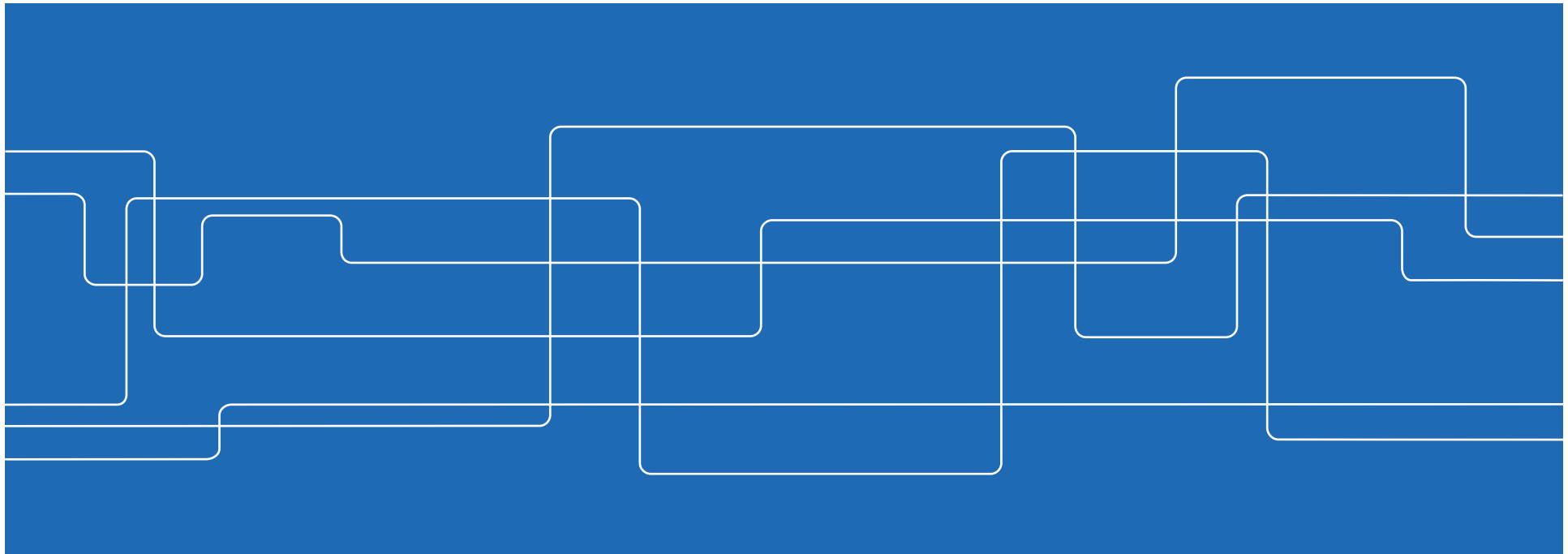
Let  $\mathbf{x} = (0, \dots, 0)$  and  $\mathbf{x}'$  an initial state, say  $(1, 0, \dots, 0)$

```
while (  $|\mathbf{x} - \mathbf{x}'| > \epsilon$  ):  
     $\mathbf{x} = \mathbf{x}'$   
     $\mathbf{x}' = \mathbf{x}G$ 
```

*Converges very slowly!*



# Monte Carlo Methods (Bishop Chapter 11)





# Approximate Solutions

Huge #docs -> exact inference very expensive

- **Matrix factorization** takes us part of the way
- But eventually...

