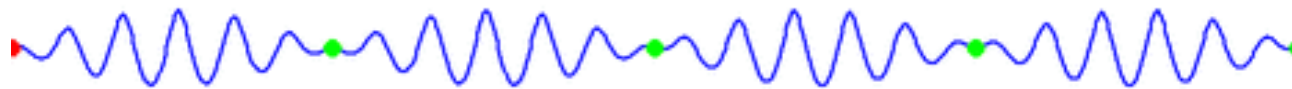




# Wave equations and properties of waves in ideal media

T. Johnson



# Outline

- Derivation of the wave equation and definition of wave quantities:
  - dispersion equation / dispersion relation / refractive index
  - wave polarization
- Some math for wave equations (mainly linear algebra)
  - relation between damping and antihermitian part of the dielectric tensor
- Waves in ideal anisotropic media
  - birefringent crystals (see fig.)
- Group velocity
- Plasma oscillations
- Elementary plasma waves
  - Langmuir waves
  - ion-acoustic waves
  - high frequency transverse wave
  - Alfvén waves
- Wave resonances & cut-offs



*Why do we see the letters twice?*

## The wave equation in vacuum

- Wave equations can be derived for  $\mathbf{B}$ ,  $\mathbf{E}$  and  $\mathbf{A}$ .
- **Waves in vacuum**, i.e. no free charge or currents; then  $\phi = \text{const!}$   
Using Fourier transformed quantities:

$$\mathbf{E}(\omega, \mathbf{k}) = i\omega\mathbf{A}(\omega, \mathbf{k}) \quad , \quad \mathbf{B}(\omega, \mathbf{k}) = i\mathbf{k} \times \mathbf{A}(\omega, \mathbf{k}) \quad , \quad i\mathbf{k} \cdot \mathbf{A}(\omega, \mathbf{k}) = 0$$

- Ampere's law:

$$i\mathbf{k} \times \mathbf{B} + i\omega\mathbf{E}/c^2 = \mu_0\mathbf{J} \quad \longrightarrow \quad \mathbf{k} \times (\mathbf{k} \times \mathbf{A}) + \omega^2/c^2\mathbf{A} = -\mu_0\mathbf{J}$$

where  $\mathbf{k} \times (\mathbf{k} \times \mathbf{A}) = \mathbf{k}(\mathbf{k} \cdot \mathbf{A}) - |\mathbf{k}|^2\mathbf{A}$

- Homogeneous wave equation:

$$\left( |\mathbf{k}|^2 - \omega^2/c^2 \right) \mathbf{A} = 0$$

- Solutions exists for:  $\left( |\mathbf{k}|^2 - \omega^2/c^2 \right) = 0$  , the *dispersion equation!*

# Dispersion relations

- A wave satisfying a dispersion equation is called a *Wave Mode*.
- Solutions to the dispersion equation can be written as a relation between  $\omega$  and  $\mathbf{k}$  called a *dispersion relation*, e.g.

$$\omega = \omega_M(\mathbf{k})$$

- Note: here  $\omega$  is the frequency and  $\omega_M(\mathbf{k})$  is a function of  $\mathbf{k}$
  - the sub-index  $M$  is for wave mode.
  - in general the function  $\omega_M$  depends on the dielectric response and therefore is a property of the media
- In vacuum the dispersion relation reads:

$$\omega = \pm|\mathbf{k}|/c \quad \Rightarrow \quad \omega_{M\pm}(\mathbf{k}) = \pm|\mathbf{k}|/c$$

i.e. light waves

# Refractive index

- Dispersion relations can be written using the **refractive index**  $n$

$$n \equiv \frac{|\mathbf{k}|c}{\omega} \sim \frac{\text{"speed of light"}}{\text{"phase velocity"}}$$

- A dispersion relation for a wave mode can be rewritten...

– by replacing  $\omega^2 = (|\mathbf{k}|c/n)^2$

$$n \equiv n_M(\mathbf{k})$$

– or by replacing  $\mathbf{k} = |\omega n/c| \mathbf{e}_k$

$$n \equiv n_M(\omega, \mathbf{e}_k)$$

- The dispersion relation for waves in vacuum then reads

$$n = \pm 1$$

i.e. the *phase velocity* of vacuum waves is the *speed of light*

# Plane waves

- In this course we only consider infinite domains
  - and *almost* exclusively homogeneous media
- Then the wave equation has plane wave solutions

$$A_i(\mathbf{x}, t) = \hat{A}_i \exp(i\mathbf{k} \cdot \mathbf{x} - i\omega t)$$

- Take the plane wave for all perturbed quantities (in Maxwell's equation and the equation of motion); then

$$\nabla \rightarrow i\mathbf{k} \quad , \quad \frac{\partial}{\partial t} \rightarrow -i\omega$$

- just as when we do Fourier transforms!
- for linear differential equations: Fourier transforms and plane wave ansatz give the same equation
- e.g. the same wave equations, dispersion relation...!!

*The dispersion relation describes the plane waves eigenmodes, i.e. what wave exists in absence of external currents or charges*

# The wave equation in dispersive media

- Ex: Temporal Gauge,  $\phi=0$ , the fields are described by  $\mathbf{A}$  alone

$$\mathbf{E}(\omega, \mathbf{k}) = i\omega\mathbf{A}(\omega, \mathbf{k}) \quad , \quad \mathbf{B}(\omega, \mathbf{k}) = i\mathbf{k} \times \mathbf{A}(\omega, \mathbf{k})$$

- Ampere's law:

$$i\mathbf{k} \times \mathbf{B} + i\omega\mathbf{E}/c^2 = \mu_0\mathbf{J} \quad \longrightarrow \quad \mathbf{k} \times (\mathbf{k} \times \mathbf{A}) + (\omega/c)^2 \mathbf{A} = -\mu_0\mathbf{J}$$

- Split  $\mathbf{J} = \mathbf{J}_{\text{ext}} + \mathbf{J}_{\text{ind}}$ , where  $\mathbf{J}_{\text{ext}}$  external drive and  $\mathbf{J}_{\text{ind}}$  is induced parts

$$J_{\text{ind}, i} = \alpha_{ij} A_j$$

where  $\alpha_{ij}$  is *polarisation response tensor*

- Inhomogeneous wave equation:

$$\Lambda_{ij} A_j = -\frac{\mu_0 c^2}{\omega^2} J_{\text{exp}, i}$$

Wave operator

$$\text{where } \Lambda_{ij} = \frac{c^2}{\omega^2} \left( \underbrace{k_i k_j - |\mathbf{k}|^2 \delta_{ij}}_{\mathbf{k} \times \mathbf{k} \times \dots} \right) + K_{ij}$$

Dielectric tensor:  $K_{ij} = \delta_{ij} + \frac{1}{\omega^2 \epsilon_0} \alpha_{ij}$

# Dispersion relations in dispersive media

- Homogeneous wave equation:

$$\Lambda_{ij}(\omega, \mathbf{k}) A_j(\omega, \mathbf{k}) = 0$$

*(the book includes only the Hermitian part  $\Lambda^H$ , but this is a technicality  
At the end of this calculations we get the same dispersion relation)*

- Solutions exist if and only if:

$$\Lambda(\omega, \mathbf{k}) \equiv \det[\Lambda_{ij}(\omega, \mathbf{k})] = 0$$

this is the *dispersion equation*.

