

4.1. Lecture outline

- 1. Introduction
- 2. Sampling of continuous signals
- 3. Comparison of discrete and continuous time poles.
- 4. Pole placement control design in discrete time
- 5. Example
- 6. Selecting sampling period and the effect of aliasing
- 7. Designing antialiasing filters
- 8. Influence on performance from the antialiasing filters

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4.1.1. From Last lecture

- Polynomial approach to pole placement design.
- Translate specifications from time domain into c.l. poles.
- Choose the control structure, $\frac{S}{R}$.
- Solve the Diophantine equation $A_m A_o = AR + BS$
- Calculate the feed forward polynomial *T*

• Approximate the discrete time controller with e.g. Tustin.



4.1.2. Two digital control design possibilities

• Modelling and design completely in discrete time from the start.

"How does the computer see the process" A good reference is: Computer Controlled Systems - Theory and design, Third edition Åström and Wittenmark Prentice Hall, ISBN 0-13-314899-8

Transformation of an existing continuous time design to discrete time.

"How do we approximate a continuous time controllers diff. eq. as good as possible to a digital computer"

- Continuous time design taking computer characteristics into account.

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4.1.3. Control design in discrete time

- The process to be controlled is first modelled (continuous time).
- The process model is then transformed into a discrete time model using zero order hold sampling (zoh).
- The control design (e.g. pole placement design) is performed completely in the discrete domain. (With new rules for pole placement).

Advantage: Better performance when the sampling period is "to slow"
Disadvantage: Some of the physical insight is lost when leaving the differential equations in favour of the difference equations.

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4.2. Lecture outline

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4.2.2. Assumptions/consequences

- sampling at constant frequency (constant sampling interval)
- synchronism between sampling and actuation
- zero delay between sampling and actuation (clearly we can not achieve this exactly, execution of the control algorithm takes time)



4.2.3. The concept of ZOH -actuation



The signal y(t) which is generated by the process with the model $y(s) = G_{I}(s)u(s)$:

is EXACTLY described at each sampling instance,

 nT_s with n = 1, 2, 3..., by the model

 $y(z) = G_2(z)u(z)$:

If $u(nT_s)$ is constant during the sampling period and

 $G_2(z)$ is the zero order hold model of $G_1(s)$.

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4.2.4. Calculate the zoh model

Consider the state space model

$$\dot{x}(t) = A \cdot x(t) + B \cdot u(t)$$
$$y(t) = C \cdot x(t)$$

The corresponding discrete time model describes the system only at the sampling instants t[n]. Given that we know the state vector at sample t[n] we may integrate the continuous time system from t[n] to t[n + 1]. This gives the discrete time state space system

$$x[n+1] = \boldsymbol{\Phi} \cdot x[n] + \boldsymbol{\Gamma} \cdot \boldsymbol{u}[n]$$
$$y[n] = \boldsymbol{C} \cdot x[n]$$

The new system matrices Φ and Γ are given on the next slide.

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4.2.5. Continued.

Assuming that the system is sampled with sampling interval T_s , i.e.

 $t[n+1] - t[n] = T_s$ and that the input is constant during the sampling interval (referred to as zero order hold sampling), the system matrices A and B are replaced by Φ and Γ respectively

$$\Phi = e^{AT_s}$$
$$\Gamma = \int_0^{T_s} e^{As} ds \cdot E$$

- The discrete model reflects the system behaviour at sampling instants only, i.e. system dynamics may be hidden by the sampling procedure.
- Φ and Γ are derived in *Computer control ch.* 3

4.2.6. Example of a zoh sampled model



4.2.7. Discrete time transfer function

• The discrete time transfer function is calculated from the discrete time state space model.