Better solution: find **approximation**

One way: **Monte Carlo sampling**



# The Monte Carlo principle

State space  $z$

Imagine that we can **sample**  $z^{(l)}$  from the pdf  $p(z)$  but that we do not know its functional form

Might want to estimate for example:

$$E[z] = \sum z p(z)$$

$p(z)$  can be approximated by a histogram over  $z^{(l)}$ :

$$\hat{q}(z) = \frac{1}{L} \sum_{l=1}^L \delta_{z^{(l)}=z}$$



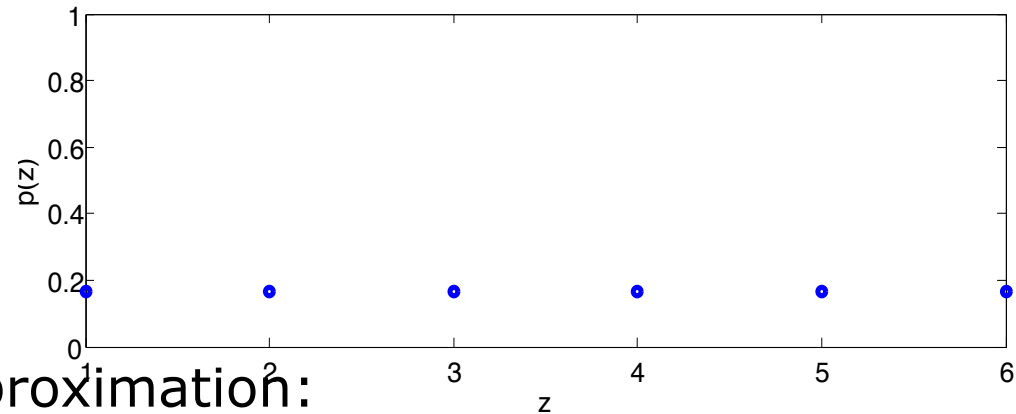
# Example: Dice Roll



The probability of outcomes of dice rolls:  $p(z) = \frac{1}{6}$

Exact solution:

What would happen if the dice was bad?



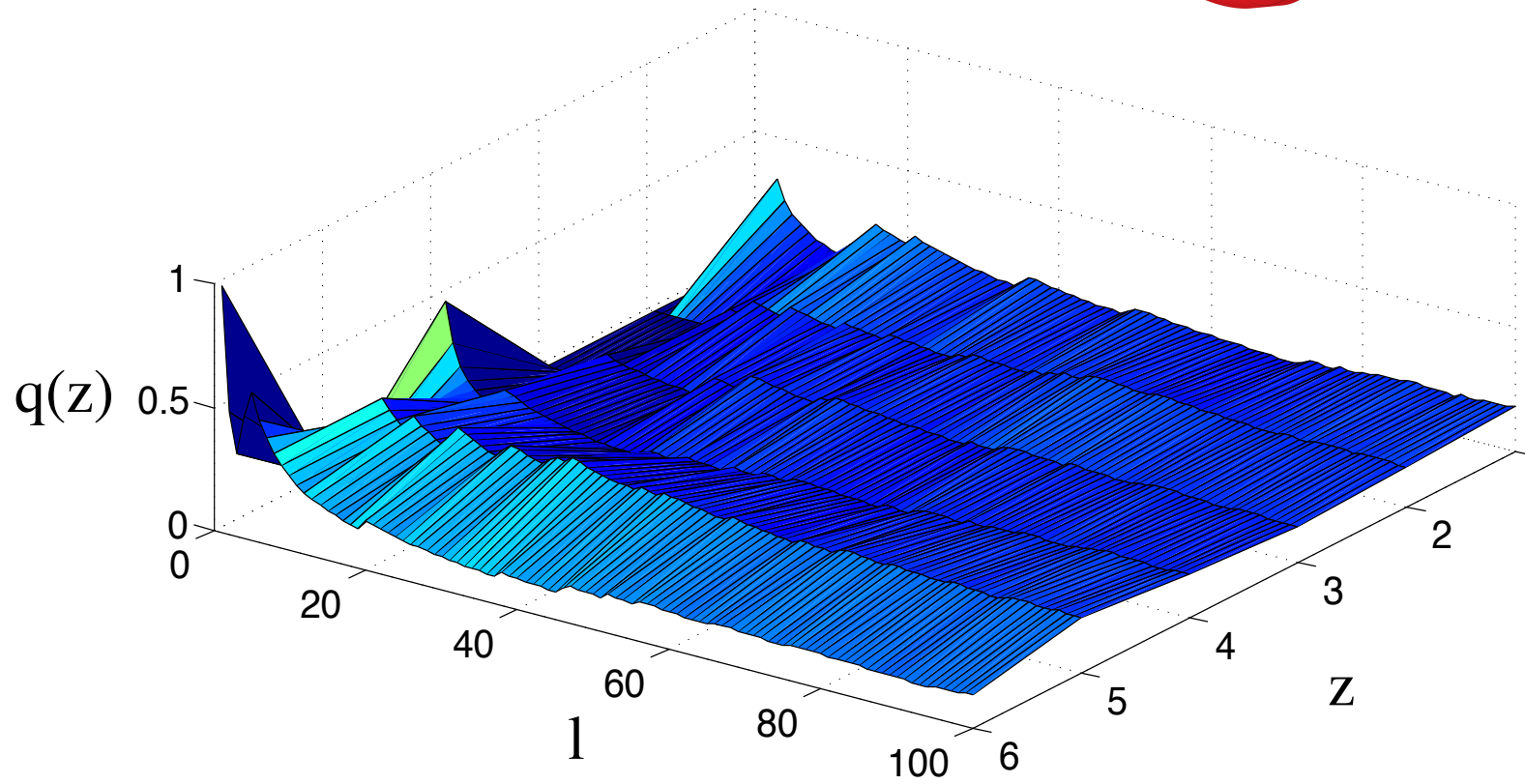
Monte Carlo approximation:

- Roll a dice a number of times, might get

$$z^{(1)} = 6 \quad z^{(2)} = 4 \quad z^{(3)} = 1 \quad z^{(4)} = 6 \quad z^{(5)} = 6$$



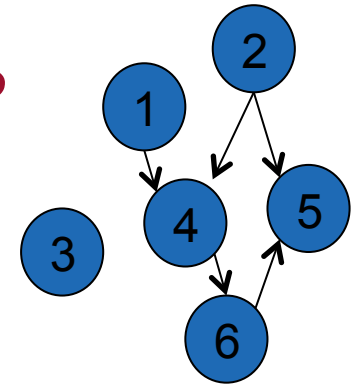
# Example: Dice Roll



The Law of Large Numbers



## What is $p$ and $q$ for PageRank?



Discuss with your neighbor (5 mins)

- Graph of connected documents
- Look at each document  $z$ , compute PageRank

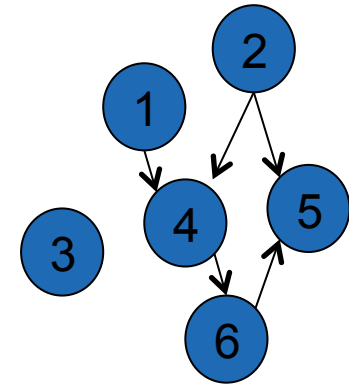
Quest: Find  $p(z)$  = prob that the document  $z$  is visited = PageRank score of document  $z$

Monte Carlo approach: find approximate PageRank  $\hat{q}(z)$  by sampling from  $p(z)$





# How do we sample from $p$ without knowing $p$ ?



Discuss with your neighbor (5 mins)