- From this equation the *dispersion relation* can be derived

$$\omega = \omega_M(\mathbf{k})$$

where

$$\Lambda(\omega_M(\mathbf{k}), \mathbf{k}) = 0$$



# Non-linear and linear eigenvalue problems



- This wave equation is a *non-linear eigenvalue problem*, to see this...
- Remember *linear eigenvalue problems*:  
for a matrix  $\mathbf{A}$  find the eigenvalues  $\lambda$  and the eigenvectors  $\mathbf{x}$  such that:

$$\mathbf{Ax} - \lambda\mathbf{x} = (\mathbf{A} - \lambda\mathbf{I})\mathbf{x} = 0$$

or alternatively

$$(A_{ij} - \lambda\delta_{ij})x_j = \Lambda_{ij}(\lambda)x_j = 0$$

Thus for the linear eigenvalue problem  $\Lambda_{ij}$  is linear in  $\lambda$ .

- Our wave equation has the same form, except  $\Lambda_{ij}(\omega)$  is non-linear in  $\omega$ .
- Thus, we are looking for the eigenvalues  $\omega_M$  and the eigenvectors  $\mathbf{A}$  to the equation

$$\Lambda_{ij}(\omega_M, \mathbf{k}) A_j = 0$$

- **Exercise:** show that when  $K_{ij}=K_{ij}(\mathbf{k})$ , the wave equation is a linear eigenvalue problem in  $\omega^2$ . However, inertia in Eq. of motion (when deriving media response) gives  $K_{ij}=K_{ij}(\omega, \mathbf{k})$ .

# Polarization vector

- So the wave equation is an eigenvalue problem
  - The eigenvalue is the frequency
  - The normalised eigenvector is called the *polarisation vector*,  $\mathbf{e}_M(\mathbf{k})$

$$\mathbf{e}_M(\mathbf{k}) = \frac{\mathbf{A}(\omega_M(\mathbf{k}), \mathbf{k})}{|\mathbf{A}(\omega_M(\mathbf{k}), \mathbf{k})|} \quad \text{the direction of the } \mathbf{A}\text{-field!}$$

- Note: the  $\mathbf{A}$ -field is parallel to the  $\mathbf{E}$ -field
- Note: the polarisation vector is complex – what does this mean?
  - e.g. take  $\mathbf{e}_M = (2, i, 0) / 5^{1/2}$ , then the vector potential is

$$\begin{aligned} \mathbf{A}(t, \mathbf{x}) &\propto \text{Re} \left\{ [2, i, 0] \exp(i\mathbf{k} \cdot \mathbf{x} + i\omega t) \right\} = \\ &= [2 \cos(\mathbf{k} \cdot \mathbf{x} + \omega t), \cos(\mathbf{k} \cdot \mathbf{x} + \omega t + 90^\circ), 0] \end{aligned}$$

- The difference in “phase” of  $e_{M1}$  and  $e_{M2}$  (in complex plane; one being real and the other imaginary) makes  $A_1$  and  $A_2$  oscillate  $90^\circ$  out of phase – elliptic polarisation!

# Longitudinal & Transverse waves

## Definition:

Longitudinal & Transverse waves have  $\mathbf{e}_M$  parallel & perpendicular to  $\mathbf{k}$

- **Examples:**

- *Light waves* have  $\mathbf{E} \perp \mathbf{A}$  perpendicular to  $\mathbf{k}$ , i.e. a *transverse wave*
- *Sounds waves* (wave equation for the fluid velocity  $\mathbf{v}$ ) have  $\mathbf{v} \parallel \mathbf{k}$ , i.e. a *longitudinal wave*.

# Linear algebra: cofactors



- An  $(i,j)$ :th cofactor,  $\lambda_{ij}$  of a matrix  $\Lambda$  is the determinant of the “reduced” matrix, obtained by removing row  $i$  and column  $j$ , times  $(-1)^{i+j}$
- In tensor notation (*you don't have to understand why!*):

$$\lambda_{ai} = \frac{1}{2} \varepsilon_{abc} \varepsilon_{ijl} \Lambda_{bj} \Lambda_{cl} \quad \text{e.g.} \quad \lambda_{21} = (-1)^{i+j} \det \begin{vmatrix} * & \Lambda_{12} & \Lambda_{13} \\ * & * & * \\ * & \Lambda_{32} & \Lambda_{33} \end{vmatrix} = (-1)^{i+j} \begin{vmatrix} \Lambda_{12} & \Lambda_{13} \\ \Lambda_{32} & \Lambda_{33} \end{vmatrix}$$

↑  
reduced matrix

- Alternative definition for cofactors:

$$\Lambda_{ik} \lambda_{kj} = \Lambda \delta_{ij}$$

- Thus, for  $\Lambda=0$  each column  $(\lambda_{1j}, \lambda_{2j}, \lambda_{3j})^T$  is an eigenvector!
- It can be shown that

$$\lambda_{ai} = \lambda_{kk} e_{Mi} e_{Mj}^*$$

where  $\lambda_{kk}$  is the *trace* of  $\lambda$  and  $e_{Mi}$  are the normalised eigenvectors

# Linear algebra: determinants



- The determinant can be written as (Melrose page 139)

$$\det[\Lambda] = \frac{1}{6} \varepsilon_{abc} \varepsilon_{ijl} \Lambda_{ai} \Lambda_{bj} \Lambda_{cl}$$

- Derivatives (note that the three derivatives are identical)

$$\frac{\partial}{\partial x} \det[\Lambda(x)] = \frac{1}{2} \underbrace{\varepsilon_{abc} \varepsilon_{ijl} \Lambda_{ai} \Lambda_{bj}}_{\text{Cofactors } \lambda_{bj}!} \frac{\partial \Lambda_{cl}}{\partial x} = \lambda_{bj} \frac{\partial \Lambda_{bj}}{\partial x}$$

- Special case; take derivative w.r.t. the one tensor component

$$\frac{\partial}{\partial \Lambda_{ij}} \det[\Lambda(\Lambda_{11}, \Lambda_{12}, \Lambda_{21}, \Lambda_{22} \dots)] = \lambda_{nm} \underbrace{\frac{\partial \Lambda_{nm}}{\partial \Lambda_{ij}}}_{\delta_{ni} \delta_{jm}} = \lambda_{ij}$$

# Linear algebra: Taylor expansion



- The determinant of this matrix is a function of the matrix components

$$\det[\Lambda] = f(\Lambda_{11}, \Lambda_{12}, \dots)$$

- Perturbing the matrix components  $\Lambda_{ij} \rightarrow \Lambda_{ij} + \delta\Lambda_{ij}$  we can then Taylor expand

$$\begin{aligned}\det[\Lambda + \delta\Lambda] &= f(\Lambda_{ij} + \delta\Lambda_{ij}) = \\ &= f(\Lambda_{ij}) + \frac{\partial}{\partial\Lambda_{ij}} f(\Lambda_{ij})\delta\Lambda_{ij} + O(\delta\Lambda^2) = \\ &= \det[\Lambda] + \frac{\partial}{\partial\Lambda_{ij}} \det[\Lambda]\delta\Lambda_{ij} + O(\delta\Lambda^2) = \\ &= \det[\Lambda] + \lambda_{ij}\delta\Lambda_{ij} + O(\delta\Lambda^2)\end{aligned}$$

# Damping of waves

- Next we'll show that for low amplitude waves
  - the anti-Hermitian part of the dielectric tensor  $K^A_{ij}$  describes wave damping, i.e. the decay of the wave
  - the Hermitian part provide the dispersion relation
- Consider a plane wave with complex frequency  $\omega + i\omega_I$

$$A_i(\mathbf{x}, t) = \hat{A}_i \exp(\omega_I t) \exp(i\mathbf{k} \cdot \mathbf{x} - i\omega t)$$

- The wave amplitude decays at a rate  $-\omega_I$
  - Note: the wave energy ( $\sim |\mathbf{E}|^2$ ) decays at a rate  $\gamma = -2\omega_I$
- The dispersion relation

$$\det[\Lambda_{ij}(\omega + i\omega_I, \mathbf{k})] = \det[\Lambda_{ij}^H(\omega + i\omega_I, \mathbf{k}) + \Lambda_{ij}^A(\omega + i\omega_I, \mathbf{k})] = 0$$

Exercise: show that  $\Lambda^A = \mathbf{K}^A$

- To simplify this further we need to assume *weak damping* ...