s.s. model, $x[n+1] = \Phi x[n] + \Gamma u[n]$ y[n] = Cx[n]

using z as the shift operator, x[n+1] = zx[n]

```
hence, (z - \Phi)x[n] = \Gamma u[n]
y[n] = Cx[n]
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 $x[n] = (z - \Phi)^{-1} \Gamma u[n]$ $y[n] = Cx[n] = C(z - \Phi)^{-1} \Gamma u[n]$

The discrete time transfer function $G(z) = \frac{y(z)}{u(z)} = C(z - \Phi)^{-1}\Gamma$

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4.2.8. Summary ZOH

- ZOH is NOT an approximation as Euler or Tustin.
- ZOH should NOT be used to approximate continuous time controllers since the average delay will be a half sample period. (see slide 4.2.6.)
- The zoh model is calculated from the continuous time state space model and a sampling period.
- The zoh model is a discrete time state space model, but can be converted to a discrete time transfer function.

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4.3.1. Discrete time control design

- Specifications are often given in the time domain, e.g., step response and response to external disturbances.
 - The time domain specifications are converted to continuous poles.
 - The continuous poles are converted to discrete poles.
- The control structure, i.e., S(s) and R(s) are selected.
 - The control structure is converted to a discrete version, S(z) and R(z).

4.3.2. First order discrete time poles

• A continuous time pole s = a + bi is mapped to a discrete time pole by $z = e^{sT_s}$ where T_s is the sampling period. (See lecture 2.1)



4.3.3. Second order discrete time poles

A second order polynomial $P_{c_2}(s) = s^2 + 2\zeta \omega_0 s + \omega_0^2$ has poles at $s_{1,2} = -\zeta \omega_0 \pm i \omega_0 \sqrt{1-\zeta^2}$, calculating $z_{1,2} = e^{s_{1,2}T_s}$ gives the second order discrete time polynomial, $P_{d_2} = z^2 + p_1 z + p_0$, with $p_1 = -2e^{-\zeta \omega_0 T_s} \cos\left(\omega_0 T_s \sqrt{1-\zeta^2}\right)$ $p_0 = e^{-2\zeta \omega_0 T_s}$

>> poles disc=exp(poles cont*Ts)



Matlab:

4.3.4. A special case

• The single discrete time pole z = a with -1 < a < 0 can not be mapped to a single continuous time pole since $s = \frac{\ln(z)}{T_a}$ is a complex number.



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4.4.1. Pole placement

- Calculate the process model, $G_p(z) = \frac{B(z)}{A(z)} = \frac{b_n z^n + \dots + b_0}{z^m + a_{m-1} z^{m-1} + \dots + a_0}$
- Chose control structure, P, PD, PI etc. which gives the structure of S(z) and R(z)
 -more on next slide on the discrete time versions
- Determine the order of the closed loop, AR + BS

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- Given the closed loop specifications define the desired closed loop polynomial $A_{cl}(z) = A_m(z)A_o(z)$, where A_m has the same order as A.
- Calculate the coefficients in *s* and *R* by solving the Diophantine equation $AR + BS = A_m A_o$.

4.4.2. Control structures

• To get integral action $\frac{1}{s}$ in a discrete time controller the factor (z-1)

should be included in R. ($e^{T_s a} = 1$ with a = 0)

ex. PI controller, $G_c(s) = \frac{Ps+I}{s}$,

hence,
$$\frac{S(s)}{R(s)} = \frac{s_1s + s_0}{s}$$
 gives $\frac{S(z)}{R(z)} = \frac{s_1z + s_0}{z - 1}$

• To get derivative action in the controller.

$$G_c(s) = Ds + P$$

hence, $\frac{S(s)}{R(s)} = \frac{s_1 s + s_0}{1}$, gives $\frac{S(z)}{R(z)} = s_1 z + s_0$, however this is not proper since,

 $u[n] = -s_1y[n+1] - s_0y[n]$. (The notion of velocity in the control is lost!)

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To get it proper include a time constant in R.

$$G_c(s) = \frac{Ds+P}{(\tau s+1)},$$

hence, $\frac{S(s)}{R(s)} = \frac{s_1s + s_0}{s + r_0}$, which gives $\frac{S(z)}{R(z)} = \frac{s_1z + s_0}{z + r_0}$ with the implementation.