Simulate a "random surfer" walking in the graph

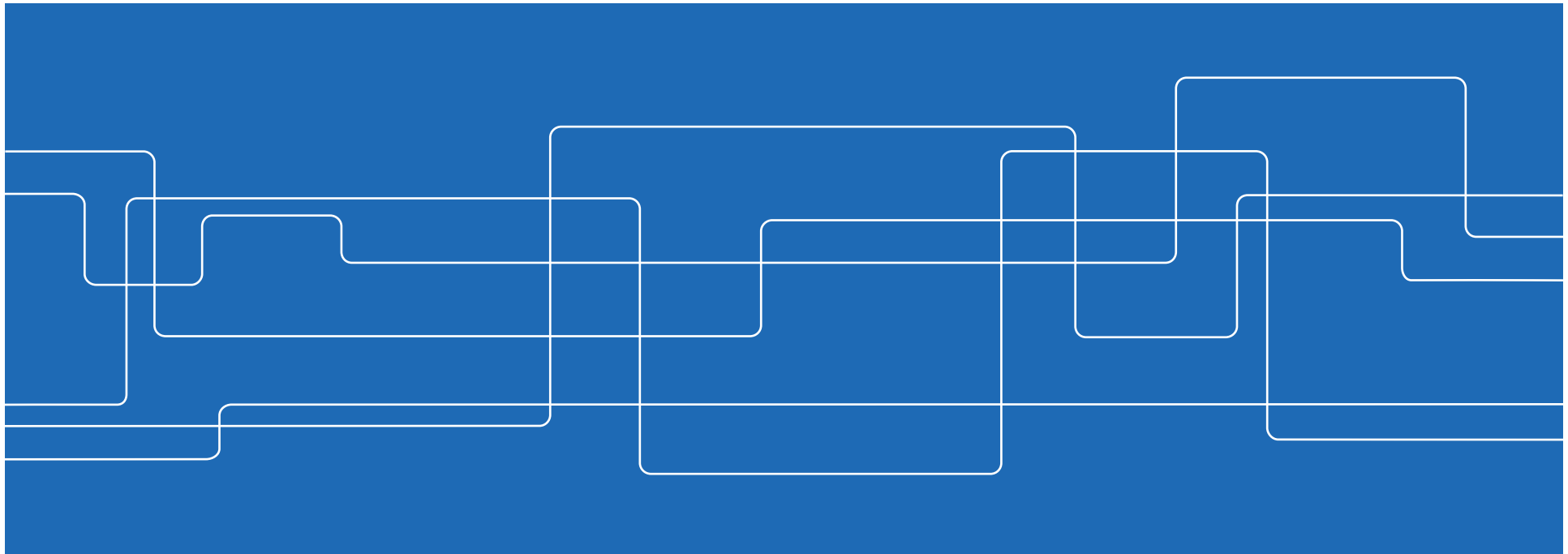
- Equal probability  $c / \langle \# \text{links} \rangle$  of selecting any of the  $\langle \# \text{links} \rangle$  links in a document  $D$
- Probability  $(1 - c)$  of not following links, but jumping to an unlinked document in the graph

Record location  $z^{(l)}$  at each step  $l$

$$\hat{q}(z) = \frac{1}{L} \sum_{l=1}^L \delta_{z^{(l)}=z}$$

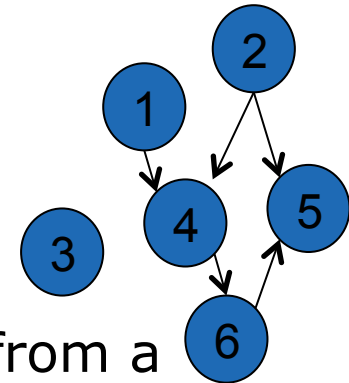


# Monte Carlo Approximations to PageRank (Avrachenkov et al Sections 1-2)





# Monte Carlo Idea



z above  
same as D  
here

D = document id

Consider a random walk  $\{D_t\}_{t \geq 0}$  that starts from a randomly chosen page.

At each step t:

- Prob c:  $D_t =$  one of the documents with edges from  $D_{t-1}$
- Prob  $(1 - c)$ : The random walk terminates, and  $D_t =$  random node

Endpoint  $D_T$  is distributed as PageRank  $\pi$

Sample from  $\pi =$  do many random walks



# Advantages

**Exact method:** precision improves linearly for all docs

**Monte Carlo method:** precision improves faster for high-rank docs

**Exact method:** computationally expensive

**Monte Carlo method:** parallel implementation possible

**Exact method:** must be redone when new pages are added

**Monte Carlo method:** continuous update



# 1. MC end-point with random start

Simulate  $N$  runs of the random walk  $\{D_t\}_{t \geq 0}$  initiated at a **randomly chosen page**