# Weak damping of waves

- Assume the damping to be weak by:

$$K_{ij}^A \rightarrow 0 \quad \text{and} \quad \omega_I \rightarrow 0$$

- Also assume  $\omega_I \sim K^A$

- Interpretation of the relation  $\omega_I \sim K^A$ : reduce  $K^A$  by factor, then  $\omega_I$  reduces by the same factor, thus they go to zero *together*

- Expand in small  $\omega_I$ :

$$\begin{aligned} \Lambda_{ij}(\omega + i\omega_I, \mathbf{k}) &\approx \Lambda_{ij}(\omega, \mathbf{k}) + i\omega_I \frac{\partial}{\partial \omega} \Lambda_{ij}(\omega, \mathbf{k}) + O(\omega_I^2) \\ &\approx \underbrace{\Lambda_{ij}^H(\omega, \mathbf{k}) + K_{ij}^A(\omega, \mathbf{k})}_{\text{1st order in } \omega_I} + i\omega_I \frac{\partial}{\partial \omega} \Lambda_{ij}^H(\omega, \mathbf{k}) + i\omega_I \frac{\partial}{\partial \omega} K_{ij}^A(\omega, \mathbf{k}) + O(\omega_I^2) \end{aligned}$$

Both small, i.e.  $\sim \omega_I^2$

- Dispersion equation then reads

$$\det[\Lambda_{ij}^H + \delta\Lambda_{ij}] = 0, \quad \delta\Lambda_{ij} = K_{ij}^A(\omega, \mathbf{k}) + i\omega_I \frac{\partial \Lambda_{ij}^H(\omega, \mathbf{k})}{\partial \omega} + O(\omega_I^2)$$

- Expand the determinant in small  $\delta\Lambda_{ij}$



## Weak damping of waves

- The dispersion equation (repeated from previous page):

$$\det[\Lambda_{ij}^H + \delta\Lambda_{ij}] = 0 \quad , \quad \delta\Lambda_{ij} = K_{ij}^A(\omega, \mathbf{k}) + i\omega_I \frac{\partial \Lambda_{ij}^H(\omega, \mathbf{k})}{\partial \omega} + O(\omega_I^2)$$

- Taylor expand the determinant

$$\det[\Lambda_{ij}^H + \delta\Lambda_{ij}] = \det[\Lambda_{ij}^H] + \delta\Lambda_{ij} \lambda_{ij} + O(\delta\Lambda_{ij}^2)$$

– where  $\lambda_{ij}$  are the cofactors of  $\Lambda_{ij}^H(\omega, \mathbf{k})$

- NOTE: (see “Linear Algebra” pages):  $\lambda_{ij} \frac{\partial}{\partial \omega} \Lambda_{ij}^H(\omega, \mathbf{k}) = \frac{\partial}{\partial \omega} \det[\Lambda_{ij}^H]$

- The dispersion equation can then be written as

$$\det[\Lambda_{ij}^H(\omega, \mathbf{k})] + \lambda_{ij} K_{ij}^A(\omega, \mathbf{k}) + i\omega_I \frac{\partial}{\partial \omega} \det[\Lambda_{ij}^H(\omega, \mathbf{k})] + O(\omega_I^2) = 0$$

## Weak damping of waves

- Note that the dispersion equation with weak damping has both *real* and *imaginary* parts
  - The matrix of cofactors is Hermitian, thus  $\lambda_{ij} K_{ij}^A$  is imaginary
  - Also:  $\det(\Lambda_{ij}^H)$  is real

$$0 = \text{Re}\left\{\det\left[\Lambda(\omega, \mathbf{k})\right]\right\} \approx \det\left[\Lambda_{ij}^H(\omega, \mathbf{k})\right] + O(\omega_I^2)$$

$$0 = \text{Im}\left\{\det\left[\Lambda(\omega, \mathbf{k})\right]\right\} \approx -i\lambda_{ij} K_{ij}^A(\omega, \mathbf{k}) + \omega_I \frac{\partial}{\partial \omega} \det\left[\Lambda_{ij}^H(\omega, \mathbf{k})\right] + O(\omega_I^2)$$

- The first equation gives dispersion relation for real frequency

$$\omega = \omega_M(\mathbf{k}) \quad \text{such that: } \det\left[\Lambda_{ij}^H(\omega_M(\mathbf{k}), \mathbf{k})\right] + O(\omega_I^2) = 0$$

and the second equations gives the damping rate

$$\omega_I = \frac{i\lambda_{ij} K_{ij}^A(\omega_M(\mathbf{k}), \mathbf{k})}{\frac{\partial}{\partial \omega} \det\left[\Lambda_{nm}^H(\omega, \mathbf{k})\right]_{\omega = \omega_M(\mathbf{k})}} + O(\omega_I^2)$$

## Energy dissipation rate, $\gamma_M$

- Alternatively we can form the *energy dissipation rate*, i.e. rate at which the wave energy is damped  $\gamma_M = -2\omega_I$ 
  - express the cofactor in terms of polarisation vectors  $\lambda_{ij} = \lambda_{kk} e_{Mi} e_{Mj}^*$

$$\gamma_M = -2i\omega_M(\mathbf{k}) R_M(\mathbf{k}) \left\{ \underbrace{e_{Mi}^*(\mathbf{k})}_{\text{Vector}} \underbrace{K_{ij}^A(\omega_M(\mathbf{k}), \mathbf{k})}_{\text{Matrix}} \underbrace{e_{Mj}(\mathbf{k})}_{\text{Vector}} \right\}$$

**Note:** this is related to the hermitian part of the conductivity,  $\sigma_{ij}^H \propto iK_{ij}^A$

$$\gamma_M \propto e_{Mi}^* [iK_{ij}^A] e_{Mj} \propto e_{Mi}^* \sigma_{ij}^H e_{Mj}$$

- here  $R_M$  is the *ratio of electric to total energy*

$$R_M(\mathbf{k}) = \left. \frac{\lambda_{ss}(\omega, \mathbf{k})}{\omega \frac{\partial}{\partial \omega} \det[\Lambda_{nm}^H(\omega, \mathbf{k})]} \right|_{\omega = \omega_M(\mathbf{k})}$$

and plays an important role in Chapter 15

# Determinant and the cofactors in the general case



- Explicit forms for dispersion equation and cofactors
- Write  $\Lambda$  in terms of the refractive index  $n$  and the unit vector along  $\mathbf{k}$ , i.e.  $\boldsymbol{\kappa} = \mathbf{k} / |\mathbf{k}|$

$$\Lambda_{ij} = \frac{c^2}{\omega^2} (k_i k_j - |\mathbf{k}|^2 \delta_{ij}) + K_{ij} \rightarrow \Lambda_{ij} = n^2 (\kappa_i \kappa_j - \delta_{ij}) + K_{ij}$$

- Brute force evaluation give

$$\det[\Lambda] = n^4 \kappa_i \kappa_j K_{ij} - n^2 (\kappa_i \kappa_j K_{ij} K_{ss} - \kappa_i \kappa_j K_{is} K_{sj}) + \det[K]$$

and the cofactors (related to the eigenvector) are

$$\begin{aligned} \lambda_{ij} \approx & n^4 \kappa_i \kappa_j - n^2 (\kappa_i \kappa_j K_{ss} - \delta_{ij} \kappa_r \kappa_s K_{rs} - \kappa_i \kappa_s K_{sj} - \kappa_s \kappa_j K_{is}) + \\ & + \frac{1}{2} \delta_{ij} (K_{ss}^2 - K_{rs} K_{sr}) + K_{is} K_{sj} + K_{ss} K_{ij} \end{aligned}$$