$$(z+r_0)u = (s_1z+s_0)y$$
 and $u[n] = -r_0u[n-1] - s_1y[n] - s_0y[n-1]$

• PID control

$$G_c(s) = \frac{Ds^2 + Ps + I}{s}$$
 is not proper, include a time constant in R

$$G_{c}(s) = \frac{Ds^{2} + Ps + I}{s(\tau s + 1)} \text{ hence, } \frac{S(s)}{R(s)} = \frac{s_{2}s^{2} + s_{1}s + s_{0}}{s(s + r_{0})} \text{ which gives } \frac{S(z)}{R(z)} = \frac{s_{2}z^{2} + s_{1}z + s_{0}}{(z - 1)(z + r_{0})}$$

4.4.3. Evaluation

- Time response. Simulation in Simulink with discrete time controller and continuous time process model. Not possible in Matlab with e.g. 'step'.
 - Sensor simulation, sampling and noise.
 - Disturbance, external load...
 - Antialiasing filter
- Frequency response. Must be done with discrete time controller and discrete time process model. Include a discrete time version of the antialiasing filter!
 - phase and amplitude margin
 - load and sensor noise
 - robustness from Sensitivity function, (more in lecture 7).

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4.4.4. Summary

- The digital implementation of a controller on a microprocessor 'sees' the process model as the zoh model.
- Select a sample time and calculate the zoh model
- Design the controller based on the zoh model
 - Convert the closed loop continuous poles from the specifications to discrete poles
 - Convert the control structure from continuous time to discrete time.
 - Calculate the control parameters exactly in the same way as in the continuous time case.

Advantage, very long sample periods are possible

Disadvantage, some of the physical insight is lost

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4.5.1. Example

- Motor from voltage to position $G_p(s) = \frac{b}{s(s+a)}$.
- From specifications we want c.l. poles at $\omega_0 = -50$ rad/s with minimum damping $\zeta = 0.9$.

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• Sampling period from rule of thumb in the interval $T_s = \left[\frac{2\pi}{10\omega_0}, \frac{2\pi}{30\omega_0}\right]$.

• ZOH sampling of
$$G_p(s)$$
 gives $G_p(z) = \frac{b_1 z + b_0}{z^2 + a_1 z + a_0}$ (second order)

• Design a PD controller of first order,
$$-> \frac{S(z)}{R(z)} = \frac{s_1 z + s_0}{z + r_0}$$

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• Compute c.l. polynomial, $A_{cl}(z) = A(z)R(z) + B(z)S(z)$

 $Acl := z^{3} + (a1 + r0 + b1 s1) z^{2} + (a0 + a1 r0 + b0 s1 + b1 s0) z + a0 r0 + b0 s0$

- Select the desired c.l. polynomial as the third order polynomial $A_{cl}(z) = A_m(z)A_o(z)$ where A_m is second order (same as A(z)). $A_{cl}(z) = (z^2 + p_1 z + p_0)(z + p_0)$
- Solve for the three unknown control parameters $\{s_1, s_0, r_0\}$.

$$s1 = -\frac{b0 a0 - b0 a1^{2} + b1 a0 a1 + b0 a1 p2 - b1 a0 p2 - b0 p1 + b1 p0}{-b0 a1 b1 + b0^{2} + a0 b1^{2}}$$

$$s0 = -\frac{-a0 a1 b0 + a0^{2} b1 - a0 b1 p1 + a0 p2 b0 + p0 a1 b1 - p0 b0}{-b0 a1 b1 + b0^{2} + a0 b1^{2}},$$

$$r0 = \frac{-a1 b0^{2} + b1 b0 a0 - b1 b0 p1 + b1^{2} p0 + p2 b0^{2}}{-b0 a1 b1 + b0^{2} + a0 b1^{2}}$$

• Select the feed forward part,
$$T = t_0 A_o$$
, giving the c.l. $\frac{BT}{AR + BS} = \frac{BT_0 A_o}{A_m A_o} = \frac{BT_0}{A_m}$

• Try different sampling periods, $T_s = \frac{2\pi}{n\omega_0}$, n = [30, 20, 10] = [4, 6, 12]ms

Same behaviour for all versions!



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4.6.1. Sampling continuous time signals

A sine wave signal with frequency ω sampled with freq. $\omega_s = 2\omega$ (circles)



It clearly appears that sampling at this frequency gives nothing!

- Shannons sampling theorem: A continuous time signal with a fourier transform equal to zero outside the interval $(-\omega_0, \omega_0)$ is given uniquely by equidistant sampling with a frequency ω_s higher that $2\omega_0$.