PageRank of page  $j = 1, \dots, n$ :

$$\pi_j = (\text{\#walks which end at } j) / N$$

**Worst case:  $N = O(n^2)$**

**Mean case:  $N = O(n)$**

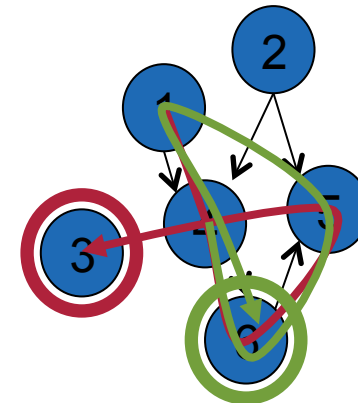
Example:

1 link 4 link 6 link 5 jump **3**

4 link 6 link 5 jump 1 link 4 link **6**

$\pi = [0, 0, 0.5, 0, 0, 0.5]$

2 walks not enough





## 2. MC end-point with cyclic start

Simulate  $N = mn$  runs of the random walk  $\{D_t\}_{t \geq 0}$  initiated at **each page exactly  $m$  times**

PageRank of page  $j = 1, \dots, n$ :

$$\pi_j = (\text{\#walks which end at } j) / N$$



### 3. MC complete path

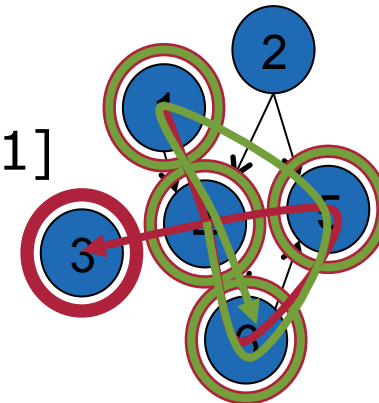
Simulate  $N = mn$  runs of the random walk  $\{D_t\}_{t \geq 0}$  of length  $T$ , initiated at **each page exactly  $m$  times**

PageRank of page  $j = 1, \dots, n$ :

$$\pi_j = (\text{\#visits to node } j \text{ during walks}) / (NT_j)$$

Example:

① link ④ link ⑥ link ⑤ jump ③  
④ link ⑥ link ⑤ jump ① link ④ link ⑥  
 $\pi = [2/11, 0, 1/11, 3/11, 2/11, 3/11]$   
2 walks almost enough





## 4. MC complete path stopping at dangling nodes

Simulate  $N = mn$  runs of the random walk  $\{D_t\}_{t \geq 0}$  initiated at **each page exactly  $m$  times** and **stopping when it reaches a dangling node**

PageRank of page  $j = 1, \dots, n$ :

$$\pi_j = \frac{(\text{\#visits to node } j \text{ during walks})}{(\text{total \#visits during walks})}$$

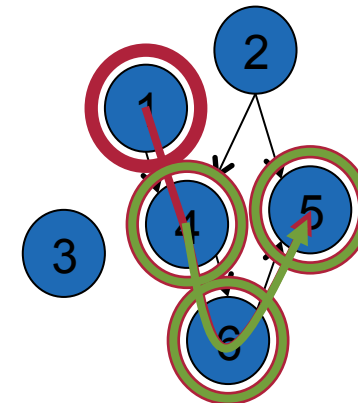
Example:

① link ④ link ⑥ link ⑤

④ link ⑥ link ⑤

$\pi = [1/7, 0, 0, 2/7, 2/7, 2/7]$

2 walks not enough







## 5. MC complete path with random start

Simulate  $N$  runs of the random walk  $\{D_t\}_{t \geq 0}$  initiated at a **randomly chosen page** and **stopping when it reaches a dangling node**

PageRank of page  $j = 1, \dots, n$ :

$$p_j = \frac{(\text{\#visits to node } j \text{ during walks})}{(\text{total \#visits during walks})}$$



## Next

Assignment 1 left?

- You can present it at the session for Assignment 2
- Reserve two slots, one for each assignment!

Lecture 7 (February 24, 10.15-12.00)

- B3
- Readings: Manning Chapter 11, 12

Computer hall session (March 8, 13.00-...)

- Orange (Osquars Backe 2, level 4) *Doodle to come!*
- Examination of computer Assignment 2