## Ex. 1: Isotropic, not spatially dispersive, media

- Isotropic, not spatially dispersive, media  $K_{ij}(\omega) = K(\omega)\delta_{ij}$

- Place  $z$ -axis along  $\mathbf{k}$  :  $\Lambda_{ij} = \begin{pmatrix} K - n^2 & 0 & 0 \\ 0 & K - n^2 & 0 \\ 0 & 0 & K \end{pmatrix}$   
( $n$  = refractive index)

- Dispersion equation :  $(K - n^2)^2 K = 0$

- Dispersion relations:  $\begin{cases} n^2 = K(\omega) \rightarrow n_M(\omega)^2 \equiv K(\omega) \\ K(\omega) = 0 \end{cases}$

$K$  is the square root of the refractive index

- Note:  $K(\omega)=0$  means oscillations, NOT waves! (See section on Group velocity)
- The waves  $n^2=K(\omega)$  are transverse waves
  - Plug dispersion relation into  $\Lambda_{ij}$  to see that the eigenvectors are perpendicular to  $\mathbf{k}$  !
- Polarisation vectors of transverse waves are degenerate (not unique eigenvector per mode); discussed in detail in Chapter 14.

## Ex 2: Isotropic media with spatial dispersion

- Isotropic media with spatial dispersion (e.g. align z-axis:  $\mathbf{e}_z = \mathbf{\kappa}$ )

$$K_{ij}(\omega, \mathbf{\kappa}) = K^L(\omega, k)\kappa_i\kappa_j + K^T(\omega, k)(\delta_{ij} - \kappa_i\kappa_j) = \begin{bmatrix} K^T & 0 & 0 \\ 0 & K^T & 0 \\ 0 & 0 & K^L \end{bmatrix}$$

- Dispersion equation

$$K^L(\omega, k)[K^T(\omega, k) - n^2]^2 = 0$$

- The longitudinal dispersion relation  $K^L(\omega, k) = 0$ 
  - Dispersion give us a longitudinal wave!  
(eigenvector parallel to  $\mathbf{\kappa}$ )
- Transverse dispersion relation  $K^T(\omega, k) - n^2 = 0$ 
  - Again the transverse waves are degenerate.

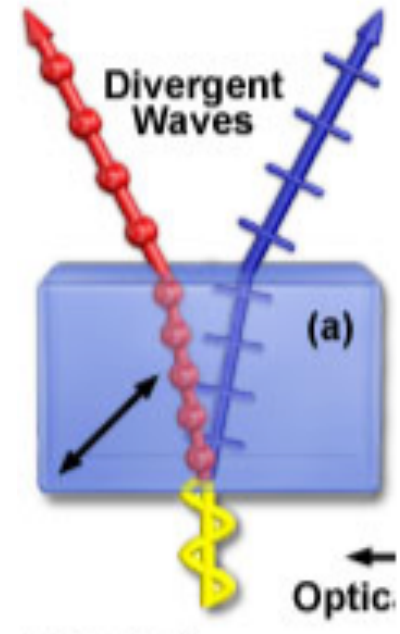
## Ex 3: Birefringent media

- Uniaxial and biaxial crystals are birefringent
  - A light ray entering the crystal splits into two rays; the two rays follow different paths through the crystal.
  - Why?
- E.g. study an uniaxial crystal;
  - align z-axis with the distinctive axis of the crystal

$$K(\omega) = \begin{pmatrix} K_{\perp}(\omega) & 0 & 0 \\ 0 & K_{\perp}(\omega) & 0 \\ 0 & 0 & K_{\parallel}(\omega) \end{pmatrix}$$

- Align coordinates  $\mathbf{k}$  in x-z plane; let  $\theta$  be the angle between z-axis and  $\mathbf{k}$ .

$$\kappa = (\sin\theta, 0, \cos\theta)$$



## Birefringent media (cont.)

- Dispersion equation in uniaxial media

$$(K_{\perp} - n^2) \left[ K_{\perp} K_{\parallel} - n^2 (K_{\perp} \sin^2 \theta + K_{\parallel} \cos^2 \theta) \right]^2 = 0$$

- Two modes, different refractive index (*naming conventions differ!*)

- The (ordinary) O-mode:  $n_o^2 = K_{\perp}$

- The (extraordinary) X-mode:  $n_x^2 = \frac{K_{\perp} K_{\parallel}}{K_{\perp} \sin^2 \theta + K_{\parallel} \cos^2 \theta}$

- **O-mode**: is transverse:  $\mathbf{e}_o(\mathbf{k}) = (0, 1, 0)$

- E-field along the crystal plane

- **X-mode**: is *not* transverse and *not* longitudinal:

$$\mathbf{e}_x(\mathbf{k}) \propto (K_{\parallel} \cos \theta, 0, K_{\perp} \sin \theta)$$

- E-field has components both along and perpendicular to crystal plane



# Wave splitting

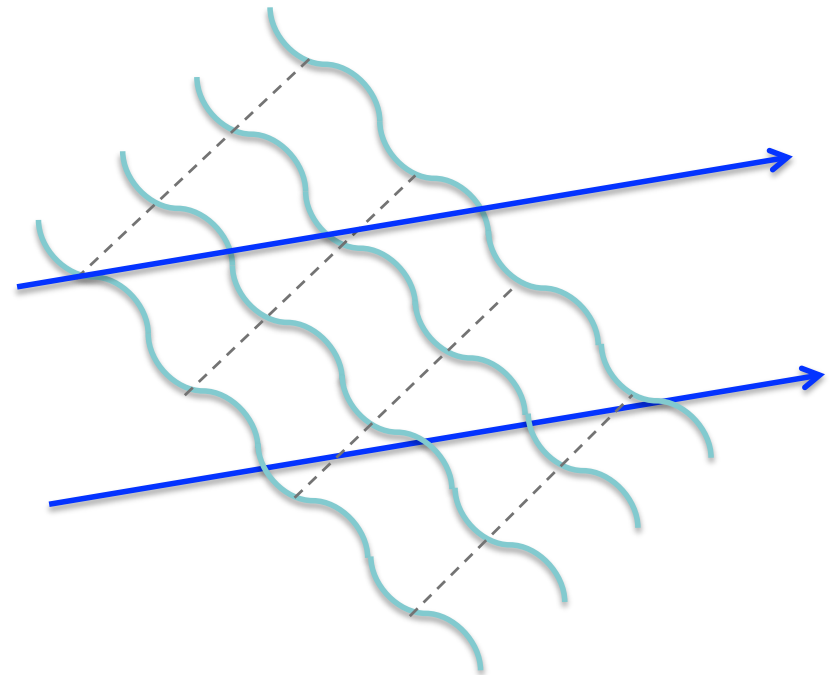
- Let a light ray fall on a birefringent crystal with electric field components in all directions (x,y,z).
  - The y-component will enter the crystal as an O-mode!  
(polarisation vector is in y-direction)
  - The x,z-components as X-modes  
(polarisation vector is in xz-plane)
- The O-mode and X-mode have different refractive index (they travel with different speed), i.e. the wave will refract differently!