- In a sampled system the important frequency $\omega_s/2$ is also referred to as the Nyquist frequency. Signals with frequency lower than the Nyquist frequency can be reconstructed after sampling.

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4.6.2. Aliasing and new frequencies



A square signal with an overlaid noise signal, sin(0.9t). (Blue line y_1).

Sampling y_1 with 1 Hz, (black x) gives a new frequency with 0.1 Hz. (same as y_2 red line).



4.6.3. Numeric example of aliasing

The signal $y_1(t) = \cos(2\pi f_1 t)$ with the frequency $f_1 = 0.9$ Hz, is sampled with the frequency $F_s = 1$ Hz.

The signal is sampled at the time instants $t = \frac{n}{F_s}$ where $n = 1...\infty$ $y_1(n) = \cos\left(2\pi f_1 \frac{n}{1}\right) = \cos(1.8\pi n)$ $= \cos(2\pi n - 0.2\pi n)$ $= \cos(2\pi n - 2\pi 0.1n)$ $= \cos\left(2\pi 0.1 \frac{n}{1}\right) = \cos(2\pi f_2 t)$

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The frequency $f_1 = 0.9$ Hz is said to be an *alias* of the frequency $f_2 = 0.1$ (Hz) when it is sampled at 1 Hz.

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4.6.4. Avoiding aliasing with analog LP filter



4.6.5. Pre- or antialiasing filtering

- Real signals are not band limited. High frequency components must be filtered away to avoid aliasing.
- For digital/discrete sensors the aliasing problem is less aggravating.
- The prefilter is typically implemented as an analog filter with resistors, capacitors and an operational amplifier.
- The filter should be taken into account in control design.

NEVER IMPLEMENT A FEEDBACK LOOP WITHOUT ANTIALIASING FILTER ON ALL AD-CONVERTERS

4.6.6. Choice of sampling rates

- single rate systems
 - high sampling rate is costly
 - the frequency should be set in relation to the fastest dynamics in the closed loop characteristics (i.e. bandwidth, rise-time) of the feedback, observer or model following.
 - or 4-10 samples per rise time



- Make a preliminary continuous time design of the controller $G_c(s)$ and $G_{ff}(s)$
- It gives the fastest closed loop bandwidths, ω_b and cross-over frequencies, ω_c for the various parts of the control system, normally the c.l. poles.
- Select Sampling frequency $\omega_s \in [10, 30] \max(\omega_b, \omega_c)$ and calculate $G_c(z)$ and $G_{ff}(z)$
- Design an anti-aliasing filter $G_a(s)$ with a bandwidth of $\omega_a \in [0.17, 0.33] \omega_s$



4.6.8. Sampling period based on reality!

- Often you will not have a free choice of selecting the sampling period. Based on implementation HW you will "get" a T_s.
- Choice of microprocessor for the implementation.

high cost processor:

floating point arithmetic, 32/64 bit , 20-200 Mhz CPU clock -> high sampling frequency

low cost processor:

fixed point arithmetic, 8/16 bit, 4-30 Mhz CPU clock -> low sampling frequency

• A lot of more functions have to run on the same processor. less time for the controller code to execute.

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• 8. Influence on performance from the antialiasing filters

4.7.1. Design of analog filters

• The frequency response of the filter is known and should be realised as a electrical circuit.

• For example:

Lowpass filter for antialiasing Highpass filter for rejection of dc-level signals Bandpass filter for passing of specific frequencies Bandstop filter for blocking of specific frequencies

Passive circuits

Based only on resistors, capacitors and inductors

Active circuits

Also includes a active component such as a transistor or op amplifier.

4.7.2. Passive filters

Also possible as RL

circuits.



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 U_o