*Quartz crystals are birefringent. Here the different refraction for the O- and X- modes makes you see the letters twice.*

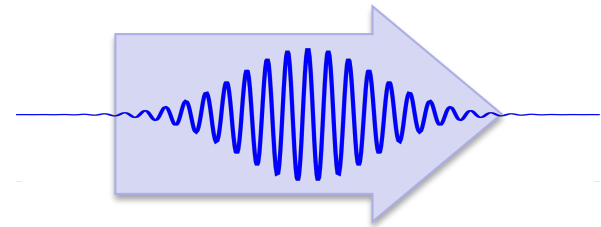
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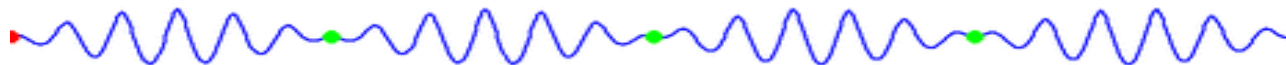


# The group velocity

- The propagation of waves is a transfer of energy
  - e.g. the light from the sun transfer energy to earth (you feel warm when being in the sun!)
- Consider a wave package from an antenna
  - Is this package travel with the phase velocity?



Answer: In dispersive media the answer is **no!!**



The velocity at which the shape of the wave's amplitudes (modulation/envelope) moves is called the group velocity

- The group velocity is *often* the velocity of information or energy
  - Warning! There are exceptions; experiments have shown that group velocity can go above speed of light, but then the information does not travel as fast

# The velocity of a wave package, 1(2)

- The concept of group velocity can be illustrated by the motion of a wave package
  - This motion can easily be identified for a 1D wave package
  - travelling in a wave mode with dispersion relation:  $\omega = \omega_M(k)$
  - assuming the wave is almost monochromatic

$$\omega_M(k) \approx \omega_{M0} + \omega'_{M0}(k - k_0) \quad , \quad \omega'_{M0} \equiv \frac{d\omega_{M0}}{dk}$$

complex conjugate of the first term: below denoted c.c.

- Let the wave have a Fourier transform

$$E(\omega, k) = A(k)\delta(\omega - \omega_M(k)) + \overbrace{A^*(k)\delta(\omega + \omega_M(k))}^{\text{c.c.}}$$

- To study how the wave package travel in space-time, take the inverse Fourier transform

$$\begin{aligned} E(t, r) &= \frac{1}{4\pi} \int_{-\infty}^{\infty} d\omega \int_{-\infty}^{\infty} dk A(k)\delta(\omega - \omega_M(k)) \exp\{ikx - i\omega t\} + \text{c.c.} \\ &= \frac{1}{4\pi} \int_{-\infty}^{\infty} dk A(k) \exp\{ikx - i\omega_M(k)t\} + \text{c.c.} \end{aligned}$$

## The velocity of a wave package, 2(2)

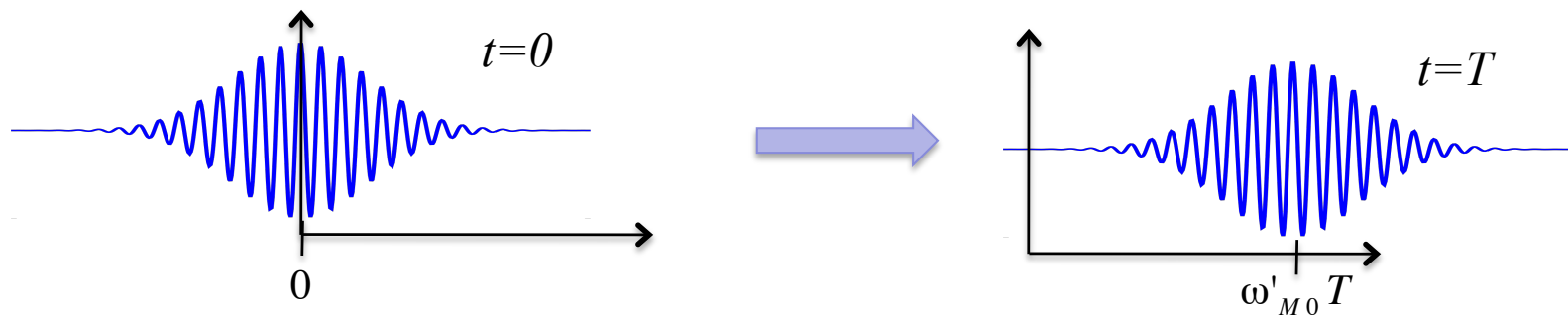
- Now apply the assumption of having “almost chromatic waves”

$$\omega_M(k) \approx \omega_{M0} + \omega'_{M0}(k - k_0) \Rightarrow$$

$$E(t, x) \approx \frac{1}{4\pi} \int_{-\infty}^{\infty} dk A(k) \exp\{ikx - i\omega_M(k)t\} + \text{c.c.} =$$

$$= \frac{\exp\{-i\omega_{M0}t\}}{4\pi} \int_{-\infty}^{\infty} dk A(k) \exp\{k(x - \omega'_{M0}t)\} + \text{c.c.} = \exp\{-i\omega_{M0}t\} \text{fcn}(x - \omega'_{M0}t) + \text{c.c.}$$

- i.e. if a wave package is centered around  $x=0$  at time  $t=0$ , then at time  $t=T$  wave package has the identical shape but now centred around  $x = \omega'_{M0}T$



- Wave package moves with a speed called the *group velocity* :

$$v_g = \omega'_{M0} \equiv \frac{d\omega_{M0}}{dk}$$

# Hamilton's equations of motion



- The concept of group velocity can also be studied in terms of rays
- How do you follow the path of a ray in a dispersive media?
  - Hamilton studied this problem in the mid 1800's and developed a **particle theory for waves**; i.e. like photons! (long before Einstein)
  - Hamilton's theory is now known as Hamiltonian mechanics
  - Hamilton's equations of motion are for a particle:

$$\dot{q}_i(t) = \frac{\partial H(p, q, t)}{\partial p_i}$$
$$\dot{p}_i(t) = -\frac{\partial H(p, q, t)}{\partial q_i}$$

- where
  - $q_i = (x, y, z)$  are the position coordinates
  - $p_i = (mv_x, mv_y, mv_z)$  are the canonical momentum coordinates
  - The Hamiltonian  $H$  is the sum of the kinetic and potential energy
- But what are  $q_i$ ,  $p_i$  and  $H$  for waves?

# Hamilton's equations for rays



- What are  $q_i$ ,  $p_i$  and  $H$  for waves?
  - The position coordinates  $q_i = (x, y, z)$
  - In quantum mechanics the wave momentum is  $\hbar k$  ;  
in Hamilton's theory the momentum is  $p_i = (k_x, k_y, k_z)$
  - The Hamiltonian energy  $H$  is  $\omega_M(k)$  (energy of wave in quanta  $\hbar\omega$ ),  
i.e. the solution to the dispersion relation for the mode  $M$ !
- Consequently, the group velocity of a wave mode  $M$  is:

$$\mathbf{v}_{gM} \equiv \dot{\mathbf{q}} = \frac{\partial \omega_M(\mathbf{k})}{\partial \mathbf{k}}$$

- The second of Hamilton equations tells us how  $\mathbf{k}$  changes when passing through a weakly inhomogeneous media, i.e. one in which the dispersion relation changes *slowly* as the wave propagates through the media,  $\omega_M(\mathbf{k}, \mathbf{q})$

$$\dot{\mathbf{k}} = - \frac{\partial \omega_M(\mathbf{k}, \mathbf{q})}{\partial \mathbf{q}}$$

Warning! Hamiltons equations only work for *almost homogeneous media*. If the media changes rapidly the ray description may not work!

## Examples of group velocities

- Let us start with the ordinary light wave  $\omega_L(\mathbf{k}) = ck = c\sqrt{k_i k_i}$ 
  - The group velocity:  $v_{gM,i} \equiv \frac{\partial}{\partial k_i} \omega_L(\mathbf{k}) = \frac{\partial}{\partial k_i} ck = cK_i$
  - The phase velocity:  $v_{phM,i} \equiv \frac{\omega_L(\mathbf{k})}{k} K_i = cK_i$
  
- High frequency waves:  $\omega_M(\mathbf{k})^2 = c^2 k^2 + \omega_{pe}^2$   
*(response of electron gas; discussed shortly)*
  - The group velocity:  $v_{gM,i} = \frac{\partial}{\partial k_i} \sqrt{\omega_{pe}^2 + c^2 k^2} = \frac{c}{\sqrt{\omega_{pe}^2 / (kc)^2 + 1}} K_i$
  - The phase velocity:  $v_{phT,i} = \left( \omega_{pe}^2 / (kc)^2 + 1 \right)^{1/2} cK_i$
  - Note:
    - phase velocity may be *faster* than speed of light
    - group velocity is *slower* than speed of light
      - Note: information travel with  $v_g$ ; cannot travel faster than speed of light



# Plasma oscillations

- Plasma oscillations: “the linear reaction of cold and unmagnetised electrons to electrostatic perturbations”
  - Cold electrons are electrons where the temperature is negligible.
- Model equations:
  - Electrostatic perturbations follow Poisson’s equation

$$\Delta\phi = \rho/\epsilon_0$$

where  $\rho = q_i n_i + q_e n_e$  is the charge density.

- Electron response

$$m_e \frac{\partial v_e}{\partial t} = q_e \nabla \phi$$

- Ion response; ions are heavy and do not have time to move:  $\mathbf{v}_i = 0$
- Charge continuity

$$\frac{\partial \rho}{\partial t} + \nabla \cdot \mathbf{J} = 0 \quad , \quad \text{where} \quad \mathbf{J} = q_i n_i \mathbf{v}_i + q_e n_e \mathbf{v}_e$$

# Plasma oscillations

- Consider small oscillations near a static equilibrium:

$$\left. \begin{aligned}
 \mathbf{v}_e(t) &= \mathbf{0} + \mathbf{v}_{e1}(t) \\
 \phi(t) &= 0 + \phi_1(t) \\
 n_e(t) &= n_0 + n_{e1}(t) \\
 n_i(t) &= n_0 q_e / q_i + 0
 \end{aligned} \right\} \longrightarrow \left\{ \begin{aligned}
 \mathbf{J} &= q_e (n_{e0} \mathbf{v}_{e1} + n_{e1} \mathbf{v}_{e1}) \approx q_e n_{e0} \mathbf{v}_{e1} \\
 \rho &= q_e n_{e1}
 \end{aligned} \right.$$

Non-linear  
(small term)

– where all the small quantities have sub-index 1.

- Next Fourier transform in time and space

$$\left. \begin{aligned}
 -k^2 \phi_1 &= q_e n_{e1} / \epsilon_0 \\
 -i\omega m_e \mathbf{v}_{e1} &= iq_e \mathbf{k} \phi_1 \\
 -i\omega (q_e n_{e1}) + i\mathbf{k} \cdot (q_e n_{e0} \mathbf{v}_{e1}) &= 0
 \end{aligned} \right\} \left[ \omega^2 - \underbrace{n_{e0} q_e^2 / (\epsilon_0 m_e)}_{\equiv \omega_{pe}^2} \right] n_{e1} = 0$$

$\omega_{pe}$  is the plasma frequency  
(see previous lecture)

# Plasma oscillations

- Equation for the density oscillation is a dispersion equation

$$\left[ \omega^2 - \omega_{pe}^2 \right] n_{e1} = 0$$

- Eigen-oscillations appear when

$$\omega^2 = \omega_{pe}^2$$

- These are *plasma oscillations!*

- Note:  $v_{gM,i} \equiv \pm \frac{\partial}{\partial k_i} \omega_{pe} = 0$

Thus, plasma oscillation is *not a wave* since no information is propagated by the oscillation!

- However, if we let the electrons have a finite temperature the plasma oscillations are turned into *Langmuir waves!*

## Plasma oscillations in the dielectric tensor

- Let us first derive plasma oscillations from the dielectric tensor.

- The cold magnetised plasma tensor:

$$K = \begin{pmatrix} S & -iD & 0 \\ iD & S & 0 \\ 0 & 0 & P \end{pmatrix}$$

- **Assume:**  $\mathbf{k}$  parallel to  $\mathbf{B}_0$  (the z-direction)

$$\det \begin{pmatrix} S - n^2 & -iD & 0 \\ iD & S - n^2 & 0 \\ 0 & 0 & P \end{pmatrix} = 0$$

- Solution  $P = 1 - \omega^2 / \omega_p^2 = 0$ , or  $\omega = \omega_{pe}$ , i.e. plasma oscillation!
- Plasma oscillation can be found
  - in *non-magnetised plasmas* (previous page),
  - E-field along the *direction of the magnetic field* (see above) and
  - in *almost any media* when  $\omega_{pe}$  is a very *high frequency* (at high frequency electrons respond like free particle)

# Langmuir waves

- Langmuir waves are longitudinal waves in a *non-magnetised warm* plasma. With  $k$  in the  $z$ -direction

$$K = \begin{pmatrix} K_T & 0 & 0 \\ 0 & K_T & 0 \\ 0 & 0 & K_L \end{pmatrix} \longrightarrow \det \begin{pmatrix} K_T - n^2 & 0 & 0 \\ 0 & K_T - n^2 & 0 \\ 0 & 0 & K_L \end{pmatrix} = 0$$

– Where  $K_L$  and  $K_T$  are given on page 120.

- The longitudinal solution is  $\Re\{K_L\} \approx 0$  where

$$K_L = 1 + \sum_i \frac{1}{k^2 \lambda_{Di}^2} \left[ 1 - \phi(y_i) + i\sqrt{\pi} y_i e^{-y_i^2} \right]$$

$$\left\{ \begin{array}{l} v_{thi} \equiv \sqrt{T_i / m_i} \\ \lambda_{Di} \equiv v_{thi} / \omega_{pi} \\ y_i \equiv \omega / 2^{1/2} k v_{thi} \end{array} \right.$$

- Neglect ions response and expand in small thermal electron velocity (almost cold electrons); use expansion in Eq. (10.30), gives approximate dispersion relation for *Langmuir waves*

$$\omega^2 = \omega_L^2(k) \approx \omega_{pe}^2 + \boxed{3k^2 v_{the}^2} \longleftarrow \text{Letting } v_{the}=0 \text{ give plasma oscillations!}$$

# Polarization of Langmuir waves

- Polarization vector  $e_i$  can be obtained from wave equation when inserting the dispersion relation  $K_L \approx K_L^H = 0$

$$\begin{pmatrix} K_T - n^2 & 0 & 0 \\ 0 & K_T - n^2 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} e_1 \\ e_2 \\ e_3 \end{pmatrix} = 0 \quad \longrightarrow \quad \begin{pmatrix} e_1 \\ e_2 \\ e_3 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad \longrightarrow \quad e_i = \delta_{i3}$$

- Thus, the wave damping can be written as

$$\begin{aligned} \gamma_L &= -2i\omega_L(\mathbf{k})R_L(\mathbf{k})\left\{e_{Li}^*(\mathbf{k})K_{ij}^A(\omega_L(\mathbf{k}),\mathbf{k})e_{Lj}(\mathbf{k})\right\} = \\ &= -2i\omega_L(\mathbf{k})R_L(\mathbf{k})K_{33}^A(\omega_L(\mathbf{k}),\mathbf{k}) = \\ &= -2i\omega_L(\mathbf{k})R_L(\mathbf{k})\Im\left\{K_L(\omega_L(\mathbf{k}),\mathbf{k})\right\} \end{aligned}$$

where  $\frac{1}{R_L(k)} = \omega \left. \frac{\partial \text{Re}[K_L(\omega, k)]}{\partial \omega} \right|_{\omega = \omega_L(k)}$