4.7.4. How to select the components





$$C = R_i \cup U_o$$

- Example with $R_i = 1 M\Omega$, $\tau = 1 ms$
- Select Capacitor -> C = 100 pF
- gives $R_f = 10 k\Omega$ and dc-gain = 0.99

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4.7.5. Active filters with op-amp

- Maximum gain of a passive filter is unity.
- The inductor in a passive filter may be large.
- Can require very small capacitors for lowpass filters with low cutoff frequency.
- Active filters are simple to connect in cascade for higher order filters.
- Active filters may become unstable.

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4.8.1. Incl. the antialiasing filter in the design

- If the sampling period is slow compared to the frequency of the closed loop poles. Then the cutoff frequency of the lowpass filter will be to close to the
- The antialiasing filter gives a undesired phase lag to the controller.

 $y_a(s) = G_a(s)y(s), y_a(t)$ will lag y(t) in phase.

- This can be avoided by designing the pole placement controller on $G_p(s)G_a(s)$ instead of only on $G_p(s)$.
- The order of the controller polynomial must be increased by the same order as the Low pass filter.

- Otherwise is it not possible to choose c.l. poles freely.

4.8.2. Example with antialiasing filter

• Using the same example as above with *Ts*=12 ms.

- which is 10 times faster than the c.l. poles. $\omega_s = \frac{2\pi}{T_s} = 524$ rad/s

-chose a 1:st order L.P filter in between ω_s and $\omega_0 \cdot \omega_a = 5\omega_0 = 250$ rad/s

- Transfer function for 1:st order L.P. filter $G_a = \frac{1/(RC)}{s+1/(RC)}$ where $1/(RC) = \omega_a$.
- Transfer function of both dc motor and filter is 3:rd order $G_{ap}(s) = \frac{b/(Rc)}{s^3 + (a+1/(RC))s^2 + (a/(RC))s}$

• Zoh of
$$G_{ap}(s)$$
 is $G_{ap}(z) = \frac{b_2 z^2 + b_1 z + b_0}{z^3 + a_2 z^2 + a_1 z + a_0}$ also of third order

- Select a second order controller $\frac{S}{R} = \frac{s_2 z^2 + s_1 z + s_0}{z^2 + r_1 z + s_0}$
- 5:th order closed loop polynomial

 $Acl := z^{5} + (a^{2} + r^{1} + b^{2} s^{2}) z^{4} + (b^{1} s^{2} + b^{2} s^{1} + a^{1} + a^{2} r^{1} + r^{0}) z^{3} + (b^{0} s^{2} + b^{1} s^{1} + b^{2} s^{0} + a^{0} + a^{1} r^{1} + a^{2} r^{0}) z^{2} + (a^{0} r^{1} + a^{1} r^{0} + b^{0} s^{1} + b^{1} s^{0}) z + a^{0} r^{0} + b^{0} s^{0}$

• Select the desired c.l. polynomial: $A_m(z)$ same order as A(z) = 3. Then $A_o(z)$ must be of second order.

$$A_{cl} = A_m A_o = \underbrace{(z^2 + p_{m1}z + p_{m0})(z + p_m)(z^2 + p_{o1}z + p_{o0})}_{A_m} \underbrace{(z^2 + p_{o1}z + p_{o0})}_{A_o}$$

 Solve for the unknown control parameters, r_i and s_i as a function of the known process parameters, a_i and b_i and desired polynimial coefficients p_i.

• Calculate
$$T(z) = A_o(z)t_0$$

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4.8.3. Results

With antialiasing filter, but it is not included in the design (second order controller). With antialiasing filter, and it is included in the design (Third order controller).



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4.8.4. Summary

- Two design concepts (continuous, discrete, combination of both)
- Rules of thumb for selection of sampling period.
- However, often the microprocessor gives the minimum sampling period.
- If the sampling period is to "close" to the c.l. poles is it better to design the controller in discrete time.
- Antialiasing filter is absolutely necessary when you are using an analog sensor as feedback signal.
- If the lowpass filter frequency is to "close" to the c.l. poles, include it in the controller design.
- Analysis of the effects of the transformation of the control design from continuous to discrete time e.g., phase and amplitude margins.