# Absorption of Langmuir waves

- Inserting the dispersion relation and the expression for  $K_L$  gives the energy dissipation rate

$$\gamma_L \approx \left(\frac{\pi}{2}\right)^{1/2} \frac{\omega_{pe}^4}{v_{the}^3 k^3} N_{res} \quad , \quad \text{where } N_{res} = \exp\left[-v^2 / 2v_{the}^2\right] \Big|_{v=\omega_L(k)/k}$$

- Damping (dissipation) is due to [Landau damping](#), i.e. for electrons with velocities  $v$  such that  $\omega_L(k) - kv = 0$
- Here  $N_{res}$  is proportional to the number of Landau resonant electrons
- Damping is small for small & large thermal velocities

$$kv_{the} / \omega_L(k) \rightarrow 0 \quad \longrightarrow \quad \gamma_L \sim \lim_{v_{the} \rightarrow 0} v_{the}^{-3} \exp\left[-v^2 / 2v_{the}^2\right] \rightarrow 0$$

$$kv_{the} / \omega_L(k) \rightarrow \infty \quad \longrightarrow \quad \gamma_L \sim \lim_{v_{the} \rightarrow \infty} v_{the}^{-3} \exp[-0] \rightarrow 0$$

- Maximum in damping is when  $v_{the} \approx \omega_L(k) / k$

# Ion acoustic waves

- In addition to the Langmuir waves there is another longitudinal plasma wave (i.e.  $K_L=0$ ) called the ion acoustic wave.
- This mode require motion of both ions and electrons. Assume:
  - Very hot electrons:  $v_{the} \gg \omega/k$ , expansions (10.29)
  - Almost cold ions:  $v_{thi} \ll \omega/k$ , expansions (10.30)

$$\Re\{K_L\} = 1 + \underbrace{\frac{1}{k^2 \lambda_{De}^2}}_{\text{electron}} - \underbrace{\frac{\omega_{pi}^2}{\omega^2}}_{\text{ion}} \quad \longrightarrow \quad \left\{ \begin{array}{l} \omega = \omega_{IA}(k) \approx \frac{k v_s}{\sqrt{1 + k^2 \lambda_{De}^2}} \\ \gamma_L \approx \left(\frac{\pi}{2}\right)^{1/2} \omega_{IA}(k) \left( \frac{v_s}{v_{the}} + \left(\frac{\omega_s(k)}{k v_{the}}\right)^3 N_{res} \right) \end{array} \right.$$

- Here  $v_s$  is the sounds speed:  $v_s = \omega_{pi} \lambda_{De}^2 = \sqrt{T / m_e}$
- Again,  $N_{res}$  is proportional to the number of Landau resonant electrons
- Ion acoustic waves reduces to normal sounds waves for small  $k\lambda_{De}$

$$\omega = \omega_{Sound}(k) \approx k v_s$$



## Transverse waves - Modified light waves

- High frequency wave transverse,  $\omega \gg \omega_{pe}$ , behave almost like light waves.
- Expanding in small  $\omega_{pe}/\omega$  gives:  $K_T = 1 - \omega_{pe}^2 / \omega^2$
- Transverse dispersion relation:

$$K_T - n^2 = 0 \quad \longrightarrow \quad \omega^2 = \omega_T(k)^2 \approx \omega_{pe}^2 + c^2 k^2$$

- These waves are very weakly damped;
  - Phase velocity

$$v_{ph}^2 = c^2 + \omega_{pe}^2 / k^2 > c^2$$

thus **no** resonant particles and thus **no Landau damping!**

- damping can be obtained from collisions;  
for “collision frequency” =  $\nu_e$  the energy decay rate is

$$\gamma_T(k) \approx \nu_e \frac{\omega_{pe}^2}{\omega^2}$$

# Alfven waves (1)

- Next: Low frequency waves in a cold magnetised plasma including both ions and electrons
- These waves were first studied by [Hannes Alfvén](#), here at KTH in 1940. The wave he discovered is now called the [Alfvén wave](#).
- To study these waves we choose:
 
$$\mathbf{B} \parallel \mathbf{e}_z \text{ and } \mathbf{k} = (k_x, 0, k_{||})$$
- The dielectric tensor for these waves were derived in the previous lecture assuming  $\omega \ll \omega_{ci}, \omega_{pi}$  (see also home assignment for Friday!)

$$K = \begin{pmatrix} S & 0 & 0 \\ 0 & S & 0 \\ 0 & 0 & P \end{pmatrix} \left\{ \begin{array}{l} S \approx c^2 \frac{\mu_0 \sum_j m_j n_j}{B^2} = \frac{c^2}{V_A^2} \\ P \approx \frac{1}{\omega^2} \sum_j \frac{n_j q_j^2}{m_j \epsilon_0} = \frac{\omega_p^2}{\omega^2} \end{array} \right.$$

$V_A = \text{"Alfvén speed"}$

## Alfven waves (2)

- Wave equation
  - for  $n_j = ck_j/\omega$
$$\begin{pmatrix} S - n_{\parallel}^2 & 0 & -n_{\parallel}n_x \\ 0 & S - n^2 & 0 \\ -n_{\parallel}n_x & 0 & P - n_x^2 \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ E_{\parallel} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

- First, if you put in numbers, then  $P$  is huge!
  - Thus, third equations gives  $E_{\parallel} \approx 0$  ( $E_{\parallel}$  is the E-field along  $\mathbf{B}$ )
- Why is  $E_{\parallel} \approx 0$  for low frequency waves have?
  - electrons can react very *quickly* to any  $E_{\parallel}$  perturbation (along  $\mathbf{B}$ ) and *slowly* to  $\mathbf{E}$ -perturbations perpendicular to  $\mathbf{B}$
  - Thus, they allow E-fields to be perpendicular, but not parallel to  $\mathbf{B}$ !
- We are then left with a 2D system:

$$\begin{pmatrix} S - n_{\parallel}^2 & 0 & \text{---} \\ 0 & S - n^2 & \text{---} \\ \text{---} & \text{---} & \text{---} \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}$$

## Alfven waves (3)

- There are two eigenmodes:

$$\begin{pmatrix} S - n_{\parallel}^2 & 0 & \text{---} \\ 0 & S - n^2 & \text{---} \\ \text{---} & \text{---} & \text{---} \end{pmatrix} \begin{pmatrix} E_x \\ E_y \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \quad \longrightarrow \quad \det[\Lambda_{ij}] = (S - n^2)(S - n_{\parallel}^2) = 0$$

- The **shear Alfvén wave** (shear wave):  $S = n_{\parallel}^2$  , or  $\omega_A = k_{\parallel} V_A$ 
  - Important in almost all areas of plasma physics e.g. fusion plasma stability, space/astrophysical plasmas, molten metals and other laboratory plasmas
  - Polarisation: see *exercise!*
- The **compressional Alfvén wave**:  $S = n^2$  , or  $\omega_F = k V_A$   
(fast magnetosonic wave)
  - E.g. used in radio frequency heating of fusion plasmas (my research field)
  - Polarisation: see *exercise!*

# Ideal MHD model for Alfvén waves



The most simple model that gives the Alfvén waves is the linearized *ideal MHD* model for a

- quasi-neutral, low pressure plasma – described by fluid velocity  $\mathbf{v}$
- in a static magnetic field  $\mathbf{B}_0$
- at low frequency and long wave length

$$nm \frac{d\mathbf{v}}{dt} = \mathbf{j} \times \mathbf{B}_0 \quad \text{Momentum balance}$$

(sum of electron and ion momentum balance;  $n_e q_e \mathbf{v}_e + n_i q_i \mathbf{v}_i = \mathbf{J}$ )

$$\mathbf{E} + \mathbf{v} \times \mathbf{B}_0 = \mathbf{0} \quad \text{Ohms law}$$

(electron momentum balance when  $m_e \rightarrow 0$ )

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t} \quad \text{Faraday's law}$$

$$\nabla \times \mathbf{B} = \mu_0 \mathbf{j} \quad \text{Ampere's law}$$

# Wave equation for shear Alfvén waves



Derivation of wave equation for the shear wave

1. Substitute  $\mathbf{E}$  from Ohm's law into Faraday's law

$$\nabla \times (\mathbf{v} \times \mathbf{B}_0) = -\frac{\partial \mathbf{B}}{\partial t}$$

2. Take the time derivative of the equation above and use the momentum balance to eliminate the velocity

$$\nabla \times \left( \left( \frac{\mathbf{j} \times \mathbf{B}_0}{mn} \right) \times \mathbf{B}_0 \right) = -\frac{\partial^2 \mathbf{B}}{\partial t^2}$$

3. Assume the induced current to be perpendicular to  $\mathbf{B}_0$

$$\frac{|B_0|^2}{mn} \nabla \times \mathbf{j} = -\frac{\partial^2 \mathbf{B}}{\partial t^2} \quad \text{Note: } \frac{|B_0|^2}{mn} = \mu_0 V_A^2$$

4. Finally use Ampere's law to eliminate  $\mathbf{j}$

$$\nabla \times (\nabla \times \mathbf{B}) + V_A^{-2} \frac{\partial^2 \mathbf{B}}{\partial t^2} = 0$$

Wave equation with phase & group velocity  $V_A$

# Physics of the shear Alfvén waves



- In MHD the plasma is “frozen into the magnetic field” (see course in Plasma Physics)
  - When plasma move, it “pulls” the field line along with it (eq. 1 prev. page)
  - The plasma give the field lines inertia, thus field lines bend back – like guitar strings!
  - Energy transfer during wave motion:
    - **B**-field is *bent* by plasma motion; work needed to bend field line
      - kinetic energy transferred into field line bending
    - Field lines want to unbend and push the plasma back:
      - energy transfer from field line bending to kinetic energy
    - ... wave motion!
- **B**-field lines can act like strings:
  - The Alfvén wave propagates along field lines like waves on a string!
  - Reason: the group velocity always points in the direction of the magnetic field!

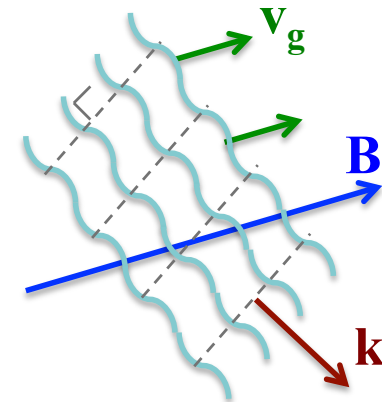
## Group velocities of the shear wave

- Dispersion relation for the shear Alfvén wave:  $\omega_A(\mathbf{k}) = V_A k_{\parallel} = V_A \mathbf{k} \cdot \mathbf{B} / |\mathbf{B}|$

- phase velocity:  $\mathbf{v}_{phA} \equiv \pm \frac{V_A k_{\parallel}}{k} \frac{\mathbf{k}}{k}$

- group velocity:  $\mathbf{v}_{gA} \equiv \frac{\partial}{\partial \mathbf{k}} (\pm V_A k_{\parallel}) = \pm V_A \frac{\mathbf{B}}{|\mathbf{B}|}$

- wave front moves with  $\mathbf{v}_{phA}$ , along  $\mathbf{k} = (k_x, 0, k_{\parallel})$
- wave-energy moves with  $\mathbf{v}_{gA}$ , along  $\mathbf{B} = (0, 0, B_0)$ !



- Thus, a shear Alfvén wave is “trapped to follow magnetic field lines”
  - like waves propagating along a string
- Note also:

$$|\mathbf{v}_{gA}| = V_A \geq |\mathbf{v}_{phA}|$$

- Fast magnetosonic wave  $\omega_F(\mathbf{k}) = V_A k$  is not dispersive!

$$\mathbf{v}_{gF,i} = \mathbf{v}_{phF,i} = V_A \frac{\mathbf{k}}{k}$$

- Thus, an external source may excite two Alfvén wave modes propagating in different directions, with different speed!



# Resonances, cut offs & evanescent waves

- Dispersion relation often has singularities of the form

$$k^2 \sim 1 + \frac{\omega_1}{\omega - \omega_{res}} = \frac{\omega - \omega_{cut}}{\omega - \omega_{res}}, \quad \omega_{cut} = \omega_1 - \omega_{res}$$

- 3 regions with different types of waves

- $\omega < \omega_{cut}$  &  $\omega > \omega_{res}$ , then  $k^2 > 0$

$$E \sim E_1 \exp(i|k|x) + E_2 \exp(-i|k|x)$$

- $\omega_{cut} < \omega < \omega_{res}$ , then  $k^2 < 0$

$$E \sim E_1 \exp(|k|x) + E_2 \exp(-|k|x)$$

called **evanescent waves** (growing/decaying)

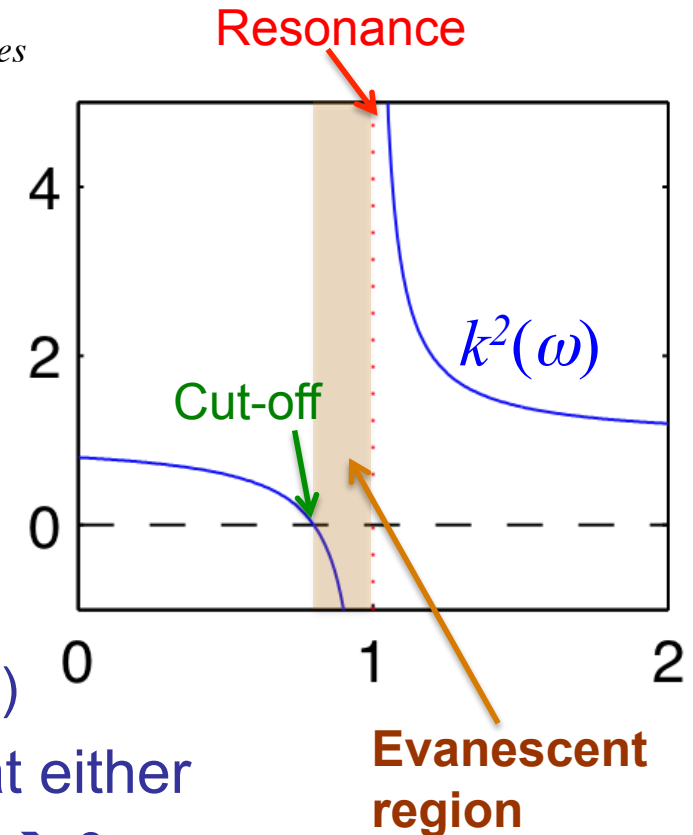
- The transitions to evanescent waves occur at either

- **resonances**;  $k \rightarrow \infty$ , i.e. the wave length  $\lambda \rightarrow 0$

- here at  $\omega > \omega_0$

- **cut-offs**;  $k \rightarrow 0$ , i.e. the wave length  $\lambda \rightarrow \infty$

- here at  $\omega < \omega_0 - \omega_1$



# CMA diagram for cold plasma with ions and electrons

- This plasma model can have either 0, 1 or 2 wave modes
- The modes are illustrated in the **CMA diagram**
- 3 symbols representing different types of inosotropy:
  - ellipse:  $\text{O}$
  - “eight”:  $\text{8}$
  - “infinity”:  $\infty$

(don't need to know the details)
- When moving in the diagram mode disappear/appear at:
  - **resonances** ;  $k \rightarrow \infty$
  - **cut-offs** ;  $k \rightarrow 0$

